

ATTACHMENT 1**TEXT OF PROPOSED REGULATIONS --- POST-HEARING CHANGES
November 2010**

Changes in this version reflect post-hearing changes to the text as originally proposed. All of the text is new language to be added to the California Code of Regulations.

The originally proposed text is shown with no underlines. Changes to the originally proposed text are indicated as follows:

Underline: Underlined text reflects new text resulting from post-hearing changes.

Strikeout: ~~Strikeout~~ text reflects deleted text resulting from post-hearing changes.

NOTE: For ease of reading and referencing the proposed regulations, line numbers and table of content page numbers are added, but are not part of the actual regulatory text.

DIVISION 4.5, TITLE 22, CALIFORNIA CODE OF REGULATIONS
CHAPTER 53. SAFER CONSUMER PRODUCT ALTERNATIVES

Amend the Table of Contents by adding chapter 53, articles 1, 2, 3, 4, 5, 6, 7, 8, 9, and 10, and sections 69301, 69301.1, 69301.2, 69301.3, 69301.4, 69301.5, 69301.6, 69302, 69302.1, 69302.2, 69302.3, 69303, 69303.1, 69303.2, 69303.3, 69303.4, 69304, 69304.1, 69305, 69305.1, 69305.2, 69305.3, 69305.4, 69305.5, 69306, 69306.1, 69306.2, 69306.3, 69306.4, 69306.5, 69306.6, 69306.7, 69306.8, 69306.9, 69307, 69307.1, 69307.2, 69307.3, 69307.4, 69307.5, 69307.6, 69307.7, 69308, 69309, 69309.1, 69309.2, and 69310 to division 4.5 of California Code of Regulations, title 22, to read:

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1

2 **Add** California Code of Regulations, title 22, division 4.5, chapter 53 to read:

3

4 **Chapter 53. Safer Consumer Product Alternatives**

5

6 **Article 1. General**

7

8 **§ 69301. Purpose and Applicability.**

9 (a) This chapter describes the process by which chemicals and chemical ingredients
10 that are contained in consumer products and that may be considered Chemicals of Concern
11 will be identified and prioritized, and the process for evaluating Chemicals of Concern in
12 consumer products and their potential alternatives to determine how best to limit exposure or
13 the level of hazard posed by the Chemical of Concern. This chapter also specifies the
14 regulatory responses that will be or may be required ~~taken~~ following completion of such an
15 alternatives assessment.

16 (b)(1) Except as provided in paragraphs (2) through (6) and ~~(3)~~ of this subsection, this
17 chapter applies to all consumer products placed into the stream of commerce in California.

18 (2) This chapter does not apply to any product that is exempted from the definition of
19 “consumer product” specified in Health and Safety Code section 25251, or any product that is
20 placed into the stream of commerce in California solely for the manufacture of one or more of
21 the products exempted under Health and Safety Code section 25251.

22 (3) This chapter does not apply to any consumer product manufactured or stored in, or
23 transported through, California solely for use outside of California. ~~In establishing whether or~~
24 ~~not a product is manufactured, stored or transported solely for use outside of California, the~~
25 ~~burden of proof shall be on the manufacturer.~~

26 ~~(4)(A)(e) Except as provided in subparagraph (B), the requirements of this chapter that~~
27 ~~pertain to consumer products or to chemicals or chemical ingredients contained in consumer~~
28 ~~products do not apply to when the chemical or chemical ingredient contained in the product is~~
29 ~~an unintentionally-added chemical or chemical ingredient, that is not known by the producer to~~
30 ~~be present in the product, if all of the following conditions are met:~~

31 (B) Subparagraph (A) does not apply if the source of the chemical or chemical
32 ingredient is a recycled feedstock, component or processing agent, unless the manufacturer of
33 the product does not become aware of the presence of the chemical or chemical ingredient
34 after taking reasonably feasible steps to obtain knowledge of any chemical or chemical
35 ingredient that might reasonably be expected to be present in the recycled feedstock,
36 component or processing agent.

37 ~~(1) The producer of the consumer product has exercised due diligence to obtain~~
38 ~~knowledge of any chemical or chemical ingredient that might reasonably be expected to be~~
39 ~~present, intentionally or unintentionally, in the consumer product by taking reasonable steps to~~
40 ~~obtain and apply knowledge of the following factors, to the extent applicable:~~

1 (A) ~~Source, composition and chemicals and chemical ingredients contained in all raw~~
2 ~~material and recycled feedstocks, components and processing agents used in the formulation~~
3 ~~or assembly of the consumer product, and~~

4 (B) ~~The manufacturing process(es) used to produce the consumer product, including~~
5 ~~chemical reactions likely to occur during the manufacturing process(es);~~

6 (2) ~~The producer cannot reasonably be expected to know of the presence of the~~
7 ~~unintentionally added chemical or chemical ingredient in the product under all the facts and~~
8 ~~circumstances;~~

9 (3) ~~If requested by the Department, the producer demonstrates to the Department's~~
10 ~~satisfaction that the conditions specified in paragraphs (1) and (2) have been satisfied; and~~

11 (C)(4) If the producer-manufacturer has does have knowledge of the presence of one or
12 more unintentionally-added chemicals or chemical ingredients in a recycled feedstock,
13 component or processing agent used to produce a the-consumer product, the producer
14 manufacturer shall provides the information, upon request, to the Department and any known
15 responsible entity for the product.

16 (5) The requirements of this chapter do not apply to a chemical or consumer product
17 that the Department has determined is regulated by one or more federal and/or other California
18 State regulatory program(s), and/or applicable international trade agreements ratified by the
19 United States Senate, that, in combination, address the same public health and environmental
20 threats and exposure pathways that would otherwise be the basis for the chemical being listed
21 as a Chemical of Concern or the basis for the product being listed as a Priority Product. The
22 Department may, at its discretion, re-evaluate a determination previously made pursuant to
23 this paragraph and rescind that determination if the Department finds that the facts and/or
24 assumptions upon which the determination was based were not, or are no longer, valid.

25 (6)(A) The requirements of this chapter pertaining to consumer products containing
26 Chemicals of Concern do not apply if the Department has determined that there is no exposure
27 pathway by which the Chemical of Concern contained in the product might pose a threat to
28 public health or the environment in California during the useful life or the end-of-life
29 management of the product. A determination made pursuant to this subparagraph shall be
30 based upon an evaluation of reasonably foreseeable uses, misuses and abuses of the product,
31 and reasonably foreseeable proper and improper end-of-life management of the product. The
32 Department may, at its discretion, re-evaluate a determination previously made pursuant to
33 this subparagraph and rescind that determination if the Department finds that the facts and/or
34 assumptions upon which the determination was based were not, or are no longer, valid.

35 (B) Any person requesting the Department to make a determination pursuant to
36 subparagraph (A) shall bear the burden to prove by clear and convincing evidence to the
37 Department's satisfaction that subparagraph (A) applies to the product in question. The
38 evidence shall include, to the extent available, the results of any applicable use and abuse
39 tests, including the assumptions and testing methodologies, conducted for purposes of and
40 pursuant to a federal and/or California State regulatory program.

41

1 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
2 Reference: Sections 25251, 25252, and 25253, Health and Safety Code.

3
4 **§ 69301.1. Guiding Principles.**

5 ~~In fulfilling their respective requirements and responsibilities under this chapter, the~~
6 ~~Department, manufacturers, and responsible entities, and persons acting on behalf of one or~~
7 ~~more of the aforementioned, shall base their analyses and determinations on the best scientific~~
8 ~~principles and practices, and shall be guided by the following principles:~~

9 (a) ~~Green chemistry principles and life cycle thinking should be considered throughout~~
10 ~~implementation of the regulations in this chapter.~~

11 (b) ~~Adverse impacts on public health and the environment that may result from the~~
12 ~~production, use or end-of-life management of consumer products and consumer product~~
13 ~~chemical ingredients should be significantly reduced or eliminated, to the extent technologically~~
14 ~~and economically feasible.~~

15 (c) ~~Adverse public health and environmental impacts of chemicals used in commerce,~~
16 ~~as well as the overall costs of those impacts on the people of California, should be significantly~~
17 ~~reduced, by encouraging the redesign of consumer products and manufacturing processes~~
18 ~~and approaches, while maintaining or enhancing product function and performance.~~

19 (d) ~~Chemical and consumer product prioritization processes should seek to identify and~~
20 ~~give priority to those chemicals, and the consumer products that contain them, that pose the~~
21 ~~greatest public health and environmental threats, are most prevalently distributed in commerce~~
22 ~~and used by consumers, and for which there is the greatest potential for consumers or~~
23 ~~environmental receptors to be exposed to the chemical in quantities that can result in public~~
24 ~~health or environmental harm.~~

25
26 ~~NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.~~
27 ~~Reference: Sections 25252 and 25253, Health and Safety Code.~~

28
29 **§ 69301.12. Definitions.**

30 (a) When used in this chapter, the following terms have the meanings specified in this
31 section:

32
33 (1) ~~“AA Notification” means the notification required to be provided to the Department~~
34 ~~pursuant to section 69305.1.~~

35
36 (1)(2)(A) ~~“AA Report” means a report that is required to be prepared for an Tier II-AA~~
37 ~~pursuant to section 69305.12(a)(2), and that meets the requirements of sections 69305.46~~
38 ~~through 69305.8.~~

39 (B) ~~“AA Report” means any of the following, depending on the context of its use:~~

- 40 1. ~~The report prepared for a Tier II-A AA,~~
41 2. ~~The report prepared for a Tier II-B AA, or~~

1 3. ~~The collective reports prepared for the Tier II-A AA and Tier II-B AA for a product or~~
2 ~~component.~~

3
4 (2) (3) “AA verification statement” means the statement required to be prepared for a Tier II
5 AA pursuant to section 69305.12(c)(3)(C).

6
7 (3)(4) “AA Work Plan” means a work plan that is required to be prepared for an Tier II-AA
8 pursuant to section 69305.12(a)(2), and that meets the requirements of section 69305.24.

9
10 (4) “Adverse air quality impacts” means air emissions of any of the air contaminants
11 listed below:

12 (A) Nitrogen oxides,

13 (B) Toxic air contaminants,

14 (C) Sulfur oxides,

15 (D) Greenhouses gases,

16 (E) Stratospheric ozone-depleting compounds,

17 (F) Other ozone-forming compounds, or

18 (G) Particulate matter, with an aerodynamic diameter of ten (10) micrometers or less.

19
20 (5) “Adverse ecological impacts” means all of the following adverse effects on living
21 organisms and their non-living environments:

22 (A) Acute or chronic toxicity in aquatic, avian, animal or plant species,

23 (B) Adverse impacts on aquatic and terrestrial ecosystems,

24 (C) Loss or deterioration of environmentally sensitive habitats,

25 (E) Impacts adversely affecting the ability of an endangered or threatened species to
26 survive or reproduce,

27 (F) Impacts that directly or indirectly cause population loss, decline in population
28 diversity, or changes in historical communities, and

29 (G) Impacts that directly or indirectly cause vegetation contamination or damage.

30
31 (6) “Adverse public health impacts” means impacts that directly or indirectly cause any
32 of the following effects on human health:

33 (A) Acute toxicity,

34 (B) Carcinogenicity,

35 (C) Developmental toxicity,

36 (D) Reproductive toxicity,

37 (E) Epigenetic toxicity,

38 (F) Genotoxicity, or

39 (G) Organ, tissue or cellular toxicity not otherwise described above.

40
41 (7) “Adverse soil quality impacts” means all of the following adverse affects on soil
42 function or soil chemical, physical or biological characteristics or properties:

- 1 (A) Chemical contamination,
2 (B) Biological contamination,
3 (C) Loss of biodiversity,
4 (D) Loss of organic matter,
5 (E) Erosion,
6 (F) Compaction or other structural changes, and
7 (G) Soil sealing.

8
9 (8) “Adverse water quality impacts” means all of the following adverse effects on the
10 beneficial uses, as specified in Water Code section 13050(f) or adopted in a Water Quality
11 Control Plan pursuant to article 3 of chapter 3 and/or article 3 of chapter 4 of division 7 of the
12 Water Code, of the waters of the State which include groundwater, fresh water, brackish water,
13 marsh lands, wetlands, or coastal bodies or systems:

- 14 (A) Increase in biological oxygen demand,
15 (B) Increase in chemical oxygen demand,
16 (C) Increase in total dissolved solids,
17 (D) Increase in thermal pollution, and
18 (E) Introduction of, or increase in, any of the following:

19 1. Chemicals identified as priority toxic pollutants for California pursuant to section
20 303(c) of the federal Clean Water Act and listed in section 131.38 of Title 40 of the Code of
21 Federal Regulations published in the Federal Register May 18, 2000,

22 2. Pollutants listed by California or the United States Environmental Protection Agency
23 for one or more water bodies in California pursuant to section 303 (d) of the federal Clean
24 Water Act,

25 3. Chemicals identified as contaminants that have primary Maximum Contaminant
26 Levels (MCLs) under the federal Safe Drinking Water Act, and

27 4. Pollutants requiring monitoring and reporting in waste discharges to land that have
28 Notification Levels (NLs) specified under the Waste Discharge and Water Reuse
29 Requirements (WDRs/WRRs) of the Porter-Cologne Water Quality Control Act.

30
31 ~~(9)(5) “Alternatives assessment” or “AA” means an evaluation and comparison of a product~~
32 ~~or its component(s) and alternative products or components that conforms to the applicable~~
33 ~~requirements of section 69305.3. any activity or process that leads to either:~~

34 ~~(A) A decision to redesign or reformulate a consumer product, or substitute a different~~
35 ~~consumer product for an existing product; or~~

36 ~~(B) A decision not to alter or replace an existing consumer product.~~

37
38 ~~(10)(6) “Bioaccumulation” means the net accumulation of a chemical substance in an~~
39 ~~organism or part of an organism, or an environmental compartment, that absorbs the chemical~~
40 ~~at a rate greater than that at which the chemical is lost.~~

1 ~~(7) “California distributor” means any person, other than a manufacturer or retailer, who~~
2 ~~takes title to a consumer product for purposes of directly or indirectly placing the product into~~
3 ~~the stream of commerce in California.~~

4
5 ~~(8) “California importer” means a person who brings, or arranges to bring, a consumer~~
6 ~~product into California for purposes of directly or indirectly placing the product into the stream~~
7 ~~of commerce in California.~~

8
9 ~~(11)(9)~~ “Carcinogen or reproductive toxin” means a chemical listed as a carcinogen or a
10 reproductive toxin, or both, pursuant to one or more of the following:

11 (A) Health and Safety Code section 25249.8;

12 (B) The National Toxicology Program Report on Carcinogens that lists chemicals known
13 and reasonably anticipated to be human carcinogens;

14 (C) United States Environmental Protection Agency chemicals classified as Known or
15 Likely (Group A, B1 or B2), as maintained on its Integrated Risk Information System, or
16 equivalent weight-of-evidence classifications that result from subsequent revisions to its
17 “Guidelines for Carcinogen Risk Assessment”;

18 (D) The International Agency for Research on Cancer Group I and 2A chemicals;

19 (E) The International Agency for Research on Cancer Group 2B chemicals where there
20 exists sufficient evidence of carcinogenicity in animals, even if evidence of carcinogenicity in
21 humans is inadequate; and

22 (F) The listings of Category 1A or 1B carcinogens and/or Category 1A or 1B
23 reproductive toxicants in Annex VI to Regulation (EC) No. 1272/2008 of the European
24 Parliament and the CouncilThe European Union Classification and Labeling (Globally
25 Harmonized System) Category 1A and 1B chemicals.

26
27 ~~(12)(10)~~ “Chemical” means any either of the following that is contained in a consumer
28 product that has been placed into the stream of commerce in California:

29 (A) A chemical substance; or

30 (B) A chemical mixture;

31 ~~(C) Nanomaterial.~~

32
33 ~~(13)(11)~~ “Chemical ingredient” means a chemical contained in a consumer product or
34 component.

35
36 ~~(14)(12)~~ “Chemical Hazard Assessment” means the evaluation and comparison of a
37 product or component, and the alternatives selected for consideration, using pertinent factors
38 ~~listed specified~~ in section 69305.35(b).

39
40 (15) “Chemical identification and description information” means all of the following:

41 (A) Substance identification information;

1 (B) Information on the purity of the chemical, and identification of any know impurities
2 and additives contained in the chemical;

3 (C) Physico-chemical properties; and

4 (D) Environmental fate properties.

5
6 ~~(16)(13)~~ “Chemical mixture” means any combination of two or more chemical substances if
7 the combination does not occur in nature and is not, in whole or in part, the result of a chemical
8 reaction; except that such term does include any combination which occurs, in whole or in part,
9 as a result of a chemical reaction if none of the chemical substances comprising the
10 combination is a new chemical substance and if the combination could have been
11 manufactured without a chemical reaction. ~~a mixture or solution of two or more chemical~~
12 ~~substances.~~

13
14 ~~(17)(14)~~ “Chemical of Concern” means a chemical that is listed by the Department on either
15 the Chemicals Under Consideration List or the Priority Chemicals List pursuant to section
16 69302.2.

17
18 (18) “Chemical Removal Notice” means a notice submitted to the Department pursuant to
19 section 69303.2(d)(2).

20
21 ~~(15) “Chemical Removal Confirmation Notification” means a notification submitted to the~~
22 ~~Department by a manufacturer of a product or component that contained one or more~~
23 ~~Chemical under Consideration and/or Priority Chemicals notifying the Department that the~~
24 ~~manufacturer has removed all Chemicals under Consideration and/or Priority Chemicals from~~
25 ~~the product or component, without replacing the removed Chemicals under Consideration~~
26 ~~and/or Priority Chemicals with another chemical, or otherwise adding another chemical to the~~
27 ~~product or component. The Chemical Removal Confirmation Notification must include all of~~
28 ~~the following:~~

29 ~~(A) The manufacturer’s name and contact information;~~

30 ~~(B) The name of and contact information for any responsible entities known to the~~
31 ~~manufacturer;~~

32 ~~(C) Information describing the product or component, including the brand name(s) and~~
33 ~~labeling information;~~

34 ~~(D) Identification of all Chemicals under Consideration and/or Priority Chemicals that~~
35 ~~were removed from the product or component;~~

36 ~~(E) A statement certifying both of the following:~~

37 ~~1. Any and all Chemicals under Consideration and/or Product under Consideration~~
38 ~~have been removed from the product or component, and~~

39 ~~2. The manufacturer has completed all actions necessary to ensure the version of the~~
40 ~~product or component that contained the Chemicals under Consideration and/or Priority~~
41 ~~Chemicals is no longer placed into the stream of commerce in California.~~

42 ~~(F) A signed certification statement as required by section 69301.5(b).~~

1
2 (16) ~~“Chemical Removal Intent Notification” means a notification submitted to the~~
3 ~~Department by a manufacturer of a product or component that contains one or more~~
4 ~~Chemicals under Consideration and/or Priority Chemicals notifying the Department of the~~
5 ~~manufacturer’s intent to remove all Chemicals under Consideration and/or Priority Chemicals~~
6 ~~from the product or component, without replacing the removed Chemicals under Consideration~~
7 ~~and/or Priority Chemicals with another chemical, or otherwise adding another chemical to the~~
8 ~~product or component. The Chemical Removal Intent Notification must include all of the~~
9 ~~following:~~

10 (A) ~~The manufacturer’s name and contact information;~~

11 (B) ~~The name of and contact information for any responsible entities known to the~~
12 ~~manufacturer;~~

13 (C) ~~Information describing the product or component, including the brand name(s) and~~
14 ~~labeling information;~~

15 (D) ~~Identification of all Chemicals under Consideration and/or Priority Chemicals~~
16 ~~intended to be removed from the product or component;~~

17 (E) ~~A statement certifying that the manufacturer intends to do all of the following within~~
18 ~~ninety (90) days of the date the Chemical Removal Intent Notification is submitted to the~~
19 ~~Department:~~

20 1. ~~Complete all actions necessary to remove from the product or component any and~~
21 ~~all Chemicals under Consideration and/or Priority Chemicals,~~

22 2. ~~Complete all actions necessary to ensure the version of the product or component~~
23 ~~that contained the Chemicals under Consideration and/or Priority Chemicals is no longer~~
24 ~~placed into the stream of commerce in California, and~~

25 3. ~~Submit a Chemical Removal Confirmation Notification to the Department for the~~
26 ~~product or component.~~

27 (F) ~~A signed certification statement as required by section 69301.5(b).~~

28
29 (19)(17) ~~“Chemical substance” means any organic or inorganic substance of a particular~~
30 ~~molecular identity, including any combination of such substances occurring, in whole or part,~~
31 ~~as a result of a chemical reaction or occurring in nature, and any element or uncombined~~
32 ~~radical, a chemical element and its compounds in the natural state or obtained by any~~
33 ~~manufacturing process, including any additive necessary to preserve its stability and any~~
34 ~~impurity deriving from the process used, but excluding any solvent which may be separated~~
35 ~~without affecting the stability of the substance or changing its composition.~~

36
37 (18) ~~“Chemical Under Consideration” means a chemical listed by the Department~~
38 ~~pursuant to section 69302.2(a)(1).~~

39
40 (20) ~~“Children’s product” means a consumer product designed or intended primarily for~~
41 ~~children twelve (12) years of age or younger, as determined by one or more of the following~~
42 ~~factors:~~

1 (A) A statement by a manufacturer about the intended use of the product;

2 (B) Whether the product is represented in its packaging, display, promotion, or
3 advertising as appropriate for use by children twelve (12) years of age or younger; or

4 (C) Whether the product is commonly recognized by consumers as being intended for
5 use by a child twelve (12) years of age or younger.

6
7 (21)(19)(A) “Component” means a uniquely identifiable part, piece, assembly or
8 subassembly, system or subsystem of a consumer product that:

9 1. Is required to complete or finish an item; or

10 2. Performs a distinctive and necessary function in the operation of a system; or

11 3. Is intended to be included as a part of a finished item.

12 (B) “Component” does not include a chemical ingredient in a formulated consumer
13 product.

14
15 (22)(20)(A) “Consumer product” or “Product” means either any of the following:

16 1. A “consumer product” as defined in Health and Safety Code section 25251; or

17 2. A component that meets the definition of a “consumer product” as defined in Health
18 and Safety Code section 25251;:

19 3. ~~A chemical that meets the definition of a “consumer product”, as defined in Health~~
20 ~~and Safety Code section 25251, and that is packaged, and placed into the stream of~~
21 ~~commerce in California, as an individual chemical.~~

22 (B) “Consumer product” does not include either of the following:

23 1. A product that is no longer being placed into the stream of commerce by any
24 person in California as of the date that it would otherwise become subject to one or more
25 requirements of this chapter; or

26 2. A chemical that meets the definition of a “consumer product”, as defined in Health
27 and Safety Code section 25251, but that is not packaged, and placed into the stream of
28 commerce in California, as an individual chemical.

29
30 (23)(21) “Contact information” means mailing and electronic address, headquarters
31 location, phone number(s), and website address.

32
33 (24)(22) “Day” means calendar day. Periods of time are calculated by excluding the first
34 day and including the last. Except, if the last day is a Saturday, Sunday or other holiday
35 specified in Government Code section 6700 it is excluded.

36
37 (25)(23) “~~De minimis e~~Exemption Notification” means a notification submitted to the
38 Department an exemption requested and granted pursuant to section 69303.2(d)(3)69305.3.

39
40 (26)(24)(A) “De minimis level” means a concentration less than or equal to the lower
41 of:

42 (A) 4. 0.1% by weight; or

1 (B) If applicable, the hazardous waste regulatory threshold specified for the chemical
2 pursuant to Health and Safety Code section 25141.

3 2. ~~— The lowest federal or California State public health or environmental regulatory~~
4 ~~threshold that applies to the chemical or the chemical/product combination.~~

5 (B) ~~— For purposes of the definition of “de minimis level”, federal and California State~~
6 ~~public health and environmental regulatory thresholds include, but are not limited to:~~

7 1. ~~— Maximum Contaminant Levels (MCLs) and MCL goals developed by the United~~
8 ~~States Environmental Protection Agency under the federal Safe Drinking Water Act,~~

9 2. ~~— MCLs developed by the California Department of Public Health pursuant to Health~~
10 ~~and Safety Code section 116365(a),~~

11 3. ~~— Public Health Goals (PHGs) developed by the California Office of Environmental~~
12 ~~Health Hazard Assessment pursuant to Health and Safety Code section 116365(c),~~

13 4. ~~— Maximum Allowable Dose Levels (MADLs) for chemicals that cause reproductive~~
14 ~~toxicity developed by the California Office of Environmental Health Hazard Assessment~~
15 ~~pursuant to the Safe Drinking Water and Toxic Enforcement Act of 1986,~~

16 5. ~~— No Significant Risk Levels (NSRLs) for chemicals that cause cancer developed by~~
17 ~~the California Office of Environmental Health Hazard Assessment pursuant to the Safe~~
18 ~~Drinking Water and Toxic Enforcement Act of 1986,~~

19 6. ~~— Regional Screening Levels developed by the United States Environmental~~
20 ~~Protection Agency pursuant to the Comprehensive Environmental Response, Compensation~~
21 ~~and Liability Act (CERCLA) of 1980, as amended by the 1986 Superfund Amendments and~~
22 ~~Reauthorization Act, and~~

23 7. ~~— The criteria for the identification of hazardous waste pursuant to Health and Safety~~
24 ~~Code section 25141.~~

25
26 (27)(25) "Department" means the Department of Toxic Substances Control.

27
28 (28) “Detectable amount” means an amount above the detection limit. “Detection limit”
29 means the lowest concentration of a chemical that can be determined to be statistically
30 different from an analytical blank.

31
32 ~~(26) — “Distributor” means any person, other than a manufacturer or retailer, who takes title~~
33 ~~to a consumer product for purposes of placing the product into the stream of commerce in the~~
34 ~~United States.~~

35
36 (29) “Economic Impact Analysis” means the evaluation and comparison of a product or
37 component, and the alternatives selected for consideration, using pertinent factors specified in
38 section 69305.3(f).

39
40 (30)(27) “Economic impacts” means an increase or decrease in one or more any of the
41 following:

42 (A) Jobs or businesses,

- 1 (B) The costs of doing business,
2 (C) The cost of goods to consumers, ~~or~~
3 (D) ~~Other economic impacts including, but not limited to, those specified in section~~
4 ~~69305.5(d)(4).~~ Capital investments,
5 (E) Resource costs,
6 (F) Energy costs,
7 (G) Operation and maintenance costs,
8 (H) Waste disposal and treatment costs, or
9 (I) Other relevant financial investments or liabilities not listed above.

10
11 (31) "Economic interest" means that a person, or that person's spouse of dependent
12 child:

13 (A) Has a direct or indirect investment worth two thousand dollars (\$2,000) or more in
14 the responsible entity;

15 (B) Is a director, officer, partner, trustee, employee, or holds a position of management
16 in the responsible entity;

17 (C) Has an economic interest, as defined in subparagraph (A) or (B), in a business entity
18 that is a parent or subsidiary of, or is otherwise related to, the responsible entity, as defined in
19 section 18703.1(d) of Title 2 of the California Code of Regulations; or

20 (D) Receives a source of income from the responsible entity, other than income received
21 in compensation for verifying an AA and AA Report for the responsible entity pursuant to
22 section 69305.1(c).

23
24 (32)(28) "End-of-life" means the point when the product is discarded by the consumer or the
25 end of the useful life of the product, whichever occurs first.

26
27 (33)(29) "Energy efficiency" means the reduction of energy usage while maintaining a
28 comparable level of service during the manufacturing process or the use of the consumer
29 product.

30
31 (34)(30) "Environment" means the land, air, water, soil, minerals, flora and fauna.

32
33 (35) "Environmental fate properties" mean all of the following:

34 (A) Biodegradation,

35 (B) Photodegradation,

36 (C) Hydrolysis half-life,

37 (D) Aerobic and anaerobic soil and sediment half-lives,

38 (D) Fate and transport among environmental compartments, and

39 (E) Bioaccumulation in organs and tissues.

40
41 (36)(31) "Environmental impact" means any change to the environment, whether adverse or
42 beneficial, wholly or partially resulting from an activity, product or service.

1
2 ~~(37)~~(32) "Exposure Potential Assessment" means the evaluation and comparison of a
3 product or component, and the alternatives selected for consideration, using pertinent factors
4 ~~listed~~ specified in section 69305.35(c).

5
6 ~~(38)~~(33) "Failure to Comply List" means the list prepared by the Department pursuant to
7 section ~~69301.4(f)(3)~~69301.3(d)(3).

8
9 ~~(39)~~(34) "Failure to Respond List" means the list prepared by the Department pursuant to
10 section 69301.56(d)(3).

11
12 ~~(40)~~(35) "Financial guarantee" means a mechanism or mechanisms to ensure that
13 adequate funding is available to pay for future end-of-life management costs for the ~~producer's~~
14 ~~or~~ manufacturer's products placed into the stream of commerce in California.

15
16 ~~(41)~~(36) "Functionally equivalent" means that a product that has been altered by a chemical
17 or component substitution, or that has replaced another product, ~~substantially satisfies~~ meets
18 or exceeds the intended performance and functionality of the original product.

19
20 ~~(42)~~(37) "Greenhouse gas" means all ~~any~~ of the following gases:

- 21 (A) Carbon dioxide.
22 (B) Methane.
23 (C) Nitrous oxide.
24 (D) Hydrofluorocarbons.
25 (E) Perfluorocarbons.
26 (F) Sulfur hexafluoride.
27 (G) Nitrogen trifluoride.

28
29 ~~(43)~~(38) "Green chemistry principles" means the twelve principles of green chemistry
30 specified in "Green Chemistry: Theory and Practice" (Anastas, P.T. and Warner, J.C.; Oxford
31 University Press: New York, 1998, p. 30).

32
33 ~~(44)(A)~~(39) "Hazard trait" means ~~one of the following~~:

34 1.(A) Hazard traits as identified by the Office of Environmental Health Hazard Assessment
35 ("OEHHA") pursuant to Health and Safety Code section 25256.1;

36 2.(B) Until OEHHA promulgates its initial list of hazard traits, "hazard trait" ~~is limited to~~
37 means all of the following:

38 a.1. Carcinogenicity or reproductive toxicity. Chemicals with these traits are those
39 meeting the definition of carcinogen or reproductive toxin ~~as defined in this section~~.

40 b.2. Mutagenicity. Chemicals with this trait are those listed as having mutagenic
41 properties in the European Union Category 1A or 1B under Annex VI, part 3 of the Regulation
42 (EC) No. 1272/2008.

1 c.3- Chemicals that have been determined by the United States Environmental
2 Protection Agency to be Persistent Bioaccumulative Toxic chemicals.

3 d. Chemicals identified as priority toxic pollutants for California pursuant to section
4 303(c) of the federal Clean Water Act and listed in section 131.38 of Title 40 of the Code of
5 Federal Regulations published in the Federal Register May 18, 2000.

6 e. Pollutants listed by California or the United States Environmental Protection Agency
7 for one or more water bodies in California pursuant to section 303 (d) of the federal Clean
8 Water Act.

9 f. Chemicals included on the United States Environmental Protection Agency's
10 Existing Chemicals Action Plan list.

11 (B) Identification of hazard traits shall be based on criteria developed by the Department
12 or OEHHA for determining when a chemical exhibits a hazard trait, to the extent such criteria
13 are made available by the Department or OEHHA. If relevant criteria have not yet been
14 provided by the Department or OEHHA, reliable information shall be used to determine if the
15 chemical exhibits a hazard trait.

16
17 (45) "Household cleaning products" means the following products intended or labeled for
18 use in or around the home: glass cleaners, general purpose cleaners, degreasers, lime and
19 scale removers, washroom cleaners, tub and shower cleaners, toilet cleaners, kitchen
20 cleaners, sink and countertop cleaners, stove top and hood cleaners, oven and grill cleaners,
21 carpet cleaners, metal cleaners and polishers, furniture polishes, floor care products, laundry
22 detergents and stain removers, fabric softeners, drain cleaners, hard surface cleaners,
23 dishwashing products, hand soaps, disinfectants, and odor abatement or enhancing products.
24 "General purpose cleaners" are cleaners intended or labeled for more than one of the cleaning
25 uses listed above.

26
27 (40) "Importer" means a person who brings, or arranges to bring, a consumer product
28 into the United States for purposes of placing the product into the stream of commerce.

29
30 (46)(41) "Intentionally-added chemical or chemical ingredient" means a chemical or
31 chemical ingredient that is deliberately used in the formulation or assembly of product where
32 the continued presence is desired in the final consumer product to provide a specific
33 characteristic, appearance, or quality.

34
35 (42) "Intermediate manufacturing process" means:

36 (A) The primary processing of raw materials into industrial materials, and

37 (B) The secondary processing of industrial raw materials including, formulating, casting
38 and molding, forming, separating, conditioning, further refining, assembling and finishing
39 processes to manufacture consumer products.

1 ~~(47)(43)~~ “Inventory recall” means to cause the return, directly or indirectly, of a consumer
2 product that has not been sold at retail back to the responsible entity or the manufacturer of
3 the consumer product.

4
5 ~~(48)(44)~~ “Life cycle” means the activities in the course of a consumer product’s life span,
6 including which are its design, raw materials mining, resource inputs and other resource
7 consumption, intermediate materials processes, manufacture, packaging, transportation for,
8 distribution, marketing, use, operation and maintenance, resource consumption, waste
9 generation and management, reuse and recycling, maintenance, and ultimate disposition end-
10 of-life disposal.

11
12 ~~(49)(45)~~ “Life cycle thinking” means examining environmental sustainability over a product’s
13 entire life cycle; ~~including, but not limited to, raw material selection, manufacturing,~~
14 ~~transportation, use and end-of-life disposal or reuse and waste management.~~

15
16 ~~(50)(46)~~ “Listserv” means an electronic mailing list that persons may subscribe to on the
17 Department’s website in order to automatically receive electronic notification concerning the
18 posting of documents and other information on the Department’s website, ~~including documents~~
19 ~~and information posted pursuant to section 69301.7(a).~~

20
21 ~~(51)(47)~~ “Manufacturer” means the person that produces a product that is placed into the
22 stream of commerce in California. ~~any of the following:~~

23 ~~(A) The producer of a consumer product;~~

24 ~~(B) The person who is the owner or licensee of the brand name or trademark, whether~~
25 ~~or not the brand name or trademark is registered, under which a consumer product is placed~~
26 ~~into the stream of commerce in California.~~

27
28 ~~(52)~~ “Market presence information” means all of the following:

29 ~~(A) Statewide sales by volume in the past calendar year;~~

30 ~~(B) Statewide sales by number of units in the past calendar year; and~~

31 ~~(C) Intended product use(s) and types of targeted customer base(s).~~

32
33 ~~(53)(48)(A)~~ “Materials and resource consumption” means renewable and
34 nonrenewable resources that are used for a consumer product during its life cycle.

35 (B) A renewable resource is a resource that is replaced by natural processes at a rate
36 that is equal to or faster than its consumption rate and includes solar, wind, timber,
37 agriculture ~~and~~ and water. A renewable resource may become a nonrenewable resource if the
38 rate at which it is consumed exceeds the rate at which it is produced such that its continued
39 use may drive the resource to exhaustion.

40 (C) A nonrenewable resource is a resource that is formed over long periods of geologic
41 time and includes petroleum, coal, metals (mined and recycled), minerals, and exhausted
42 ~~renewable resources.~~

1
2 (54) “Materials and resource consumption impacts” means all of the following:

3 (A) Water consumption and conservation,

4 (B) Production, in-use, and transportation energy inputs,

5 (C) Energy consumption and efficiency, and

6 (D) Reusability and recyclability.

7
8 (55)(49) “Multimedia Life Cycle Evaluation” means the evaluation and comparison of a
9 product or component, and the alternatives selected for consideration, using pertinent factors
10 listed ~~specified~~ in section 69305.35(d).

11
12 (50)(A) “Nanomaterial” means any form of an intentionally engineered chemical, substance
13 or material that is intended to be composed of a discrete nanostructure that meets either of the
14 following criteria:

15 1. At least one spatial dimension of the nanostructure is at the nanoscale, or

16 2. The nanostructure is larger than nanoscale in any spatial dimension, but is 1000
17 nanometers or less in at least one spatial dimension, and the nanostructure exhibits one or
18 more nanoscale phenomena.

19 (B) “Nanoscale” means of the order of no less than one (1) nanometer and no more than
20 100 nanometers.

21 (C) “Nanoscale phenomena” means properties of a nanomaterial that are attributable to
22 its size and distinguishable from the chemical or physical properties of individual atoms,
23 individual molecules and bulk material.

24 (D) “Nanostructure” means any intentionally manufactured structure or feature that is
25 composed of discrete functional parts, either internally or at the surface, at the nanoscale.

26
27 (56)(51) “Persistence” means the length of time ability of a chemical substance is able or its
28 degradation products to exist remain in an environment in an unchanged form.

29
30 (57)(52) “Person” has ~~shall have~~ the same meaning as in Health and Safety Code section
31 25118.

32
33 (58) “Personal care product” means a consumable product that is intended to be used in
34 the topical care and/or grooming of the body and hair and that is rubbed, poured, sprinkled, or
35 sprayed on, introduced into, or otherwise applied to a body for cleansing, beautifying,
36 promoting attractiveness, or altering the appearance without affecting the body’s structure or
37 functions.

38
39 (59) “Physical chemical hazards” means all of the following:

40 (A) Flammability,

41 (B) Flash point,

42 (C) Explosivity limits,

1 (D) Auto-flammability temperature, and

2 (E) Oxidizing properties.

3
4 (60) "Physico-chemical properties" means all of the following:

5 (A) Physical state and form,

6 (B) Decomposition and/or melting point temperatures,

7 (C) Boiling point temperature,

8 (D) Relative density,

9 (E) Vapor density,

10 (F) Vapor pressure,

11 (G) Partition coefficient,

12 (H) Surface tension,

13 (I) Viscosity, and

14 (J) Water Solubility.

15
16 ~~(61)(53)(A)~~ "Place into the stream of commerce in California" means the sale, offer for
17 sale, distribution, supply or manufacture of a consumer product for use in the state of
18 California~~that a person sells, offers for sale, distributes, supplies, or otherwise transfers control~~
19 ~~over the disposition of a consumer product directly to a California consumer, or to another~~
20 ~~person without maintaining sufficient control over the distribution, sale, supply, or other transfer~~
21 ~~of the consumer product by that person to prevent the use of the consumer product by a~~
22 California consumer.

23 (B) ~~"Sell~~Sale or offer for sale" means any transfer or offer to transfer for consideration of
24 title or the right to use, by lease or sales contract, including, ~~but not limited to,~~ transactions
25 conducted and offers made through sales outlets, catalogs, or the Internet, or any other similar
26 electronic means.

27
28 ~~(54)~~ "Priority Chemical" ~~means a chemical listed by the Department pursuant to section~~
29 ~~69302.2(a)(2).~~

30
31 ~~(62)(55)~~ "Priority Product" means a product listed by the Department pursuant to section
32 ~~69303.2(a)(2).~~

33
34 ~~(63)(56)(A)~~ "Produce" means to make a product.

35 (B) "Produce" does not include any of the following actions, unless the action results in
36 the addition of a Chemical of Concern to, or replacement of a Chemical of Concern in, a
37 product:

- 38 1. Repair or refurbishment of an existing consumer product,
- 39 2. Installation of standardized components to an existing consumer product, or
- 40 3. Making non-material alterations to an existing consumer product.

1 ~~(57) “Producer” means the entity that produces a product that is placed into the stream of~~
2 ~~commerce in California.~~

3
4 ~~(64)(58) “Product function and performance” means the principal use(s) or application(s) of~~
5 ~~a product by a consumer, as intended by the manufacturer, including function and~~
6 ~~performance attributes, and safety and environmental standards required by federal or~~
7 ~~California law.~~

8
9 ~~(65) “Product Function and Performance Analysis” means the evaluation and~~
10 ~~comparison of a product or component, and the alternatives selected for consideration, using~~
11 ~~pertinent factors specified in section 69305.3(e).~~

12
13 ~~(59) “Product Removal Confirmation Notification” means a notification submitted to the~~
14 ~~Department by a manufacturer of a product or component that contained one or more~~
15 ~~Chemicals under Consideration and/or Priority Chemicals notifying the Department that the~~
16 ~~product or component is no longer being placed into the stream of commerce in California.~~
17 ~~The Product Removal Confirmation Notification must include all of the following:~~

18 ~~(A) The manufacturer’s name and contact information;~~

19 ~~(B) The name of and contact information for any responsible entities known to the~~
20 ~~manufacturer;~~

21 ~~(C) Information describing the product or component, including the brand name(s) and~~
22 ~~labeling information;~~

23 ~~(D) Identification of all Chemicals under Consideration and/or Priority Chemicals that~~
24 ~~were contained in the product or component;~~

25 ~~(E) A statement certifying that the manufacturer has completed all actions necessary to~~
26 ~~ensure the product or component is no longer placed into the stream of commerce in~~
27 ~~California.~~

28 ~~(F) A signed certification statement as required by section 69301.5(b).~~

29
30 ~~(60) “Product Removal Intent Notification” means a notification submitted to the~~
31 ~~Department by a manufacturer of a product or component that contains one or more~~
32 ~~Chemicals under Consideration and/or Priority Chemicals notifying the Department of the~~
33 ~~manufacturer’s intent to discontinue placing the product into the stream of commerce in~~
34 ~~California. The Product Removal Intent Notification must include all of the following:~~

35 ~~(A) The manufacturer’s name and contact information;~~

36 ~~(B) The name of and contact information for any responsible entities known to the~~
37 ~~manufacturer;~~

38 ~~(C) Information describing the product or component, including the brand name(s) and~~
39 ~~labeling information;~~

40 ~~(D) Identification of all Chemicals under Consideration and/or Priority Chemicals~~
41 ~~contained in the product or component;~~

1 ~~(E) — A statement certifying that the manufacturer intends to do both of the following within~~
2 ~~ninety (90) days after the date the Chemical Removal Intent Notification is submitted to the~~
3 ~~Department:~~

4 ~~1. — Complete all actions necessary to ensure the product or component is no longer~~
5 ~~placed into the stream of commerce in California, and~~

6 ~~2. — Submit a Product Removal Confirmation Notification to the Department for the~~
7 ~~product or component.~~

8 ~~(F) — A signed certification statement as required by section 69301.5(b).~~

9
10 ~~(61) — “Product stewardship” means the shared responsibility of product producers,~~
11 ~~manufacturers and responsible entities, for end-of-life product management. The primary~~
12 ~~responsibility lies with the product producer, or manufacturer, who makes the product design~~
13 ~~and marketing decisions.~~

14
15 ~~(62) — “Product Under Consideration” means a product listed by the Department pursuant~~
16 ~~to section 69303.2(a)(1).~~

17
18 ~~(66)(63) “Public health impacts” means effects on the health of the general population or~~
19 ~~sensitive subpopulations.~~

20
21 ~~(67) “Purity” means relative freedom from extraneous matter in the finished product,~~
22 ~~whether or not the extraneous matter is harmful to the user or deleterious to the product.~~

23
24 ~~(68)(64) “Recycled material” means a material that has been separated from a waste~~
25 ~~stream for the purpose of recycling the material as feedstock including paper, plastic, wood,~~
26 ~~glass, ceramics, metals, and other materials.~~

27
28 ~~(69)(65) “Release” means an intentional or unintentional process that liberates, emission~~
29 ~~or discharges of a chemical that is contained in a consumer product into the environment and~~
30 ~~includes, but is not limited to any release which results in exposure to persons during any~~
31 ~~phase of the product’s life cycle. This includes releases of chemicals, heat, and ionizing and~~
32 ~~non-ionizing radiation.~~

33
34 ~~(70)(66) “Reliable information” means data, studies and other information that have been:~~

35 ~~(A) Scientifically peer-reviewed; or~~

36 ~~(B) Generated using one of the following established federal guidelines, including, but~~
37 ~~not limited to, any of the following:~~

38 ~~1. United States Food and Drug Administration Good Laboratory Practices (Part 58 of~~
39 ~~Title 21 of the Code of Federal Regulations),~~

40 ~~2. United States Environmental Protection Agency’s Office of Chemical Safety and~~
41 ~~Pollution Prevention Harmonized Test Guidelines,~~

- 1 3. Federal Toxic Substances Control Act (TSCA) (Chapter 1 of Title 40 of the Code of
2 Federal Regulations), and
- 3 4. TSCA Testing Guidelines (Parts 798 and 799 of Title 40 of the Code of Federal
4 Regulations); or
- 5 (C) Published in scientifically peer reviewed literature; or
- 6 (D) Published in final state or federal scientific reports; or
- 7 (E) Published in a final report of the National Academy of Sciences, National Academy
8 of Engineering, Institute of Medicine, or National Research Council; or
- 9 (F) Published in final reports from the agencies that implement the laws and programs
10 described in section 69301.56(c)(2); or
- 11 (G) Developed, or reviewed and accepted, by a federal agency or a California State or
12 local agency for compliance or other regulatory purposes; or
- 13 (H) Generated according to valid accepted testing protocols in which the test parameters
14 documented are based on specific testing guidelines or in which all parameters described are
15 comparable to a guideline method, such as including:
- 16 1. Organization for Economic Cooperation and Development (OECD) Guidelines for
17 Testing of Chemicals,
- 18 2. OECD Series on Principles of Good Laboratory Practice and Compliance
19 Monitoring,
- 20 3. OECD Manual for Investigation of High Production Volume Chemicals,
- 21 4. REACH/ECHA Guidance on Information Requirements and Chemical Safety
22 Assessment and Regulation (EC) No. 440/2008 of the European Parliament and the Council,
23 and
- 24 53. Canadian Environmental Protection Act (CEPA) Guidelines for the Notification and
25 Testing of New Substances: Chemicals and Polymers.
- 26
- 27 (71) “Reliable information demonstrating the occurrence, or potential occurrence, of
28 public health and/or environmental exposures” means all of the following that met the definition
29 of reliable information:
- 30 (A) Monitoring data that shows the chemical to be present in household dust, indoor air,
31 drinking water, or on interior surfaces;
- 32 (B) Monitoring data that shows the chemical to be present in, or released from, products
33 used in or present in the home;
- 34 (C) Environmental monitoring data, or environmental modeling results, that indicate
35 environmental accumulation of a chemical;
- 36 (D) California Environmental Contaminant Biomonitoring Program data, or other
37 biomonitoring data, that show the chemical to be present in human organs, tissues or fluids;
- 38 (E) Environmental monitoring data that shows the accumulation of the chemical in
39 aquatic, avian, animal or plant species;
- 40 (F) Exposure modeling that indicates exposure point concentration(s) associated with
41 adverse public health or environmental impacts; and

1 (G) Monitoring data indicating the presence of a chemical or its degradation products in
2 California solid waste, wastewater or storm water streams collected or managed by California
3 State or local agencies in concentrations or volumes that:

- 4 1. Present public health or environmental threats,
5 2. Require the significant expenditure of public funds to mitigate public health or
6 environmental threats,
7 3. Significantly increase the costs of reusing or recycling materials containing the
8 chemical, or
9 4. Interfere with the proper operation of solid waste, wastewater, or storm water
10 treatment systems and may result in the discharge of the chemical to the environment.

11
12 ~~(72)(67)~~ “Responsible entity” means any either of the following:

13 ~~(A) The manufacturer of a consumer product person who is the owner or licensee of the~~
14 ~~brand name or trademark, whether or not the brand name or trademark is registered, under~~
15 ~~which a consumer product is placed into the stream of commerce in California. If the product~~
16 ~~is labeled or marked with more than one brand name or trademark, the responsible entity is~~
17 ~~the person which the product was “manufactured for” or “distributed by”, as noted on the label.~~

18 (B) The retailer of a consumer product.

19 ~~(B) A California importer;~~

20 ~~(C) A California distributor;~~

21 ~~(D) A retailer;~~

22 ~~(E) Any other person who is party to a contractual agreement with a California importer,~~
23 ~~California distributor, or retailer concerning a consumer product that is placed into the stream~~
24 ~~of commerce in California, unless that contractual agreement specifically states that the~~
25 ~~consumer product shall not be placed into the stream of commerce in California.~~

26
27 ~~(73)(68)~~ “Retailer” means a person who sells, supplies, or offers for sale, directly to a
28 consumer in California, a consumer product not produced by that person.

29
30 ~~(74)(69)~~ “Safer” means a ~~demonstrated~~ net reduction of projected public health and
31 environmental adverse impacts.

32
33 ~~(75)(70)~~ “Sales outlet” means any place at which consumer products are sold, supplied, or
34 offered for sale directly to consumers in California.

35
36 ~~(76)(71)~~ “Selected alternative” means the alternative that is selected to replace a Priority
37 Product or component, including, if applicable, reformulating the product or component using
38 an alternative chemical, and is identified pursuant to section ~~69305.8(f)~~ 69305.4(j).

39
40 ~~(77)(72)~~ “Sensitive subpopulations” means subgroups that comprise a meaningful portion of
41 the general population that are identifiable as being at greater risk of adverse health effects
42 when exposed to one or more chemicals that exhibit a hazard trait, including, but not limited to,

1 infants, children, pregnant women, elderly individuals, and individuals with a history of serious
2 illness that renders them as being at greater risk of adverse health effects when exposed to
3 chemicals.

4
5 ~~(78)(73)~~ “Soil sealing” means the covering of the soil surface with a layer of impervious
6 material or changing the nature of the soil so that it behaves as an impermeable medium.

7
8 (79) “Substance identification information” means all of the following:

9 (A) Chemical abstract number,

10 (B) Structural formula,

11 (C) Molecular weight,

12 (D) Synonyms, and

13 (E) IUPAC name.

14
15 ~~(74) “State or local agency” means a California State agency or a California local agency.~~

16
17 ~~(75) “Supply chain” means every entity, other than the final purchaser or leaser of a~~
18 ~~finished consumer product, which comes in contact with the product, including, but not limited~~
19 ~~to the product producer, manufacturer, importer, distributor, California importer, California~~
20 ~~distributor, and retailer.~~

21
22 ~~(80)(76)(A)~~ “Technologically and economically feasible alternative” means an
23 alternative product, component, or chemical for which:

24 1. The current technological knowledge, equipment, materials and other resources
25 available to the manufacturer are sufficient to develop and implement the alternative;

26 2. The manufacturer may earn at least a comparable rate of return on the alternative
27 product, as compared to the rate of return earned on the Priority Product or component, over a
28 reasonable period of time after the alternative has been implemented; and

29 3. The manufacturer and the product impose no significant increase in externalized
30 aggregate costs to the consumer and to public health and the environment.

31 (B) As part of a determination of whether a “technologically and economically feasible
32 alternative” exists, consideration shall be given to all of the following to the extent applicable:

33 1. The extent to which a functionally equivalent alternative is currently available in the
34 marketplace;

35 2. The affordability of any currently available functionally equivalent alternative; and

36 3. The purchase price differential between the Priority Product or component and the
37 alternative.

38
39 ~~(81)(77)~~ “Threat” means a potential to cause an adverse impact.

40
41 ~~(78) “Tier I Alternatives Assessment” or “Tier I AA” means an assessment that the~~
42 ~~Department concurs is substantially equivalent to the Green Screen For Safer Chemicals, as~~

1 ~~published and amended by Clean Production Action, or any other AA tool and/or methodology~~
2 ~~that the Department concurs is acceptable for purposes of section 69305.1(a)(5).~~

3
4 (79) ~~“Tier I AA Report” means a report prepared to describe the conduct, findings and~~
5 ~~conclusions of a Tier I AA.~~

6
7 (80) ~~“Tier II Alternatives Assessment” or “Tier II AA” means an alternatives assessment~~
8 ~~that conforms to the applicable requirements of section 69305.5.~~

9
10 (82) “Toxic” means a substance may cause an adverse biological effect.

11
12 (83)(81) “Trade secret” means a “trade secret” as defined in subdivision (d) of section
13 3426.1 of the Civil Code. information including a formula, pattern, compilation, program, device,
14 method, technique, or process that:

15 (A) ~~Derives independent economic value, actual or potential, from not being generally~~
16 ~~known to the public or to other persons who can obtain economic value from its disclosure or~~
17 ~~use; and~~

18 (B) ~~Is the subject of efforts that are reasonable under the circumstances to maintain its~~
19 ~~secrecy.~~

20
21 (84)(82) “Unintentionally-added chemical or chemical ingredient” means a chemical or
22 chemical ingredient that is present in a consumer product but is not an intentionally-added
23 chemical or chemical ingredient.

24
25 (85)(83) “Useful life” means the period of time during which a product can be used for its
26 intended use, expressed in either terms of a single use, number of applications, days, months
27 or years of use.

28
29 (86) “Waste and end-of-life impacts” means impacts associated with all of the following:

30 (A) The amount of waste and byproducts generated, and any special handling required
31 for the waste and byproducts, during the life cycle of the Priority Product or component and
32 each alternative being considered;

33 (B) Disposal, treatment or use of waste and byproducts, including solid waste,
34 wastewater and storm water discharge streams; and

35 (C) Disposal of the Priority Product in the trash, down the sewer, or down the storm
36 drain that interferes with the proper operation of solid waste, wastewater or storm water
37 treatment facilities, and that may result in the discharge of Chemicals of Concern to the
38 environment.

39
40 (87)(84) “Water conservation” means reducing water consumption usage throughout the life
41 cycle of a product.

1 ~~(85) “Water quality impacts” means any effect upon beneficial uses as specified in Water~~
2 ~~Code section 13050(f) or adopted in a Water Quality Control Plan pursuant to article 3 of~~
3 ~~chapter 3 and article 3 of chapter 4 of division 7 of the Water Code, and includes impacts that~~
4 ~~may occur in waters of the State as defined in Water Code section 13050(e), including, but not~~
5 ~~limited to, groundwater, fresh water, brackish water, marsh lands, wetlands, or coastal bodies~~
6 ~~or systems.~~

7
8 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

9 Reference: Sections 25251, 25252, 25253, and 25257, Health and Safety Code, Section 1060,
10 Evidence Code, and Sections 3426 through 3426.11, inclusive, Civil Code.

11
12 **§ 69301.23. Acronyms.**

13 AA Alternatives Assessment

14 CEPA Canadian Environmental Protection Act

15 CRNR California Regulatory Notice Register

16 ECHA European Chemicals Agency

17 IEC International Electrotechnical Commission

18 ISO ~~International Organization for Standardization~~

19 IUPAC International Union of Pure and Applied Chemistry

20 NAICS North American Industry Classification System

21 OECD Organization of Economic Cooperation and Development

22 OEHHA Office of Environmental Health Hazard Assessment

23 REACH Registration, Evaluation, Authorisation and Restriction of Chemicals, Regulation
24 (EC) No. 1907/2006 of the European Parliament and the Council-

25 TSCA Toxic Substances Control Act

26
27 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

28 Reference: Sections 25252 and 25253, Health and Safety Code.

29
30 **§ 69301.34. Duty to Comply and Consequences of Non-Compliance.**

31 (a) Duty to Comply.

32 (1) The duty to comply with the requirements of this chapter applicable to responsible
33 entities lies principally with the manufacturer. A retailer is required to comply with these
34 requirements only if the manufacturer has failed to comply and the Department notifies the
35 retailer of the manufacturer’s non-compliance by posting the information on the Failure to
36 Comply List. The notice shall specify the requirement with which the retailer shall comply and
37 the timeframe for compliance.

38 (2) The requirements of this chapter applicable to responsible entities may be fulfilled by
39 a consortium, trade association, public-private partnership, or other entity acting on behalf of
40 the responsible entity.

41 ~~(a) Submission of Chemical and Product Information.~~

1 (1) ~~When information is requested by the Department pursuant to section 69301.6, a~~
2 ~~responsible entity for a product that is the subject of the request shall make the information~~
3 ~~available to the Department by the date requested. If a chemical is the subject of the request~~
4 ~~for information, a responsible entity for any product containing that chemical shall make the~~
5 ~~information available to the Department. In either case, the responsible entity may fulfill this~~
6 ~~obligation by ensuring that the requested information is made available to the Department by~~
7 ~~another person by the date requested. If requested by the responsible entity, or a person~~
8 ~~acting on behalf of the responsible entity, the Department may, at its discretion, approve no~~
9 ~~more than one 60-day extension to the due date for making the requested information~~
10 ~~available to the Department.~~

11 (2) ~~Notwithstanding paragraph (1), a responsible entity will not be held responsible for~~
12 ~~making available the requested information if any of the following occurs:~~

13 (A) ~~The Department notifies the responsible entity that the requested information has~~
14 ~~been made available to the Department by another person.~~

15 (B) ~~The requested information is made available to the Department by another person,~~
16 ~~the responsible entity receives a notice from that person identifying the information made~~
17 ~~available and the date the information was made available to the Department, and a copy of~~
18 ~~this notice is provided to the Department.~~

19 (C) ~~The responsible entity complies with the requirements of subsection (e).~~

20 (b) ~~Priority Product Notification.~~

21 ~~The responsible entity shall be responsible for complying with the notification requirements~~
22 ~~of section 69303.5, unless one of the following occurs:~~

23 (1) ~~The notification is provided to the Department by the product manufacturer or~~
24 ~~another person in the product supply chain; or~~

25 (2) ~~The responsible entity complies with the requirements of subsection (e).~~

26 (c) ~~Alternatives Assessments.~~

27 (1) ~~The responsible entity shall be responsible for complying with any requirement(s) of~~
28 ~~article 5 that apply to one or more of the products that entity places into the stream of~~
29 ~~commerce in California. The responsible entity may fulfill this obligation by ensuring that the~~
30 ~~applicable requirements of article 5 are fulfilled for that particular product within the required~~
31 ~~time line(s) by another person.~~

32 (2) ~~Notwithstanding paragraph (1), a responsible entity will not be held responsible for~~
33 ~~complying with one or more applicable requirements of article 5 if any of the following occur:~~

34 (A) ~~The requirement has been fulfilled to the Department's satisfaction by another~~
35 ~~person;~~

36 (B) ~~The Department has granted a de minimis exemption for the product, pursuant to~~
37 ~~section 69305.3; or~~

38 (C) ~~The responsible entity complies with the requirements of subsection (e).~~

39 (d) ~~Regulatory Responses.~~

40 (1) ~~The responsible entity shall be responsible for complying with any requirement(s) of~~
41 ~~article 6 that apply to one or more of the products that entity places into the stream of~~
42 ~~commerce in California. The responsible entity may fulfill this obligation by ensuring that the~~

1 ~~applicable requirements of article 6 are fulfilled for that particular product within the required~~
2 ~~time line(s) by another person.~~

3 (2) ~~Notwithstanding paragraph (1), a responsible entity will not be held responsible for~~
4 ~~complying with one or more applicable requirements of article 6 if one of the following occurs:~~

5 (A) ~~The requirement has been fulfilled to the Department's satisfaction by another~~
6 ~~person; or~~

7 (B) ~~The responsible entity complies with the requirements of subsection (e).~~

8 (b) Manufacturer Option.

9 A responsible entity that is the manufacturer of a product shall not be held responsible for
10 complying with requirements of this chapter applicable to responsible entities if the
11 manufacturer provides documentation to the Department demonstrating to the Department's
12 satisfaction that the product is no longer placed into the stream of commerce in California. The
13 documentation shall include all of the following:

14 (1) The manufacturer's name and contact information;

15 (2) The name of, and contact information, for all persons in California, other than the
16 final purchaser or lessee, to whom the manufacturer directly sold the product within the prior
17 twelve (12) months;

18 (3) Identification and location of the manufacturer's retail sales outlets where the
19 manufacturer sold, supplied or offered for sale the product in California, if applicable; and

20 (4) Information describing the product, including the brand name(s) under which the
21 product was placed into the stream of commerce in California.

22 (c)(e) Retailer Options for Responsible Entities.

23 (1) ~~A responsible entity that is a retailer, but not the manufacturer, of a consumer~~
24 ~~product for which the Department has provided notice pursuant to subsection (a), shall will not~~
25 ~~be held responsible for complying with the requirements specified in the notice if the~~
26 ~~manufacturer fulfills the requirements of subsection (b), or if the retailer of section 69301.6,~~
27 ~~section 69303.5, article 5, or article 6 that are applicable to a product, or to a chemical~~
28 ~~contained in a product, placed into the stream of commerce in California by that responsible~~
29 ~~entity, if the responsible entity is not the manufacturer of the product and has complied~~
30 ~~complies with all both of the following requirements:~~

31 (1)(A) The retailer ceases ordering the product no later than thirty (30) days after the
32 Department has provided notice pursuant to subsection (a). The responsible entity has ceased
33 to place the product into the stream of commerce in California, notifies the Department of this
34 action no later than thirty (30) days after the original or extended due date for the applicable
35 requirement, and provides any additional related information subsequently requested by the
36 Department within the time specified.

37 (2)(B) No later than sixty (60) days after the Department has provided notice pursuant to
38 subsection (a), the retailer notifies the Department that it has ceased ordering the product, and
39 provides the following information to the Department. The notification required pursuant to
40 subparagraph (A), must include all of the following information:

41 (A) 4. The retailer's responsible entity's name and contact information;

42 (B) The manufacturer's name and contact information;

1 ~~(C)2.~~ Identification and location of the retailer's all known sales outlets where the product
2 is sold, supplied or offered for sale in California;

3 ~~(D)3.~~ Name of, and contact information for, the person immediately upstream from the
4 retailer responsible entity in the supply chain for the product; and

5 ~~4. Name of and contact information for all other persons, known to the responsible~~
6 ~~entity, in the supply chain for the product, including, but not limited to, other responsible~~
7 ~~entities, chemical and product manufacturer(s), California importer(s), California distributor(s),~~
8 ~~person(s) who import the product into the United States, and person(s) who distribute the~~
9 ~~product in the United States;~~

10 ~~(E)5.~~ Information describing the product, including the B brand name(s) under which the
11 retailer responsible entity placed the product into the stream of commerce in California, ~~along~~
12 ~~with a copy of, or reproduction of all information contained on the product label, package, and~~
13 ~~packaging insert, as applicable; and~~

14 ~~6. Documentation demonstrating that the responsible entity had a contractual~~
15 ~~agreement with the person(s) who supplied the product to the responsible entity that requires~~
16 ~~the supplier(s) to ensure that all applicable requirements of this chapter have been and will be~~
17 ~~complied with for any product(s) supplied under the agreement.~~

18 ~~(C) The responsible entity has signed up for any listservs established by the Department~~
19 ~~related to this chapter.~~

20 ~~(2) A responsible entity that is the manufacturer of a product will not be held responsible~~
21 ~~for complying with requirements of section 69301.6, section 69303.5, article 5, or article 6 that~~
22 ~~are applicable to that product, or to a chemical contained in that product, if the responsible~~
23 ~~entity provides documentation to the Department demonstrating to the Department's~~
24 ~~satisfaction that the product is no longer placed into the stream of commerce in California by~~
25 ~~any person.~~

26 ~~(d)(f)~~ Failure to Comply List.

27 (1)(A) When the Department determines that one or more requirements of this chapter
28 have not been complied with for a specific chemical or product, the Department shall issue a
29 notice of non-compliance to all responsible entities for the product known to the Department.
30 ~~A copy of the notice shall also be sent to all other persons in the supply chain for the product~~
31 ~~or chemical known to the Department.~~

32 (B) A notice of non-compliance issued pursuant to subparagraph (A) shall describe the
33 nature of the non-compliance and the Department's intent to place information concerning the
34 determination of non-compliance on the Failure to Comply List on its website pursuant to
35 paragraph (3).

36 (2) No sooner than forty-five (45) days and no later than ninety (90) days after issuing a
37 notice of non-compliance pursuant to paragraph (1), if the non-compliance has not been
38 remedied to the satisfaction of the Department, and there is no pending dispute under article 7
39 concerning the notice of non-compliance, the Department shall post information concerning the
40 determination of non-compliance on the Failure to Comply List on its website pursuant to
41 paragraph (3). The non-compliance shall be deemed to be remedied if the Department
42 determines that the requirements of subsection ~~(b)(e)~~(2) have been fulfilled.

1 (3) The Department shall post and maintain on its website a Failure to Comply List that
2 includes all of the following information for each product covered by a notice of non-
3 compliance:

4 (A) Information identifying and describing the product, including the brand name(s)
5 under which the product is placed into the stream of commerce in California;

6 (B) The requirement(s) of this chapter, and any applicable due date(s), that are the
7 basis for the notice of non-compliance;

8 (C) Any ~~Priority~~ Chemical(s) of Concern known to be contained in the product;

9 (D) The name of and, if known, the contact information for the person listed on the
10 product label as the manufacturer and the person, if any, listed as the distributor;

11 (E) The name of and contact information for any responsible entity that has been
12 notified by the Department, pursuant to paragraph (1), except that the Department shall not
13 include any responsible entity that the Department has determined has fully complied with the
14 requirements of subsection ~~(c)(e)(1)~~; and

15 ~~(F) The name of and contact information for any other person that has been notified by~~
16 ~~the Department, pursuant to paragraph (1);~~

17 ~~(G) The date the product is first listed on the Failure to Comply List.~~

18 (4) The Department shall remove a product, and the associated information, from the
19 Failure to Comply List upon a determination by the Department that the condition of non-
20 compliance has been fully remedied, or that the requirements of subsection ~~(b)(e)(2)~~ have
21 been fulfilled. ~~A product, and its associated information, shall also be removed from the~~
22 ~~Failure to Comply List if a Chemical Removal Confirmation Notification or a Product Removal~~
23 ~~Confirmation Notification has been submitted to the Department for the product, and the~~
24 ~~condition of non-compliance was not related to the requirements of section 69301.6.~~

25 (5) The Department shall remove information concerning a retailer who is a responsible
26 entity from the Failure to Comply List upon a determination by the Department that the retailer
27 ~~responsible entity~~ has complied with the applicable requirements of subsection ~~(c)(e)~~.

28 ~~(g) Violations. The following consequences for non-compliance with the requirements~~
29 ~~of this chapter are in addition to subsection (f):~~

30 ~~(1) A person who fails to comply with any of the requirements of this chapter shall be~~
31 ~~subject to all applicable provisions of article 8 of chapter 6.5 of division 20 of the Health and~~
32 ~~Safety Code, including, but not limited to, those provisions pertaining to enforcement actions~~
33 ~~and fines and penalties.~~

34 ~~(2) Any person who intentionally or negligently makes a false statement or false~~
35 ~~representation in any information or document made available to the Department or any other~~
36 ~~entity pursuant to this chapter shall be subject to the fines and penalties, and other provisions,~~
37 ~~of article 8 of chapter 6.5 of division 20 of the Health and Safety Code applicable to persons~~
38 ~~who make false statements or representations.~~

39
40 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

41 Reference: ~~Article 8 of Chapter 6.5 of Division 20 and Sections 25252 and 25253, Health and~~
42 ~~Safety Code.~~

1
2 **§ 69301.45. Information Submission and Retention Requirements.**

3 (a) All documents and other information submitted to the Department pursuant to this
4 chapter shall be signed by the owner or an officer of the company, or an authorized
5 representative, and by the person(s) in charge of preparing or overseeing the preparation of
6 the document or information. All documents, data and information shall be submitted in
7 English, and shall be generated and submitted in a manner and in an electronic format
8 specified ~~or approved by the Department at.~~ ~~Unless specified otherwise by the Department,~~
9 ~~the electronic documents or electronic media shall be submitted via certified mail or~~
10 ~~electronically to either:~~

11
12 ~~Department of Toxic Substances Control~~
13 ~~P.O. Box 806~~
14 ~~Sacramento, CA 95812-0806~~
15 ~~Attention: Green Chemistry~~

16
17 ~~or~~

18
19 Email at: safer.alternatives@dtsc.ca.gov

20 [http://www.dtsc.ca.gov/PollutionPrevention/GreenChemistryInitiative/SaferConsumerProdu](http://www.dtsc.ca.gov/PollutionPrevention/GreenChemistryInitiative/SaferConsumerProductAlternativesRegs.cfm)
21 [ctAlternativesRegs.cfm](http://www.dtsc.ca.gov/PollutionPrevention/GreenChemistryInitiative/SaferConsumerProductAlternativesRegs.cfm)

22
23 (b) ~~All Chemical Removal Confirmation Notifications, Chemical Removal Intent~~
24 ~~Notifications, Product Removal Confirmation Notifications, Product Removal Intent~~
25 ~~Notifications, dDe mMinimis eExemption Notifications requests, Chemical Removal Notices, AA~~
26 ~~Notifications, AA Work Plans, AA Reports, Tier I AA Reports, AA verification statements, and~~
27 ~~trade secret justification documentation submitted pursuant to section 69309.1, documentation~~
28 ~~for designation pursuant to section 69308 or 69308.1 as a qualified third-party assessment~~
29 ~~entity or a qualified in-house assessment entity, and documentation for designation as an~~
30 ~~accrediting body pursuant to section 69308.2 shall include the following certification statement,~~
31 ~~signed by an officer of the entity submitting the document and by the responsible individual in~~
32 ~~charge of preparing the information:~~

33
34 "I certify under penalty of perjury that this document and all attachments were prepared or
35 compiled under my direction or supervision to assure that qualified personnel properly gather
36 and evaluate the information submitted. Based on my inquiry of the person or persons directly
37 responsible for gathering the information, the information submitted is, to be the best of my
38 knowledge and belief, true, accurate, and complete. ~~I also certify that in carrying out the duties~~
39 ~~above, life cycle thinking and green chemistry principles were considered.~~ I am aware that
40 submitting false information or statements is punishable under all applicable provisions of law."
41

1 (c) Any information or documentation required to be obtained or prepared, but that is
2 not required to be submitted to the Department or has not yet been requested to be submitted
3 to the Department, shall be retained by the person to whom the requirement applies for a
4 period of three (3) years following the date the person was required to obtain or prepare the
5 information or documentation.

6
7 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
8 Reference: Sections 25252 and 25253, Health and Safety Code.

9
10 **§ 69301.56. Chemical and Product Information.**

11 (a)(1) This section specifies the a-process for the Department to review and/or obtain data
12 and other information, concerning chemicals and products, that the Department determines is
13 necessary to implement article 14 of chapter 6.5 of division 20 of the Health and Safety Code
14 and/or this chapter.

15 (2) Notwithstanding paragraph (1), nothing in this section precludes the Department
16 from reviewing and/or obtaining data and other information through any other means available
17 to the Department.

18 (3) The provisions of this section requiring a person to provide or make available data or
19 other information to the Department may be complied with by either:

20 (A) Submitting the requested data or information to the Department in a format specified
21 by, or acceptable to, the Department, or

22 (B) Providing the Department with electronic access to the data or information in a
23 format specified by, or acceptable to, the Department, unless the Department specifically
24 requests that the data or information be submitted to the Department.

25 (b) In seeking to review and/or obtain data and other information that the Department
26 determines is necessary to implement article 14 of chapter 6.5 of division 20 of the Health and
27 Safety Code and/or this chapter, the Department shall use the following sequential steps, with
28 each subsequent step being used only to review and/or obtain data and information that could
29 not be reviewed and/or obtained by use of the preceding step(s):

30 (1) Review and/or obtain needed data and other information readily available, without a
31 subscription or other charge, in a usable format in the public domain;

32 (2) Review and/or obtain needed data and other information readily available, with a
33 subscription or other charge, in a usable format in the public domain, to the extent resources
34 are available to the Department to pay the required costs;

35 (3) Request and require a responsible entity to make available to the Department, to
36 review and/or obtain, existing data and other information that is needed by the Department, in
37 accordance with a schedule specified by the Department ~~and pursuant to section 69301.4(a);~~
38 and

39 (4) Request and require a responsible entity to generate and make available to the
40 Department, to review and/or obtain, data and other information that is needed by the
41 Department, in accordance with a schedule specified by the Department ~~and pursuant to~~
42 ~~section 69301.4(a).~~

1 (c)(1) The following types of data and other information ~~that~~ may be requested and
2 required to be made available to the Department to review and/or obtain pursuant to this
3 section ~~include, but are not limited to:~~

4 (A) Chemical and product data and information specified in sections 69302.3 and
5 69303.3~~;~~

6 (B) Available and applicable chemical identification and description information;

7 ~~(C)(B) Information describing the types, categories and classes of products that contain~~
8 ~~Priority Chemicals of Concern;~~

9 ~~(D)(G) Identification of intentionally- added chemicals and chemical ingredients in~~
10 ~~specified products, including and quantities of the chemical in the entire product or~~
11 ~~component;~~

12 ~~(D) Chemical and product market data, including:~~

13 1. ~~Volume or units sold in California;~~

14 2. ~~Description of sales locations;~~

15 3. ~~The intended uses of the product;~~

16 4. ~~Targeted customer base(s); and~~

17 5. ~~Description of end-of-life management program, if any.~~

18 (E) Market presence information;

19 (F) Description of end-of-life management program(s) for a product, if any; and

20 (G)(E) Standard analytical chemistry protocols, if available, for the detection and
21 measurement of a chemical in products and in environmental and biological media.

22 ~~(F) Information concerning a product that has been reformulated or redesigned to~~
23 ~~remove, or reduce the concentration of, a Priority, or a product containing a chemical that has~~
24 ~~been substituted for a product that contained a Priority Chemical, if the reformulation, redesign~~
25 ~~or replacement occurred subsequent to the listing of the chemical.~~

26 (2) Requests and requirements for making available the data and information described
27 in paragraph (1) may, to the extent applicable, be fulfilled by making available to the
28 Department data and information that has been provided under the REACH, TSCA, or CEPA
29 programs.

30 (d)(1) Data and other information requested and required to be made available to the
31 Department, pursuant to subsections (b)(3) and (b)(4), shall be limited to data and information
32 that is pertinent to either products placed into the stream of commerce in California or
33 chemicals contained in such products, and that the Department determines is necessary to
34 implement article 14 of chapter 6.5 of division 20 of the Health and Safety Code and/or this
35 chapter.

36 (2) When requesting and requiring the availability to the Department of data and other
37 information pursuant to subsections (b)(3) and (b)(4), the Department shall briefly state the
38 purpose of the request and shall make reasonable efforts to avoid requesting the same
39 information from multiple parties, unless the Department determines there is reason to do so.

40 (3) In addition to subsections (b)(3) and (b)(4), the Department may also request any
41 needed data and information that is pertinent to chemicals contained in products placed into
42 the stream of commerce in California, and that the Department determines is necessary to

1 implement article 14 of chapter 6.5 of division 20 of the Health and Safety Code and/or this
2 chapter, directly from the manufacturer of the chemical ~~or product~~. If the chemical
3 manufacturer, or a consortium, trade association, public-private partnership, or other entity
4 acting on behalf of the chemical manufacturer, does not make the requested information
5 available to the Department by the date specified by the Department, the Department shall
6 ~~include~~ post on its website on the Failure to Respond List the request, and a notice that the
7 chemical manufacturer has not made the requested information available to the Department,
8 along with information identifying the manufacturer and the chemical ~~and/or product that is~~ are
9 the subject of the request, ~~on a Failure to Respond List posted on its website~~. The Department
10 shall remove this information from its website upon determining that the manufacturer or
11 another person has fulfilled the request for data or other information.

12 (e) The Department may request and require that data and other information be made
13 available to it pursuant to this section by either or both of the following methods:

14 (1) Correspondence sent to an individual responsible entity or other person
15 electronically or by United States mail.

16 (2) Data and information call-ins that, unless otherwise specified, apply to all
17 responsible entities, or chemical manufacturers, of a specific chemical or product or group of
18 chemicals or products. Data and information call-ins shall be posted on the Department's
19 website, noticed to persons on any listservs established by the Department related to this
20 chapter, and noticed in the CRNR.

21 (f) Any responsible entity or other person may at any time make reliable information
22 regarding a chemical or product available to the Department for consideration in the chemical
23 prioritization or product prioritization process. Such information may be made available in
24 support of comments calling for a chemical or product to be included in, or excluded or
25 removed from, the chemical lists or product lists. The Department shall give good faith
26 consideration to the data or other information made available pursuant this subsection.
27

28 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
29 Reference: Sections 25252 and 25253, Health and Safety Code.
30

31 **§ 69301.67. Availability of Information on the Department's Website.**

32 (a) The Department shall post on its website, and update as needed, all of the
33 information and documents listed in ~~paragraphs (1) through (16)~~ below, subject to article 940.
34 The availability of these documents and information, including the availability of updates to the
35 information and documents, shall be noticed in the CRNR and to persons on any listserv(s)
36 that the Department establishes related to this chapter.

37 (1) ~~A~~The Failure to Comply List prepared pursuant to section ~~69301.4(f)~~ 69301.3(d);

38 (2) ~~A~~The Failure to Respond List prepared pursuant to section 69301.65(d)(3);

39 (3) Requests for data and information made pursuant to section 69301.65(e);

40 (4)(A) Exemption determinations made pursuant to sections ~~69302.1 and 69303.4~~
41 69301(b)(5) and (b)(6), and the rationale supporting those determinations;

- 1 (B) Determinations, made pursuant to sections ~~69302.1(d) and 69303.1(d)~~ 69301(b)(5)
2 and (b)(6), rescinding previously-made exemption determinations;
- 3 (5) ~~The p~~Proposed and final chemical Chemical of Concern lists, and supporting
4 rationale and documentation, prepared pursuant to section 69302.2, copies of all written
5 comments received during the public comment period for the proposed lists, and copies of any
6 written responses the Department ~~chooses to provide~~ to the comments;
- 7 (6) ~~The p~~Proposed and final product Priority Product lists, and supporting rationale and
8 documentation, prepared pursuant to section 69303.2, copies of all written comments received
9 during the public comment period for the proposed lists, and copies of any written responses
10 the Department ~~chooses to provide~~ to the comments;
- 11 (7) Petitions designated as complete pursuant to section 69304(b), and notices of
12 decision and statements of basis prepared by the Department pursuant to section 69304.1(d);
- 13 (8) A list of, and copies of, Chemical Removal Notices submitted to the
14 Department~~Chemical Removal Confirmation Notifications, Chemical Removal Intent~~
15 ~~Notifications submitted to the Department, Product Removal Confirmation Notifications, and~~
16 ~~Product Removal Intent Notifications~~;
- 17 (9) For each AA Work Plan, the due dates for the ~~Tier II-A and Tier II-B~~ AA Reports;
- 18 (10) A list of extension requests approved, pursuant to section 69305.12(b), for
19 submission of AA Work Plans and AA Reports;
- 20 (11) A list of, and copies of, d~~e m~~inimis eExemption Notifications requests submitted
21 ~~to the Department pursuant to section 69305.3(a), and copies of all notifications issued by the~~
22 ~~Department granting, denying or rescinding a de minimis exemption pursuant to sections~~
23 ~~69305.3(c) and 69305.3(e)~~;
- 24 (12) AA Report notices of completeness issued pursuant to sections 69305.540;
- 25 (13) Proposed and final regulatory response determination notices issued by the
26 Department pursuant to article 6, copies of all written comments received during the public
27 comment period for a proposed notice, and copies of any written responses the Department
28 ~~chooses to provide~~ to the comments;
- 29 (14) A list of regulatory response exemption requests submitted to the Department
30 pursuant to section 69306.7(a), and copies of all notifications issued by the Department
31 granting, denying or rescinding an exemption pursuant to sections 69306.7(c) and 69306.7(f);
32 and
- 33 (15) Copies of all disputes and petitions for review filed with the Department pursuant to
34 article 7, and copies of all Department decisions issued in response to such disputes and
35 petitions; ~~and~~
- 36 (16) ~~A list of accrediting bodies whose designation has been rescinded by the~~
37 ~~Department pursuant to section 69308.2(g), and list of lead assessors whose accreditation has~~
38 ~~been rescinded pursuant to section 69308.3(c)~~.
- 39 (b) The Department shall also post on its website, and update as needed, but not less
40 frequently than quarterly, all of the following information and documents, subject to article 940:

1 (1) Information concerning notices submitted to the Department pursuant to section
2 69301.3 (b) and (c)~~A list of products determined by the Department to contain a Chemical~~
3 ~~under Consideration or Priority Chemical pursuant to section 69302.5;~~

4 (2) Guidance documents prepared by the Department pursuant to section 69305(a);

5 (3) AAs available in the public domain pursuant to section 69305(b);

6 ~~(4) AA Notifications submitted to the Department pursuant to section 69305.1;~~

7 ~~(5) A list of Tier I AAs performed by a qualified third-party assessment entity or verified~~
8 ~~by a third-party lead assessor and submitted to the Department pursuant to section~~
9 ~~69305.1(e);~~

10 ~~(4)(6)~~ A list of all AA Work Plans that have been submitted to the Department pursuant to
11 article 5, and a full or redacted copy of each AA Work Plan, in accordance with the provisions
12 of ~~article 10~~, including both the originally submitted AA Work Plan and the AA Work Plan
13 approved by the Department, if different;

14 ~~(5)(7)~~ A list of all AA Reports that have been submitted to the Department pursuant to
15 article 5, the executive summary for each AA Report, the AA verification statement, if
16 applicable, and a full or redacted copy of each AA Report, including both the originally
17 submitted AA Report and the AA Report approved by the Department, if different;

18 ~~(6)(8)~~ The Regulatory Response Report prepared and updated pursuant to section
19 69306.9(d);

20 ~~(7)(9)~~ Links to product stewardship plans provided to the Department pursuant to section
21 69306.4~~(c)(d)~~; and

22 ~~(10) A list of entities that have been designated as qualified third-party assessment~~
23 ~~entities pursuant to section 69308, and a list of entities that have been designated as qualified~~
24 ~~in-house assessment entities pursuant to section 69308.1;~~

25 ~~(11) A list of persons designated as accrediting bodies, and the product types and/or~~
26 ~~industry sectors for which they are designated, pursuant to section 69308.2; and~~

27 ~~(8)(12)~~ Findings of audits conducted by the Department pursuant to section 69308~~9~~.

28 (c) All documents and information posted on the Department's website pursuant to this
29 chapter shall include the date the document or information is first posted and the date(s) of any
30 revised postings.

31
32 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

33 Reference: Sections 25252, 25253, and 25257, Health and Safety Code.

34
35 **~~§ 69301.8. Chemicals and Products Lists: Timelines and Sequencing.~~**

36 ~~(a) This initial lists of Chemicals under Consideration, Priority Chemicals, Products~~
37 ~~under Consideration and Priority Products shall be issued, using the procedures specified in~~
38 ~~sections 69302.2 and 69303.2, in accordance with the following schedule:~~

39 ~~(1) The proposed initial list of Chemicals under Consideration shall be issued for public~~
40 ~~review and comment no later than June 1, 2011.~~

41 ~~(2) The final initial list of Chemicals under Consideration shall be issued no later than~~
42 ~~March 1, 2012.~~

1 ~~(3) — The proposed initial list of Priority Chemicals shall be issued for public review and~~
2 ~~comment no later than July 1, 2012.~~

3 ~~(4) — The proposed initial list of Products under Consideration shall be issued for public~~
4 ~~review and comment no later than March 1, 2013.~~

5 ~~(5) — The proposed initial list of Priority Products shall be issued for public review and~~
6 ~~comment no later than September 1, 2013.~~

7 ~~(6) — The final initial list of Priority Products shall be issued no later than December 1,~~
8 ~~2013.~~

9 ~~(b) — In updating and revising previously issued lists of Chemicals under Consideration,~~
10 ~~Priority Chemicals, Products under Consideration and Priority Products, using the procedures~~
11 ~~specified in sections 69302.2 and 69303.2, the Department may, at its discretion:~~

12 ~~(1) — Simultaneously or sequentially issue the updated and/or revised Chemical under~~
13 ~~Consideration list and Priority Chemical list; and~~

14 ~~(2) — Simultaneously or sequentially issue the updated and/or revised Product under~~
15 ~~Consideration list and Priority Product list.~~

16
17 ~~NOTE: Authority cited: Sections 25252 and 58012, Health and Safety Code. Reference:~~
18 ~~Section 25252, Health and Safety Code.~~

20 **Article 2. Chemical Prioritization Process**

22 **§ 69302. General.**

23 (a) This article specifies the process by which the Department shall identify and
24 prioritize Chemicals of Concern.

25 (b) The Department may use information reviewed and/or obtained pursuant to section
26 ~~69301.6~~ 69301.5 to perform its duties under this article.

27 (c) The Department is not limited to using the information reviewed and/or obtained
28 pursuant to subsection (b) in performing its duties under this article.

29
30 ~~NOTE: Authority cited: Sections 25252 and 58012, Health and Safety Code. Reference:~~
31 ~~Section 25252, Health and Safety Code.~~

33 **§ 69302.1. Applicability.**

34 ~~(a) — Except as provided otherwise in section 69301(b), this article applies to all~~
35 ~~chemicals that exhibit a hazard trait and are reasonably expected to be contained in products~~
36 ~~placed into the stream of commerce in California, unless the Department determines that the~~
37 ~~chemical meets either or both of the following criteria:~~

38 ~~(1) — The chemical is regulated by one or more federal and/or other California State~~
39 ~~regulatory program(s) that, in combination, address, for each life cycle segment, the same~~
40 ~~public health and environmental threats addressed by article 14 of chapter 6.5 of division 20 of~~
41 ~~the Health and Safety Code and this chapter. This exemption shall not apply if, after taking~~
42 ~~into consideration the combined effect of all applicable federal and/or other California State~~

1 regulatory programs, the Department determines that there are significant gaps, for one or
2 more life cycle segments, between the combined public health and environmental threats that
3 are addressed by these programs and the public health and environmental threats addressed
4 by article 14 of chapter 6.5 of division 20 of the Health and Safety Code and this chapter. In
5 making this determination, the Department shall identify and compare the life cycle segments
6 for which public health and environmental threats are addressed by the combined effects of
7 the federal and/or other California State regulatory programs and the life cycle segments
8 addressed by article 14 of chapter 6.5 of division 20 of the Health and Safety Code and this
9 chapter.

10 (2) — There is no exposure pathway by which the chemical might pose a threat to public
11 health or the environment in California during the useful life or the end-of-life management of
12 the chemical or any product containing the chemical.

13 (b) — In the absence of a determination by the Department to the contrary, it shall be
14 presumed that subsections (a)(1) and (a)(2) do not apply to any chemical that exhibits a
15 hazard trait and is reasonably expected to be, or to be contained in, products placed into the
16 stream of commerce in California. This presumption shall affect the burden of proof specified
17 pursuant to subsection (c).

18 (c) — Any person requesting the Department to make a determination specified in
19 subsection (a)(1) and/or (a)(2) shall bear the burden to prove by clear and convincing evidence
20 to the Department's satisfaction that subsection (a)(1) and/or (a)(2) applies to the chemical in
21 question. For a subsection (a)(2) exemption determination request, the evidence must include,
22 to the extent applicable, the results of any use and abuse tests, including the assumptions and
23 testing methodologies, conducted for purposes of and pursuant to a federal and/or California
24 State regulatory program.

25 (d) — The Department may, at its discretion, re-evaluate an exemption determination
26 previously made pursuant to this section and rescind that exemption determination if the
27 Department finds that the facts and/or assumptions upon which the exemption determination
28 was based were not, or are no longer, valid.

29
30 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

31 Reference: Sections 25252 and 25257.1, Health and Safety Code.

32 33 **§ 69302.2. Chemicals Lists.**

34 (a) The Department shall prepare a list of Chemicals of Concern, using the factors
35 specified in section 69302.3. ~~two lists based on consideration of prioritization factors that relate~~
36 ~~to the threat(s) to public health and/or the environment posed by a chemical:~~

37 (1) — ~~A list of Chemicals under Consideration, using factors specified in section 69302.3,~~
38 ~~and~~

39 (2) — ~~A list of Priority Chemicals, using the factors specified in section 69302.4.~~

40 (b) Prior to finalizing the Chemical under Consideration and/or Priority Chemicals of
41 Concern list(s), the Department shall make the proposed list(s) available on its website, for
42 public review and comment, along with supporting documentation, including, ~~but not limited to,~~

1 the Department's rationale, data and data sources, subject to article 940. The supporting
2 information shall include an identification of the hazard trait(s) exhibited by, and potential
3 exposure pathways for, each listed chemical. The Department shall hold one or more public
4 workshops to provide an opportunity for the public to comment orally on the proposed list. The
5 Department shall publish in the CRNR, send to persons on any listserv(s) that the Department
6 establishes related to this chapter, and post on its website a notice regarding the availability of
7 the proposed list(s) and supporting documentation. The notice shall include:

8 (1) The time period during which the public may submit written comments, which may
9 include comments in support of adding or removing a chemical from the ~~Chemical under~~
10 ~~Consideration list and/or Priority Chemicals of Concern~~ list;

11 (2) The method(s) for submitting comments to the Department on the proposed list(s);
12 and

13 (3) The date, time and location of the public ~~Notification of any workshop(s), if the~~
14 ~~Department determines one or more workshops are necessary.~~

15 (c) After review and consideration of public comments on the proposed list(s), the
16 Department shall finalize and post on its website the final ~~Chemical under Consideration list~~
17 ~~and/or final Priority Chemicals of Concern~~ list. The Department may, at its discretion, respond
18 to some or all public comments received.

19 (d) The initial Chemical of Concern list shall be finalized no later than December 31,
20 2011.

21 ~~(e)(d)~~ Using the procedures specified in this section, the Department shall update the
22 ~~Chemical under Consideration list and/or Priority Chemical of Concern~~ list as needed.
23 Revisions may include additions and deletions to the prior list(s).

24
25 NOTE: Authority cited: Sections 25252 and 58012, Health and Safety Code. Reference:
26 Sections 25252 and 25257, Health and Safety Code.

27
28 **~~§ 69302.3. Chemicals Under Consideration.~~**

29 ~~The prioritization factors that the Department may use to place chemicals on the list of~~
30 ~~Chemicals under Consideration, pursuant to section 69302.2, include:~~

31 (a) ~~Chemical and physical properties, including, but not limited to:~~

32 (1) ~~Density,~~

33 (2) ~~Dissociation constant,~~

34 (3) ~~Explosiveness,~~

35 (4) ~~Flammability,~~

36 (5) ~~Flash point,~~

37 (6) ~~Granularity,~~

38 (7) ~~Melting/boiling point,~~

39 (8) ~~Oxidizing properties,~~

40 (9) ~~Partition coefficient,~~

41 (10) ~~Stability in organic solvents and identity of relevant degradation byproducts,~~

42 (11) ~~Surface tension,~~

- 1 ~~(12) Vapor pressure,~~
2 ~~(13) Viscosity,~~
3 ~~(14) Water solubility, and~~
4 ~~(15) Other physical, chemical, or quantum properties specific to nanomaterials.~~
5 ~~(b) Adverse public health impacts. Evaluation and comparison of public health impacts~~
6 ~~shall include consideration of impacts that may result from single, intermittent or frequent use~~
7 ~~of or contact with the chemical, including dermal, oral and inhalation exposures. Factors to be~~
8 ~~considered include, but are not limited to:~~
- 9 ~~(1) Acute or chronic toxicity,~~
10 ~~(2) Bioaccumulation in humans,~~
11 ~~(3) Carcinogenicity,~~
12 ~~(4) Cardiovascular toxicity,~~
13 ~~(5) Dermatotoxicity,~~
14 ~~(6) Developmental toxicity,~~
15 ~~(7) Effects of electromagnetic radiation that includes ionizing radiation and non-ionizing~~
16 ~~radiation,~~
17 ~~(8) Endocrine toxicity,~~
18 ~~(9) Epigenetic toxicity,~~
19 ~~(10) Genotoxicity,~~
20 ~~(11) Hematotoxicity,~~
21 ~~(12) Hepatotoxicity,~~
22 ~~(13) Immunotoxicity,~~
23 ~~(14) Musculoskeletal toxicity,~~
24 ~~(15) Nephrotoxicity and other toxicity to the urinary system,~~
25 ~~(16) Neurotoxicity,~~
26 ~~(17) Ocular toxicity,~~
27 ~~(18) Organ or tissue system toxicity,~~
28 ~~(19) Ototoxicity,~~
29 ~~(20) Persistence,~~
30 ~~(21) Reactivity in biological systems,~~
31 ~~(22) Reproductive toxicity,~~
32 ~~(23) Respiratory effects,~~
33 ~~(24) Toxicokinetics,~~
34 ~~(25) Any hazard traits not listed above that relate to adverse impacts on human health,~~
35 ~~and~~
- 36 ~~(26) Adverse health impacts on sensitive subpopulations.~~
37 ~~(c) Adverse ecological impacts. Factors to be considered include, but are not limited to:~~
38 ~~(1) Acute or chronic toxicity in aquatic, avian or terrestrial organisms,~~
39 ~~(2) Adverse impacts on aquatic ecosystems, including, but not limited to, aquatic~~
40 ~~sediments,~~
41 ~~(3) Adverse impacts on terrestrial ecosystems,~~

- 1 ~~(4) — Adverse impacts on environmentally sensitive habitats, including, but not limited to,~~
2 ~~habitat loss or deterioration,~~
- 3 ~~(5) — Adverse impacts on habitats essential to the continued existence of an endangered~~
4 ~~or threatened species, and other factors affecting the ability of an endangered or threatened~~
5 ~~species to survive or reproduce,~~
- 6 ~~(6) — Adverse impacts associated with population loss, decline in population diversity, or~~
7 ~~changes in historical communities, and~~
- 8 ~~(7) — Adverse impacts that can cause vegetation contamination or damage, including~~
9 ~~phytotoxicity.~~
- 10 ~~(d) — Adverse environmental impacts. Factors to be considered include, but are not~~
11 ~~limited to:~~
- 12 ~~(1) — Chemical traits. This includes any intrinsic trait of the chemical or its degradation~~
13 ~~products that relates to adverse impacts on the environment, including, but not limited to:~~
- 14 ~~(A) — Stability and persistence in biological and environmental compartments,~~
15 ~~(B) — Fate and transport among environmental compartments,~~
16 ~~(C) — Bioaccumulation in biological and environmental compartments,~~
17 ~~(D) — Biodegradation,~~
18 ~~(E) — Photodegradation,~~
19 ~~(F) — Production of transformation products in environmental settings,~~
20 ~~(G) — Hydrolysis half-life,~~
21 ~~(H) — Aerobic and anaerobic soil half-lives, and~~
22 ~~(I) — Aerobic and anaerobic sediment half-lives~~
- 23 ~~(2) — Air quality impacts. This includes any adverse impacts associated with air~~
24 ~~emissions, including the air contaminants listed below:~~
- 25 ~~(A) — Nitrogen oxides,~~
26 ~~(B) — Sulfur oxides,~~
27 ~~(C) — Toxic air contaminants,~~
28 ~~(D) — Greenhouse gases,~~
29 ~~(E) — Secondary organic aerosols,~~
30 ~~(F) — Stratospheric ozone-depleting compounds,~~
31 ~~(G) — Other ozone forming compounds, and~~
32 ~~(H) — Particulate matter.~~
- 33 ~~(3) — Water quality impacts. This includes, but is not limited to, adverse impacts~~
34 ~~associated with degradation of the beneficial uses of the waters of California and any of the~~
35 ~~following:~~
- 36 ~~(A) — Biological and chemical oxygen demand,~~
37 ~~(B) — Chemical oxygen demand,~~
38 ~~(C) — Total dissolved solids,~~
39 ~~(D) — Chronic and acute toxicity in the water column and sediments,~~
40 ~~(E) — Chemicals identified as priority toxic pollutants for California pursuant to section~~
41 ~~303(c) of the federal Clean Water Act and listed in section 131.38 of Title 40 of the Code of~~
42 ~~Federal Regulations published in the Federal Register May 18, 2000,~~

- 1 (F) — Pollutants listed by California or the United States Environmental Protection Agency
2 for one or more water bodies in California pursuant to section 303 (d) of the federal Clean
3 Water Act,
- 4 (G) — Chemicals identified as contaminants that have primary Maximum Contaminant
5 Levels (MCLs) under the federal Safe Drinking Water Act,
- 6 (H) — Pollutants requiring monitoring and reporting in waste discharges to land that have
7 Notification Levels (NLs) specified under the Waste Discharge and Water Reuse
8 Requirements (WDRs/WRRs) of the Porter-Cologne Water Quality Control Act,
- 9 (I) — Thermal pollution, and
- 10 (J) — Other impacts affecting the quality of surface waters and groundwaters.
- 11 (4) — Soil quality impacts. This includes adverse impacts associated with any of the
12 following:
- 13 (A) — Chemical contamination,
- 14 (B) — Biological contamination,
- 15 (C) — Loss of biodiversity,
- 16 (D) — Loss of organic matter,
- 17 (E) — Erosion,
- 18 (F) — Compaction or other structural changes,
- 19 (G) — Soil sealing, and
- 20 (H) — Other impacts that affect or alter soil function or soil chemical, physical or biological
21 characteristics or properties.
- 22 (5) — Any other factors that relate to adverse impacts on the environment, including, but
23 not limited to, the release of heat, odor or radiation.
- 24 (e) — Dispersive volume information, as it relates to the volume of a chemical placed into
25 the stream of commerce in California. This may include, but is not limited to:
- 26 (1) — Projected annual sales by volume and/or mass,
- 27 (2) — Annual regional distributions by volume and/or mass,
- 28 (3) — Marketing and customer targeted volumes and/or mass,
- 29 (4) — Volume and/or mass of the chemical in current use,
- 30 (5) — Annual estimated volume and/or mass of the chemical used in products and
31 components, and
- 32 (6) — Controlled distribution systems, if any.
- 33 (f) — Potential for the public or the environment to be exposed to the chemical in
34 commonly used products that contain the chemical, during the useful life of those products and
35 end-of-life disposal or management of the products. Factors to be considered include, but are
36 not limited to, the factors listed in section 69303.3(b).
- 37 (g) — Existence of data and other information relating to actual or potential public or
38 environmental exposures to the chemical, including but not limited to:
- 39 (1) — California Environmental Contaminant Biomonitoring Program data, or other
40 biomonitoring data meeting the definition of reliable information, that show the chemical to be
41 present in human bodily tissues or fluids;

1 ~~(2) — Data that meets the definition of reliable information and that show the chemical to~~
2 ~~be present in household dust, indoor air, drinking water, or elsewhere in the indoor household~~
3 ~~environment;~~

4 ~~(3) — Monitoring data that meet the definition of reliable information, or that have been~~
5 ~~produced or reviewed and accepted by a California State or local agency for compliance and~~
6 ~~regulatory purposes, and that show the chemical to be present in the environment, including~~
7 ~~aquatic, avian or terrestrial organisms;~~

8 ~~(4) — Data that meet the definition of reliable information and that indicate that the~~
9 ~~chemical or its degradation products are showing up in California solid waste, wastewater or~~
10 ~~storm water streams collected or managed by California State or local agencies in~~
11 ~~concentrations or volumes that present public health or environmental threats, or that require~~
12 ~~the significant expenditure of public funds to mitigate public health or environmental threats, or~~
13 ~~that significantly increase the costs of reusing or recycling materials containing the chemical;~~

14 ~~(5) — Estimates of potential fate and transport of the chemical or its degradation products~~
15 ~~based on one or more of the following:~~

16 ~~(A) — Fugacity modeling,~~

17 ~~(B) — Field studies,~~

18 ~~(C) — Measurements and observations,~~

19 ~~(D) — Microcosm studies,~~

20 ~~(E) — Environmental or biological presence estimated by using either a point source or~~
21 ~~market-wide source term calculation, modeling or measurement, and/or environmental~~
22 ~~presence estimated by a combination of these methodologies, and~~

23 ~~(F) — Any other relevant data or studies;~~

24 ~~(6) — Data showing that one or more other chemicals are formed during breakdown of the~~
25 ~~chemical, including transformation in an environmental setting, or when the chemical is~~
26 ~~combined with other chemicals, and that the newly formed chemical(s) exhibit one or more~~
27 ~~hazard traits;~~

28 ~~(7) — Results of computational modeling for structural activity relationships or short term in~~
29 ~~vitro bioassays; and~~

30 ~~(8) — Computational modeling data that informs any element of this section.~~

31 ~~(h)(1) Scope of federal and/or California State regulatory programs under which the~~
32 ~~chemical is regulated, and the extent to which these other programs address the public health~~
33 ~~and environmental threats specified in this section posed by the chemical throughout the life~~
34 ~~cycle of the chemical and any consumer product that contains the chemical. In evaluating this~~
35 ~~factor, the Department shall identify and compare the life cycle segments for which public~~
36 ~~health and environmental threats are addressed by the combined effects of the federal and/or~~
37 ~~other California State regulatory programs and the life cycle segments addressed by article 14~~
38 ~~of chapter 6.5 of division 20 of the Health and Safety Code and this chapter.~~

39 ~~(2) — A chemical is not a Chemical of Concern, and may not be listed as a Chemical~~
40 ~~under Consideration or Priority Chemical, if the Department determines that the chemical is~~
41 ~~regulated by one or more federal and/or other California State regulatory program(s) that, in~~
42 ~~combination, address, for each life cycle segment, the same public health and environmental~~

1 ~~threats addressed by article 14 of chapter 6.5 of division 20 of the Health and Safety Code and~~
2 ~~this chapter. This paragraph does not apply if, after taking into consideration the combined~~
3 ~~effect of all applicable federal and/or other California State regulatory programs, the~~
4 ~~Department determines that there are significant gaps, for one or more life cycle segments,~~
5 ~~between the combined public health and environmental threats that are addressed by these~~
6 ~~programs and the public health and environmental threats addressed by article 14 of chapter~~
7 ~~6.5 of division 20 of the Health and Safety Code and this chapter.~~

8
9 ~~NOTE: Authority cited: Sections 25252 and 58012, Health and Safety Code. Reference:~~
10 ~~Sections 25252 and 25257.1, Health and Safety Code.~~

11
12 **§ 69302.34. Priority Chemicals of Concern Prioritization.**

13 (a) ~~From the list of Chemicals under Consideration, the~~The Department shall prepare a
14 ~~list as of Priority Chemicals of Concern those chemicals~~ that are determined to be of highest
15 priority based on consideration of the following factors:

16 (1) The relative degree of threat posed by each chemical to public health or the
17 environment based on consideration of pertinent factors ~~specified in section 69302.3.~~listed
18 below:

19 (A) Physical chemical hazards;

20 (B) Adverse public health impacts;

21 (C) Adverse ecological impacts;

22 (D) Adverse air quality impacts;

23 (E) Adverse water quality impacts; and

24 (F) Adverse soil quality impacts.

25 (2) The potential for consumers or environmental receptors to be exposed to the
26 chemical in quantities that can result in adverse public health or environmental impacts.

27 (3) The Aavailability of reliable information to substantiate the threat(s) posed by the
28 chemical, and the potential for exposures to the chemical.

29 (4) The scope of federal and/or California State regulatory programs, and any applicable
30 international trade agreements ratified by the United States Senate, under which the chemical
31 is regulated, and the extent to which these other regulatory requirements address the same
32 public health and environmental threats and exposure pathways that are being considered as
33 a potential basis for the chemical being listed as a Chemical of Concern.

34 (5)~~(3)~~ The Aavailability of Department resources.

35 (b)(1) In evaluating the relative degree of threat and potential for exposures, pursuant to
36 subsections (a)(1) and (a)(2), the Department shall seek to identify and give priority to those
37 chemicals that pose the greatest threat of adverse public health and environmental
38 impactsthreats, are most prevalently distributed in commerce and contained in products used
39 by consumers, and for which there is the greatest potential for consumers or environmental
40 receptors to be exposed to the chemical in quantities that can result in adverse public health or
41 environmental impactsharm. ~~The Department shall consider both the potential for exposure to~~
42 ~~the chemical and the potential harm resulting from potential exposures.~~

1 (2) The Department shall begin the chemical prioritization process by evaluating
2 chemicals based on the factors specified in subsection (a)(1) in conjunction with subsection
3 (a)(3). Secondly, the Department shall adjust this initial prioritization based upon consideration
4 of subsection (a)(2) in conjunction with subsection (a)(3). Having identified the threats and
5 potential exposures for each chemical, the Department shall then determine which of these
6 threats and exposures are addressed by consideration of subsection (a)(4), and adjust the
7 prioritization accordingly. The chemicals assigned the highest priority at the conclusion of
8 these three steps shall be listed as Chemicals of Concern, except that the list shall be limited
9 in number based upon the availability of Department resources to evaluate consumer products
10 containing these chemicals.

11 (3) In evaluating the potential for harm that could result from potential exposures, the
12 Department shall consider, based upon reliable information, the type and severity of potential
13 adverse impact(s) and the potency of the chemical(s) associated with the adverse impact(s) for
14 all of the following:

15 (A) Children, pregnant women and other sensitive subpopulations;

16 (B) Environmental receptors, in particular, environmentally sensitive habitats and
17 endangered and threatened species.

18 ~~(4)(2)~~ In evaluating the potential for exposure, the Department shall, ~~at a minimum,~~
19 consider all of the following:

20 (A) ~~Market data for the chemical and products containing the chemical;~~

21 ~~(B)~~—Reliable information demonstrating the occurrence, or potential occurrence, of public
22 health and environmental exposures;

23 ~~(B)(C)~~ Information concerning the presence of the chemical in products commonly found in
24 households, including the number of such of products, the frequency of use, and the
25 concentration of the chemical in those products; and

26 ~~(C)(D)~~ Information showing how widely used the chemical is in products placed into the
27 stream of commerce in California.

28 (3)—~~In evaluating the potential for harm resulting from potential exposures, the~~
29 ~~Department shall, at a minimum, consider chemical potency and resulting harm for all of the~~
30 ~~following:~~

31 (A)—~~Children, pregnant women and other sensitive subpopulations;~~

32 (B)—~~Environmental receptors, in particular, environmentally sensitive habitats and~~
33 ~~endangered and threatened species.~~

34 (c) A chemical that exhibits no hazard trait other than causing carcinogenicity or
35 reproductive toxicity, or both, shall not be placed on the list of Priority Chemicals of Concern
36 unless the chemical is a carcinogen or reproductive toxin, or both, ~~as defined in section~~
37 ~~69301.2(a)(9).~~

38 (d) In preparing the initial list of Priority Chemicals of Concern, pursuant to subsection
39 (a), the Department shall only consider chemicals that are one or more of the following:

40 (1) Chemicals that are carcinogens or reproductive toxins, or both, ~~as defined in section~~
41 ~~69301.2(a)(9).~~

1 (2) Chemicals that are listed as Category 1A or 1B mutagens in Annex VI to Regulation
2 (EC) No. 1272/2008 of the European Parliament and the Council having mutagenic properties
3 in the European Union Category 1A or 1B under Annex VI, part 3 of the Regulation.

4 (3) Chemicals that have been determined by the United States Environmental
5 Protection Agency to be persistent bioaccumulative toxic chemicals.

6 (e) Subsection (d) does not apply to any list of Priority Chemicals of Concern issued
7 after the initial list.

8
9 NOTE: Authority cited: Sections 25252 and 58012, Health and Safety Code. Reference:
10 Sections 25252 and 25257.1, Health and Safety Code.

11
12 **~~§ 69302.5. Products Containing a Priority Chemical.~~**

13 ~~(a) When the Department determines that a product contains a Priority Chemical, or~~
14 ~~contained a Priority Chemical as of the date the applicable chemical list was finalized, the~~
15 ~~Department shall post information identifying and describing the product and the chemical on~~
16 ~~its website. Such a product will not be listed on, or will be removed from, the Department's~~
17 ~~website if any of the following applies:~~

18 ~~(1) A Chemical Removal Confirmation Notification or a Product Removal Confirmation~~
19 ~~Notification has been submitted to the Department for the product pursuant to subsection (b) or~~
20 ~~(c) of section 69301.3;~~

21 ~~(2) An AA Notification has been submitted to the Department for the product pursuant to~~
22 ~~section 69305.1; or~~

23 ~~(3) The product was subsequently reformulated, redesigned or replaced as the result of~~
24 ~~a Tier II AA, and the information required pursuant to article 5 of this chapter has been~~
25 ~~provided to the Department.~~

26 ~~(b) A determination made by the Department pursuant to subsection (a) shall be based~~
27 ~~on one or more of the following:~~

28 ~~(1) Reliable information;~~

29 ~~(2) Information made available by a responsible entity or the manufacturer of the~~
30 ~~product; or~~

31 ~~(3) Information on the product label or packaging or a product information sheet.~~

32
33 ~~NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.~~
34 ~~Reference: Sections 25252 and 25253, Health and Safety Code.~~

35
36 **Article 3. Product Prioritization Process**

37
38 **§ 69303. General.**

39 (a) This article identifies the process by which the Department shall identify and
40 prioritize products containing Priority Chemicals of Concern.

41 (b) The Department may use information reviewed and/or obtained pursuant to section
42 69301.6-69301.5 to perform its duties under this article.

1 (c) The Department is not limited to using the information reviewed and/or obtained
2 pursuant to subsection (b) in performing its duties under this article.

3
4 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
5 Reference: Sections 25252 and 25253, Health and Safety Code.
6

7 **§ 69303.1. Applicability.**

8 (a) ~~Except as provided otherwise in section 69301(b),~~ this article applies to all products
9 that contain a Priority-Chemical of Concern, and that are reasonably expected to be placed
10 into the stream of commerce as a consumer product in California, ~~unless the Department~~
11 ~~determines that either or both of the following criteria apply to the product:~~

12 (1) ~~The product is regulated by one or more federal and/or other California State~~
13 ~~regulatory program(s) that, in combination, address, for each life cycle segment, the same~~
14 ~~public health and environmental threats addressed by article 14 of chapter 6.5 of division 20 of~~
15 ~~the Health and Safety Code and this chapter. This exemption shall not apply if, after taking~~
16 ~~into consideration the combined effect of all applicable federal and/or other California State~~
17 ~~regulatory programs, the Department determines that there are significant gaps, for one or~~
18 ~~more life cycle segments, between the combined public health and environmental threats that~~
19 ~~are addressed by these programs and the public health and environmental threats addressed~~
20 ~~by article 14 of chapter 6.5 of division 20 of the Health and Safety Code and this chapter. In~~
21 ~~making this determination, the Department shall identify and compare the life cycle segments~~
22 ~~for which public health and environmental threats are addressed by the combined effects of~~
23 ~~the federal and/or other California State regulatory programs and the life cycle segments~~
24 ~~addressed by article 14 of chapter 6.5 of division 20 of the Health and Safety Code and this~~
25 ~~chapter.~~

26 (2) ~~There is no exposure pathway by which the Priority Chemical that is contained in the~~
27 ~~product might pose a threat to public health or the environment in California during the useful~~
28 ~~life or the end-of-life management of the product.~~

29 (b) ~~In the absence of a determination by the Department to the contrary, it shall be~~
30 ~~presumed that subsections (a)(1) and (a)(2) do not apply to any product that contains a Priority~~
31 ~~Chemical. This presumption shall affect the burden of proof required pursuant to subsection~~
32 ~~(c).~~

33 (c) ~~Any person requesting the Department to make a determination specified in~~
34 ~~subsection (a)(1) and/or (a)(2) shall bear the burden to prove by clear and convincing evidence~~
35 ~~to the Department's satisfaction that subsection (a)(1) and/or (a)(2) applies to the product in~~
36 ~~question. For a subsection (a)(2) exemption determination request, the evidence must include,~~
37 ~~to the extent applicable, the results of any use and abuse tests, including the assumptions and~~
38 ~~testing methodologies, conducted for purposes of and pursuant to a federal and/or California~~
39 ~~State regulatory program.~~

40 (d) ~~The Department may, at its discretion, re-evaluate an exemption determination~~
41 ~~previously made pursuant to this section and rescind that exemption determination if the~~

1 ~~Department finds that the facts and/or assumptions upon which the exemption determination~~
2 ~~was based were not, or are no longer, valid.~~

3
4 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
5 Reference: Sections 25251, 25252, 25253, and 25257.1, Health and Safety Code.

6
7 **§ 69303.2. Products Lists.**

8 (a)~~(1)~~ The Department shall prepare two lists a list of products that, when they contain a
9 Chemical of Concern, will be designated as Priority Products, using the factors specified in
10 section 69303.3 based on consideration of prioritization factors that relate to the threat(s) to
11 public health and/or the environment posed by the product or component, due to the Priority
12 Chemical contained in the product or component:

13 ~~(1) A list of products that, when they contain a Priority Chemical, will be designated as~~
14 ~~Products under Consideration, using factors specified in section 69303.3; and~~

15 ~~(2) A list of products that, when they contain a Priority Chemical, will be designated as~~
16 ~~Priority Products, using the factors specified in section 69303.4.~~

17 ~~(2)(3)~~ For each listed Product under Consideration or Priority Product, the Department
18 shall specify indicate in the listing both of the following:

19 (A) Tthe Priority-Chemical(s) of Concern that is the basis for the product being listed as
20 a Product under Consideration or Priority Product.; and

21 (B) For each listed assembled product, the component(s) of the Priority Product to which
22 the de minimis concentration applies, and which is the required minimum focus of the AA. This
23 shall be the component(s) that is the basis for the product being listed as a Priority Product.

24 (b) ~~Prior to finalizing the Product under Consideration and/or Priority Product list(s), the~~
25 ~~Department shall make the proposed list(s) available on its website, for public review and~~
26 ~~comment, along with supporting documentation, including but not limited to, the Department's~~
27 ~~rationale, data and data sources subject to article 910. The supporting information shall~~
28 ~~include an identification of the hazard trait(s) exhibited by and the potential exposure pathways~~
29 ~~for each Priority-Chemical of Concern that is the basis for a product being listed as a Product~~
30 ~~under Consideration or Priority Product. The Department shall hold one or more public~~
31 ~~workshops to provide an opportunity for the public to comment orally on the proposed list. The~~
32 ~~Department shall publish in the CRNR, send to persons on any listserv(s) that the Department~~
33 ~~establishes related to this chapter, and post on its website a notice regarding the availability of~~
34 ~~the proposed list(s) and supporting documentation. The notice shall include:~~

35 (1) ~~The time period during which the public may submit written comments, which may~~
36 ~~include comments in support of adding or removing a product from the Product under~~
37 ~~Consideration list and/or Priority Product list;~~

38 (2) ~~The method(s) for submitting comments to the Department on the proposed list(s);~~
39 ~~and~~

40 (3) The date, time and location of the public Notification of any workshop(s), if the
41 Department determines one or more workshop is necessary.

1 (c) After review and consideration of public comments on the proposed list(s), the
2 Department shall finalize and post on its website the final Product under Consideration list
3 and/or final Priority Product list. The Department may, at its discretion, respond to some or all
4 public comments received.

5 ~~(d)(1) The proposed and final list of Priority Products shall include for each listed product~~
6 ~~both of the following, if applicable:~~

7 (A) ~~The Department's determination that a de minimis exemption, pursuant to section~~
8 ~~69305.3, shall not be allowed for the product. Subject to article 10, the Department shall~~
9 ~~include the supporting rationale, data, and data sources for this determination.~~

10 (B) ~~The component(s) of the Priority Product to which the de minimis concentration~~
11 ~~applies, and which is the required minimum focus of the Tier II AA.~~

12 (2) ~~A determination by the Department that a de minimis exemption may not be~~
13 ~~considered for a product shall include an explanation of the basis(es) for this determination,~~
14 ~~which may include, but are not limited to, the following factors:~~

15 (A)1. ~~Reliable information shows the Priority Chemical to be harmful or potentially harmful~~
16 ~~in concentrations below the de minimis level.~~

17 2. ~~When the Department has reliable information showing the Priority Chemical to be~~
18 ~~harmful or potentially harmful in concentrations below the de minimis level, the Department~~
19 ~~may, at its discretion, specify a lower de minimis level for the product if reliable information~~
20 ~~identifies a specific lower de minimis threshold for the chemical that is based on a scientific~~
21 ~~evaluation of public health and environmental adverse impacts;~~

22 (B) ~~The Priority Chemical is found at or below the de minimis level in numerous products~~
23 ~~that are commonly used on a frequent basis, and reliable information shows these aggregate~~
24 ~~exposures to the Priority Chemical to be harmful or potentially harmful even when individual~~
25 ~~product concentrations of the Priority Chemical are below the de minimis level.~~

26 (3) ~~In no case, shall the de minimis exemption be allowed for chemicals, materials, or~~
27 ~~substances manufactured or engineered at the nanoscale, or which contain nanostructures, or~~
28 ~~are considered to be a nanomaterial.~~

29 ~~(d)(1)(e) An individual manufacturer's product that is of a product type listed by the~~
30 ~~Department on the products Priority Product lists prepared pursuant to this section shall not be~~
31 ~~considered to be a Product under Consideration or a Priority Product, and, except as provided~~
32 ~~in paragraphs (2) and (3), an a Tier II-AA shall not be required for that product, if the~~
33 ~~component(s) that are the basis for the listing of the product does not contain any known or~~
34 ~~detectable amount of the Priority Chemical(s) of Concern which that is the basis for that~~
35 ~~product type being placed on the Priority Product product lists. In the case of a formulated~~
36 ~~product, the term component as used in this paragraph refers to the entire product.~~

37 ~~(2) An individual manufacturer's product that is of a product type listed on the Priority~~
38 ~~Product list and that, as of the date of the applicable Priority Product listing, contained a~~
39 ~~Chemical of Concern that is the basis for the Priority Product listing shall not be subject to the~~
40 ~~AA requirements of article 5 if the manufacturer provides a Chemical Removal Notice to the~~
41 ~~Department within one hundred and eighty (180) days after the Priority Product listing that~~
42 ~~contains all of the following information:~~

1 (A) A statement certifying that any and all Chemicals of Concern that are the basis for
2 the Priority Product listing have been removed from the product or component, whichever is
3 applicable, or reduced to a level that meets the criteria specified in paragraph (3)(D), without
4 adding another chemical or increasing the concentration of a chemical already contained in the
5 product or component to compensate for the removal or reduction of the Chemical(s) of
6 Concern;

7 (B) The manufacturer's name and contact information;

8 (C) Information identifying and describing the product, including the brand name(s)
9 under which the product is placed into the stream of commerce in California, and, if applicable,
10 information specifically identifying the component(s) that is the basis for the product being
11 listed as a Priority Product;

12 (D) The Chemical(s) of Concern that have been removed from the product; and

13 (E) If the Chemical(s) of Concern are retained in the product, but at a concentration that
14 meets the criteria specified in paragraph (3)(D), the notice shall also specify the hazard traits
15 exhibited by each Chemical of Concern and the concentration data specified in paragraph
16 (3)(A)4.

17 (3)(A) The AA requirements of article 5 do not apply to a product meeting the criteria
18 specified in subparagraph (D) if the manufacturer of the product has submitted a De Minimis
19 Exemption Notification to the Department that contains all of the following information:

20 1. The manufacturer's name and contact information;

21 2. Information identifying and describing the product, including the brand name(s)
22 under which the product is placed into the stream of commerce in California, and, if applicable,
23 information specifically identifying the component(s) that is the basis for the product being
24 listed as a Priority Product;

25 3. The Chemical(s) of Concern that are the basis for the product being listed as a
26 Priority Product, and the hazard traits exhibited by each of these Chemicals of Concern; and

27 4. Whichever of the following is applicable:

28 a. For a formulated product, the maximum concentration in the product of each
29 Chemical of Concern that is a basis for the Priority Product listing, and a description of all data
30 and other information used by the manufacturer to determine and substantiate this
31 concentration.

32 b. For an assembled product, the maximum concentration in each component that is a
33 basis for the Priority Product listing of each Chemical of Concern that is a basis for the Priority
34 Product listing, and a description of all data and other information used by the manufacturer to
35 determine and substantiate this concentration.

36 (B) If any of the information listed in subparagraph (A) significantly changes, the
37 manufacturer shall submit a revised De Minimis Exemption Notification to the Department
38 within thirty (30) days.

39 (C) If the product no longer meets the criteria for a de minimis exemption, the
40 manufacturer shall notify the Department of this change within thirty (30) days, and shall
41 submit an AA Work Plan to the Department within one hundred and eighty (180) days.

1 (D) A de minimis exemption only applies to products meeting one of the following criteria
2 as of the date of the applicable Priority Product listing or the date the product is first placed into
3 the stream of commerce in California, whichever is later:

4 1. For a formulated product, the maximum total concentration in the product of all
5 Chemicals of Concern that are a basis for the Priority Product listing and that exhibit the same
6 hazard trait shall not exceed the de minimis level.

7 2. For an assembled product, the maximum total concentration in each component,
8 that is a basis for the Priority Product listing, of all Chemicals of Concern that are a basis for
9 the Priority Product listing and that exhibit the same hazard trait shall not exceed the de
10 minimis level.

11 (e) The initial Priority Product list shall be finalized no later than December 31, 2012.

12 (f) Using the procedures specified in this section, the Department shall update the
13 ~~Product under Consideration list and/or Priority Product list as needed. Revisions may include~~
14 ~~additions and deletions to the prior list(s), and revisions to prior de minimis determinations.~~

16 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

17 Reference: Sections 25252, 25253, and 25257, Health and Safety Code.

18
19 **~~§ 69303.3. Products Under Consideration.~~**

20 ~~The prioritization factors that the Department may use to place products that contain a~~
21 ~~Priority Chemical on the list of Products under Consideration, pursuant to section 69303.2,~~
22 ~~include:~~

23 ~~(a) Dispersive volume information for each product as it relates to the volume of the~~
24 ~~product placed into the stream of commerce in California and the product's contribution to the~~
25 ~~volume of the Priority Chemical placed into the stream of commerce in California. This may~~
26 ~~include, but is not limited to:~~

27 ~~(1) Projected annual sales by number of units or volume,~~

28 ~~(2) Annual regional distribution by number of units or volume,~~

29 ~~(3) Marketing and customer targeted volumes,~~

30 ~~(4) Volume or units of the product in current use,~~

31 ~~(5) Percentage of products estimated to contain the Priority Chemical,~~

32 ~~(6) Extrapolation of the data identified in paragraphs (1) through (5) to estimate the~~
33 ~~volume of the product's Priority Chemical in commerce in California as a result of the product~~
34 ~~or component, and~~

35 ~~(7) Controlled distribution systems, if any.~~

36 ~~(b) Potential for the public or the environment to be exposed to the Priority Chemical~~
37 ~~that is contained in the product, during the useful life of the product and end-of-life disposal or~~
38 ~~management of the product. Factors to be considered include, but are not limited to:~~

39 ~~(1) Containment of the chemical within the product, including the long-term integrity of~~
40 ~~the containment mechanism or system,~~

41 ~~(2) Engineering and administrative controls,~~

- 1 ~~(3) — Federal and California State regulatory restrictions that reduce the potential for~~
2 ~~exposure, and~~
- 3 ~~(4) — Frequency and duration of exposure for each use scenario and end-of-life scenario.~~
- 4 ~~(c) — Types and extent of consumer uses that could result in public exposure to the~~
5 ~~Priority Chemical that is contained in the product, which in turn could result in adverse public~~
6 ~~health impacts as specified in section 69302.3 (b). Factors to be considered include, but are~~
7 ~~not limited to:~~
- 8 ~~(1) — Household use.~~
- 9 ~~(2) — Sensitive subpopulation potential use or exposure at:~~
- 10 ~~(A) — Home,~~
- 11 ~~(B) — Schools, child day care facilities, and other areas frequented by children on a regular~~
12 ~~basis,~~
- 13 ~~(C) — Health care facilities, and~~
- 14 ~~(D) — Recreational areas and facilities.~~
- 15 ~~(3) — Consumers who purchase, use or otherwise come in contact with the product.~~
- 16 ~~(4) — Persons who come in contact with the product while providing or receiving a service.~~
- 17 ~~(5) — Workers, customers, clients and members of the general public who come in contact~~
18 ~~with the product or releases from the product in the workplace, including:~~
- 19 ~~(A) — Retail sector locations;~~
- 20 ~~(B) — Service sector locations; and~~
- 21 ~~(C) — Other non-industrial business sector locations.~~
- 22 ~~(6) — The availability of the product to consumers as a finished material or product or part~~
23 ~~of a product that does not require further processing or assembly. Lower priority will be given~~
24 ~~to materials, products and parts of products that are solely or primarily marketed for use in, or~~
25 ~~used in, an intermediate manufacturing process or a research and development program.~~
- 26 ~~(d) — Product uses or management or disposal practices that could result in releases to~~
27 ~~the environment of the Priority Chemical that is contained in the product, which in turn could~~
28 ~~result in adverse ecological or other environmental impacts as specified in subsections (c) and~~
29 ~~(d) of section 69302.3. Factors to be considered include, but are not limited to:~~
- 30 ~~(1) — Use, storage, transportation and end-of-life management practices and locations.~~
- 31 ~~(2) — Potential for release into, migration from or distribution across environmental media,~~
32 ~~and potential for accumulation or persistence in biological or environmental compartments or~~
33 ~~systems of the Priority Chemical or its degradation products.~~
- 34 ~~(e) — Existence of data and other information relating to actual or potential public or~~
35 ~~environmental exposures to the Priority Chemical contained in the product, including, but not~~
36 ~~limited to, the data and other information listed in section 69302.3(g).~~
- 37 ~~(f) — Whether the product is required to be managed as a hazardous waste in California~~
38 ~~at the end of its useful life.~~
- 39 ~~(g) — Whether the specific Priority Chemical is required to be used in or contained in the~~
40 ~~specific product pursuant to a federal or California State law.~~
- 41 ~~(h) (1) — Scope of federal and/or other California State regulatory programs under which the~~
42 ~~product is regulated, and the extent to which these other programs address the public health~~

1 and environmental threats specified in this section posed by the Priority Chemical that is
2 contained in the product throughout the life cycle of the product. In evaluating this factor, the
3 Department shall identify and compare the life cycle segments for which public health and
4 environmental threats are addressed by the combined effects of the federal and/or other
5 California State regulatory programs and the life cycle segments addressed by article 14 of
6 chapter 6.5 of division 20 of the Health and Safety Code and this chapter.

7 (2) — A product shall not be listed as a Product under Consideration or a Priority Product if
8 the Department determines that the product is regulated by one or more federal and/or other
9 California State regulatory program(s) that, in combination, address, for each life cycle
10 segment, the same public health and environmental threats addressed by article 14 of chapter
11 6.5 of division 20 of the Health and Safety Code and this chapter. This paragraph does not
12 apply if, after taking into consideration the combined effect of all applicable federal and/or other
13 California State regulatory programs, the Department determines that there are significant
14 gaps, for one or more life cycle segments, between the combined public health and
15 environmental threats that are addressed by these programs and the public health and
16 environmental threats addressed by article 14 of chapter 6.5 of division 20 of the Health and
17 Safety Code and this chapter.

18
19 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

20 Reference: Sections 25252, 25253, and 25257.1, Health and Safety Code.

21
22 **§ 69303.34. Priority Products Prioritization.**

23 (a) From the list of Products under Consideration, ~~the~~The Department shall prepare a
24 list of ~~as~~ Priority Products those products that are determined to be of highest priority based on
25 consideration of the following factors:

26 (1) The relative degree of threat posed by each product, due to the ~~Priority Chemical of~~
27 Concern that is contained in the product, to public health or the environment based on the
28 evaluation of the Chemical of Concern pursuant to subsections (a)(1) and (a)(3) of section
29 69302.3, and consideration of pertinent factors specified in section 69303.3, listed below:

30 (A) The estimated volume of the product placed into the stream of commerce in
31 California and the product's estimated contribution to the volume of the Chemical(s) of
32 Concern placed into the stream of commerce in California, based on both of the following, as
33 applicable:

- 34 1. The statewide sales by volume in the past calendar year, and
35 2. The statewide sales by number of units in the past calendar year.

36 (B) The potential for the public or the environment to be exposed to the Chemical(s) of
37 Concern contained in the product, during the useful life of the product and end-of-life disposal
38 or management of the product, considering the following factors:

- 39 1. Containment of the chemical within the product, including the long-term integrity of
40 the containment mechanism or system,
41 2. Engineering and administrative controls,

- 1 3. Federal and California State regulatory restrictions that reduce the potential for
2 exposure, and
- 3 4. Frequency and duration of exposure for each use scenario and end-of-life scenario.
4 (C) The types and extent of consumer uses that could result in public exposure to the
5 Chemical(s) of Concern contained in the product, which in turn could result in adverse public
6 health impacts, considering the following factors:
- 7 1. Household use.
8 2. Sensitive subpopulation potential use or exposure at:
9 a. Home,
10 b. Schools, child day care facilities, and other areas frequented by children on a regular
11 basis,
12 c. Health care facilities, and
13 d. Recreational areas and facilities.
14 3. Consumers who purchase, use, or otherwise come in contact with the product.
15 4. Persons who come in contact with the product while providing or receiving a service.
16 5. Customers, clients and members of the general public who come in contact with the
17 product or releases from the product in a workplace.
- 18 (D) Product uses or management or disposal practices that could result in releases to
19 the environment of the Chemical(s) of Concern contained in the product, which in turn could
20 result in any of the adverse impacts specified in section 69302.3 (a)(1)(C) through (a)(1)(F),
21 considering the following factors:
- 22 1. Use, storage, transportation, and end-of-life management practices and locations.
23 2. Potential for release into, migration from, or distribution across environmental media,
24 and potential for accumulation, persistence or toxicity in biological or environmental
25 compartments or systems of the Chemical of Concern or its degradation products.
26 (2) Availability of reliable information to substantiate the threat(s) posed by the product.
27 (3) Scope of federal and/or other California State regulatory programs, and any
28 applicable international trade agreements ratified by the United States Senate, under which the
29 product is regulated, and the extent to which these other regulatory requirements address the
30 same public health and environmental threats and exposure pathways that are being
31 considered as a potential basis for the product being listed as a Priority Product.
- 32 (4)(3) The availability of an AA posted on the Department's website pursuant to section
33 69305(b) that is relevant for the product or the ~~Priority Chemical of Concern~~ in the product that
34 substantially meets the requirements of article 5 pertaining to Tier II AAs, and
35 (5)(4) The availability of Department resources.
- 36 (b)(1) In evaluating the relative degree of threat, pursuant to subsection (a)(1), the
37 Department shall seek to identify and give priority to those ~~chemicals, and the products that~~
38 contain Chemicals of Concern ~~them~~, that pose the greatest threat of adverse public health and
39 environmental impacts ~~threats~~, are most prevalently distributed in commerce and used by
40 consumers, and for which there is the greatest potential for consumers or environmental
41 receptors to be exposed to the Chemical of Concern ~~chemical~~ in quantities that can result in
42 adverse public health or environmental impacts ~~harm~~. ~~The Department shall consider both the~~

1 potential for exposure to the chemical in the product and the potential harm resulting from
2 potential exposures.

3 (2) The Department shall begin the product prioritization process by evaluating products
4 based on the factors specified in subsection (a)(1) in conjunction with subsection (a)(2).
5 Having identified the threats and potential exposures for each product and its Chemical(s) of
6 Concern, the Department shall then determine which of these threats and exposures are
7 addressed by consideration of subsection (a)(3), and adjust the prioritization accordingly. The
8 products assigned the highest priority at the conclusion of these two steps shall be listed as
9 Priority Products, except that the list shall be limited in number based upon the availability of
10 Department resources to review AA Work Plans and AA Reports and make regulatory
11 response determinations for these products.

12 (3)(2) In evaluating the potential for exposure, the Department shall, at a minimum,
13 consider all of the following:

14 (A) Market presence information data for the products containing the chemical;

15 (B) Reliable information demonstrating the occurrence, or potential occurrence, of public
16 health and environmental exposures to the Chemical(s) of Concern contained in the product or
17 component(s), whichever is applicable; and

18 (C) Information concerning the household presence of the product, and other products
19 containing the same chemical/Chemical of Concern that is the basis for the Priority Product
20 listing, including the number of such of products, how common their household presence is,
21 the frequency of use, and the concentration of the chemical in those products; and

22 (D) Information showing how widely the product is placed into the stream of commerce
23 in California.

24 (4)(3) In evaluating the potential for harm that could resulting from potential exposures to
25 the Chemical of Concern chemical contained in the product, the Department shall utilize the
26 evaluation conducted for the Chemical of Concern pursuant to section 69302.3(b)(3), at a
27 minimum, consider chemical potency and resulting harm for all of the following:

28 (A) Children, pregnant women and other sensitive subpopulations;

29 (B) Environmental receptors, in particular, environmentally sensitive habitats and
30 endangered and threatened species.

31 (c)(1) In evaluating products for potential listing as Priority Products, the Department shall
32 only consider the following product categories:

33 (A) Children's products.

34 (B) Personal care products.

35 (C) Household cleaning products.

36 (2) Paragraph (1) does not apply to any product listing proposed on or after January 1,
37 2016.

38

39 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

40 Reference: Sections 25252, and 25253, and 25257.1, Health and Safety Code.

41

42 **§ 69303.45. Priority Product Notification.**

1 (a)(4) Within sixty (60) days after a product is listed as a Priority Product, each responsible
2 entity for such a Priority Product shall notify the Department that its product is a Priority
3 Product. For Priority Products that are first manufactured, or first placed into the stream of
4 commerce in California, subsequent to the date the product is listed as a Priority Product, the
5 responsible entity shall provide this notice within thirty (30) days after the product is first placed
6 into the stream of commerce in California. The notification shall include all of the following:

7 ~~(1)(A) The responsible entity's name of, and contact information and applicable NAICS~~
8 ~~code(s) for, the responsible entity and all persons involved in the product supply chain that are~~
9 ~~known to the responsible entity;~~

10 ~~(2)(B) The type and brand name of the Priority Product, and, if applicable, information~~
11 ~~specifically identifying the pertinent component(s), if applicable that is the basis for the product~~
12 ~~being listed as a Priority Product; and~~

13 ~~(3)(C) The name of, and contact information for, the person that will be complying with the~~
14 ~~requirements of article 5 on behalf of the responsible entity, if that person is someone other~~
15 ~~than the responsible entity; and~~

16 ~~(D) Whether the responsible entity, or the person identified pursuant to paragraph (3),~~
17 ~~will seek the Department's approval for a de minimis exemption pursuant to section 69305.3, if~~
18 ~~applicable.~~

19 ~~(2) Paragraph (1) does not apply if a Chemical Removal Confirmation Notification or a~~
20 ~~Product Removal Confirmation Notification has been submitted to the Department for the~~
21 ~~product.~~

22 (b) If the Department determines that the notice requirements specified in subsection (a)
23 have not been fulfilled for a particular product that is a Priority Product, the Department shall
24 post this information on the Failure to Comply List pursuant to section ~~69301.4(f)~~69301.3(d).

25 (c) As the following information becomes available to the Department, the Department
26 shall add this information to the Priority Products list posted on its website for each product
27 that is a Priority Product and shall maintain and update this information for as long as the
28 Priority Product continues to be placed into the stream of commerce in California:

29 (1) Product brand names;

30 (2) ~~Product producer and, if different, the manufacturer(s),~~ except for those
31 manufacturers that have complied with the requirements of section 69301.3(b);

32 (3) Other Responsible entities for each product, except for those responsible entities
33 that have complied with the requirements of section ~~69301.4(e)~~69301.3(c);

34 ~~Information on any de minimis exemptions that have been granted by the~~
35 ~~Department pursuant to section 69305.3;~~

36 ~~(5) Information concerning any Chemical Removal Confirmation Notifications or Product~~
37 ~~Removal Confirmation Notifications that have been submitted to the Department for products~~
38 ~~listed on Priority Product list;~~

39 ~~(6) The identity of the person that has been identified as being the person that will fulfill~~
40 ~~the requirements of article 5; and~~

41 ~~(5)(7) The due dates for, and the dates of receipt of, the AA Work Plan and the Tier II AA~~
42 ~~Report; and~~

1 ~~(8) — The due dates for, and the dates of receipt of, the Tier II-A and Tier II-B AA Reports.~~

2
3 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
4 Section 25253, Health and Safety Code.

5
6 **Article 4. Petition for Inclusion of a Chemical or Product in the Prioritization Process**

7
8 **§ 69304. Applicability and Petition Contents.**

9 (a) Any person, hereafter known as the petitioner, may petition the Department to
10 evaluate a chemical or a product that contains a chemical using the chemical prioritization
11 and/or product prioritization processes specified in articles 2 and 3 of this chapter. The petition
12 shall be submitted to the Department in accordance with section 69301.45, and shall include
13 all of the following:

14 (1) Name of, and contact information, for both of the following persons:

15 (A) The petitioner, and

16 (B) The person responsible for the contents of the petition, if different from the person
17 identified in subparagraph (A), and the affiliation of this person with the petitioner,

18 (2) Description of the chemical and/or product which is the subject of the petition,

19 (3) Uses and applications of the chemical and/or product which is the subject of the
20 petition,

21 (4) Basis for the petition,

22 (5) Reliable information supporting the basis for the petition, ~~including, but not limited~~
23 ~~to, reliable information,~~ and

24 (6) Identity of any known manufacturers of the chemical or product.

25 (b) Within sixty (60) days after receiving a petition, the Department shall review the
26 petition and shall designate the petition complete if it contains the items specified in
27 paragraphs (1) through (6) of subsection (a). If the Department determines that a petition is
28 complete, the Department shall notify the petitioner that the petition will undergo a technical
29 review to determine whether to grant or deny the petition. If the Department determines that
30 the petition is incomplete, it shall notify the petitioner of this determination and shall specify the
31 basis for the determination.

32 (c) The fact that the Department designates a petition complete pursuant to this section
33 does not prohibit the Department from requesting additional information during the technical
34 review conducted pursuant to section 69304.1.

35
36 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
37 Reference: Sections 25252 and 25253, Health and Safety Code.

§ 69304.1. Technical Review of Petitions.

(a) The Department shall prioritize the technical review of petitions determined to be complete based on the comprehensiveness of the petitions and the availability of resources. Highest priority shall be given to petitions by federal and other California State regulatory programs that relate to the petitioning agency's statutory and/or regulatory mandates.

(b) The Department shall conduct a technical review of each petition determined to be complete to determine whether to grant or deny the petition based on:

(1) The comprehensiveness of the data and information submitted in support of the petition that pertains to the prioritization factors specified in sections 69302.3 and/or 69303.3, as applicable;

(2) The quality of the data and information submitted in support of the petition, ~~including, but not limited to, reliable information, or data that has been produced or reviewed and accepted by a California State or local agency for compliance and regulatory purposes;~~ and

(3) The availability of data and information, other than the data and information submitted with the petition, for the Department to:

(A) Determine hazard traits exhibited by the chemical, and

(B) Evaluate the chemical and/or the product, based on the prioritization factors specified in sections 69302.3 and/or 69303.3, as applicable.

(c) The Department may request the petitioner to provide additional information to complete the technical review. The petitioner shall provide, to the extent available, such additional requested information within the timeframe specified by the Department.

(d) After completing the technical review, the Department shall do both of the following:

(1) Prepare a notice of decision to grant or deny the petition and a statement of basis explaining the basis for the decision, and

(2) Notify the petitioner of the decision.

(e) After granting a petition, the Department shall ~~will~~ evaluate and, if applicable, prioritize the chemical and/or the product in accordance with the prioritization processes specified in articles 2 and/or 3.

NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

Reference: Sections 25252 and 25253, Health and Safety Code.

Article 5. Alternatives Assessments**§ 69305. Guidance Materials.**

(a) Before finalizing the initial list of ~~Priority Chemicals~~ of Concern pursuant to section 69302.2, the Department shall prepare, and make available on its website, guidance materials to assist persons in performing ~~Tier II~~ AAs in accordance with the requirements of this ~~chapter~~ article. The Department shall periodically revise and update the guidance materials.

(b) The Department shall also post on its website AAs that are available in the public domain, at no cost, and are supported by reliable information. The posting shall indicate, for

1 each AA, the name of the entity that prepared the AA, and if the AA was prepared or verified
2 by a lead assessor meeting the requirements of section 69308.3.

3
4 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
5 Sections 25252 and 25253, Health and Safety Code.

6
7 **~~§ 69305.1. Alternatives Assessment Notifications and Tier I AA Reports.~~**

8 (a) ~~After a chemical has been listed as a Chemical under Consideration or Priority~~
9 ~~Chemical on the final lists prepared pursuant to section 69302.2, if any product containing that~~
10 ~~chemical is reformulated or redesigned to remove or reduce the concentration of that chemical,~~
11 ~~or the original product has been replaced with an alternative product, the responsible entity~~
12 ~~shall provide an AA Notification to the Department before placing reformulated, redesigned or~~
13 ~~replacement product into the stream of commerce in California. The AA Notification shall~~
14 ~~include all of the following:~~

15 (1) ~~The responsible entity's name and contact information;~~

16 (2) ~~Information identifying and describing the original product and the reformulated,~~
17 ~~redesigned or substituted product, including the brand name(s) and labeling information for~~
18 ~~both products;~~

19 (3) ~~The intended uses, and targeted customer base(s), for the product;~~

20 (4) ~~The Chemical under Consideration or Priority Chemical removed from, or reduced~~
21 ~~in, the product; and~~

22 (5) ~~A Tier I AA Report comparing the two products, or all of the following information:~~

23 (A) ~~Information explaining the rationale for and the factors considered in selecting the~~
24 ~~reformulation, redesign or substitution alternative;~~

25 (B) ~~Identification, and a qualitative or quantitative description, of any reduction(s) to~~
26 ~~adverse public health or environmental impacts achieved by the reformulation, redesign or~~
27 ~~substitution; and~~

28 (C) ~~Identification of any hazard traits exhibited by the substitute chemical, if another~~
29 ~~chemical was substituted for the Chemical under Consideration or Priority Chemical.~~

30 1. ~~Identification of hazard traits shall be based on criteria developed by the Department~~
31 ~~or OEHHA, to the extent such criteria are made available by the Department or OEHHA.~~

32 2. ~~If relevant criteria have not yet been provided by the Department or OEHHA, reliable~~
33 ~~information shall be used to determine if the chemical exhibits a hazard trait.~~

34 (b) ~~The requirements of subsection (a) do not apply to a product that was reformulated,~~
35 ~~redesigned, or substituted as the result of the implementation of a selected alternative~~
36 ~~identified in an AA Report submitted to the Department pursuant to this article.~~

37 (c) ~~The requirements of subsection (a) do not apply if the manufacturer of the product~~
38 ~~has submitted a Chemical Removal Confirmation Notification or a Product Removal~~
39 ~~Confirmation Notification to the Department.~~

40 (d) ~~The information submitted pursuant subsection (a) shall be taken into consideration~~
41 ~~by the Department during subsequent chemical and product prioritization processes conducted~~

1 pursuant to articles 2 and 3 in the evaluation of any prioritization factors that the Department
2 determines this information is pertinent to.

3 (e) ~~If the AA Notification is accompanied by a Tier I AA Report prepared by a qualified
4 third-party assessment entity, or verified by a lead assessor meeting the requirements of
5 section 69305.2(c)(3)(B), the Department shall, if requested by the person submitting the AA
6 Notification, list the product that is the subject of the Tier I AA Report on the Department's
7 website, along with any identifying and descriptive information that the person submitting the
8 AA Notification requests to be posted on the Department's website.~~

9
10 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
11 Sections 25252 and 25253, Health and Safety Code.

12 § 69305.12. Tier II Alternatives Assessments: General Provisions.

14 (a)(1) Except as otherwise provided in subsections (d) and (f) and sections 69301.4(c),
15 69303.2(e) and 69305.3, a responsible entity for a product that is listed as a Priority Product,
16 or a person acting on behalf of or in lieu of the responsible entity, shall perform an Tier II AA
17 for the Priority Product, or the component(s) listed pursuant to section 69303.2(a)(2)(B), and
18 comply with all applicable requirements of this article.

19 (2) A responsible entity subject to the requirements of paragraph (1), or a person
20 fulfilling these requirements on behalf of or in lieu of the responsible entity, shall prepare, sign
21 and submit to the Department an AA Work Plan meeting the requirements of section 69305.24
22 and an AA Report meeting the requirements of sections 69305.46 through 69305.8, as follows:

23 (A) The AA Work Plan shall be submitted no later than one hundred and eighty (180)
24 days following the date that the applicable final Priority Product listing is posted on the
25 Department's website, except as provided in subsection (b).

26 (B) ~~The AA Reports for the Tier II-A and Tier II-B AAs, as defined in section~~
27 ~~69305.5(a)(1), shall be submitted by the dates specified by the Department pursuant to section~~
28 ~~69305.24(b)(4), except as provided pursuant to subsection (b).~~

29 (b)(1) A responsible entity, or a person fulfilling the requirements of this article on behalf of
30 or in lieu of the responsible entity, may request a one-time extension to the submission
31 deadline for the AA Work Plan and/or the AA Report. The extension request must be received
32 by the Department no later than sixty (60) days before the applicable due date ~~for the AA Work~~
33 ~~Plan or AA Report, as applicable.~~

34 (2) The extension request shall include:

35 (A) The Name of, and contact information for, the person filing the extension request,

36 (B) The name of, and contact information for, the person(s) on whose behalf the AA
37 Work Plan and AA Report will be submitted,

38 (C) If different from (A) and (B), the name of, and contact information for, the
39 manufacturer of the product,

40 (D) Information identifying and describing the Priority Product and, if applicable, the
41 component(s), including the brand name(s) under which the Priority Product is placed into the
42 stream of commerce in California,

- 1 (E) The due date for AA Work Plan or AA Report, as applicable,
- 2 (F) The amount of time requested, not to exceed the maximum extension timeframes
- 3 specified in paragraph (3), and
- 4 (G) The reason the extension is needed.
- 5 (3) The Department shall approve or deny in whole or in part the extension request, and
- 6 notify the person submitting the extension request of the decision, within thirty (30) days of
- 7 receipt of the extension request. The one-time extension for an AA Work Plan shall not
- 8 exceed ninety (90) days, and the one-time extension for an AA Report shall not exceed twelve
- 9 (12) months.
- 10 ~~(c)(1) Each Tier II AA shall be performed by, and the AA Work Plan and AA Report~~
- 11 ~~prepared by, one of the following:~~
- 12 ~~(A) A qualified third-party assessment entity designated pursuant to section 69308, or~~
- 13 ~~(B) A qualified in-house assessment entity designated pursuant to section 69308.1.~~
- 14 ~~(2) The responsible individual in charge of preparation of the AA Work Plan and AA~~
- 15 ~~Report, and performance of the Tier II AA, shall be a lead assessor who meets the~~
- 16 ~~requirements of section 69308.3 and is accredited for a product type and/or industry sector~~
- 17 ~~appropriate for the Tier II AA being performed. The lead assessor shall be employed by the~~
- 18 ~~qualified third-party assessment entity or qualified in-house assessment entity, whichever is~~
- 19 ~~applicable.~~
- 20 ~~(3)(A) Each Tier II AA performed by, and AA Report prepared by, a qualified in-house~~
- 21 ~~assessment entity shall be reviewed and verified by a second lead assessor. The verifying~~
- 22 ~~lead assessor must:~~
- 23 1. ~~Meet the requirements of section 69308.3,~~
- 24 2. ~~Be accredited for a product type and/or industry sector appropriate for the Tier II AA~~
- 25 ~~being verified,~~
- 26 3. ~~Be employed by a qualified third-party assessment entity,~~
- 27 4. ~~Not have participated in any way in the design or formulation of the AA Work Plan,~~
- 28 ~~data gathering, analysis or other aspects of the Tier II AA, or preparation of the AA Report, and~~
- 29 5. ~~Have no economic interest in any entity that manufactures, or places into the stream~~
- 30 ~~of commerce in California, any Chemical of Concern, Product under Consideration, or Priority~~
- 31 ~~Product.~~
- 32 (c)(1) Each AA performed by, and AA Report prepared by, a responsible entity or by a
- 33 consortium, trade association, public-private partnership, or similar organization with which the
- 34 responsible entity is affiliated, shall be reviewed and verified by a third-party that has no
- 35 economic interest in the responsible entity.
- 36 ~~(2)(B) The verifying lead assessor third-party verifier shall do all of the following:~~
- 37 ~~(A)4. Verify compliance with the requirements of this article, and indicate the extent to~~
- 38 ~~which the guidance document(s) posted by the Department pursuant to subsection (a) were~~
- 39 ~~used in conducting the Tier II AA;~~
- 40 ~~(B)2. Verify the accuracy of the proper analysis of the product's or component's life cycle;~~
- 41 ~~(C)3. Verify the appropriate application use of life cycle assessment tools and~~
- 42 ~~methodologies;~~

1 (D)4. Attest to the accuracy of the reported data; and
2 (E)5. Perform a final quality assurance review of the Tier II-AA and AA Report, and of the
3 data on which the Tier II-AA is based.

4 ~~(3)(C) The verifying lead assessor third-party verifier shall prepare an AA verification~~
5 statement documenting the verification process and findings.

6 ~~(4)(D) The verifying lead assessor third-party verifier shall base the AA verification~~
7 statement solely on the factors listed in subparagraphs ~~(B)1. through (B)5(2)(A) through (2)(E).~~
8 The selected alternative, or the decision not to select an alternative to the Priority Product or
9 component, as identified in the AA Report pursuant to section ~~69305.8(f)69305.4(j)~~, shall not
10 be a consideration factor in verifying the Tier II-AA or preparing the AA verification statement.

11 (d) The requirements of subsection (a) ~~of this section~~ may be fulfilled by submitting to
12 the Department a report for a previously completed AA for the Priority Product or component, if
13 the Department determines that the report is substantially equivalent to the requirements of
14 sections ~~69305.46 through 69305.8~~ and that the report contains sufficient information to
15 identify the most appropriate regulatory response pursuant to article 6 ~~of this chapter~~.

16 (1) The report submitted pursuant to this subsection shall be submitted no later than
17 one hundred and eighty (180) days following the date that the applicable final Priority Product
18 listing is posted on the Department's website, except that a one-time extension may be
19 requested pursuant to subsection (b).

20 (2) An existing report submitted pursuant to this subsection may be supplemented with
21 additional information to render the report substantially equivalent to the requirements of
22 sections ~~69305.46 through 69305.8~~.

23 ~~(3) If the existing report submitted pursuant to this subsection is not available in the~~
24 ~~public domain, the report shall be accompanied by documentation demonstrating that the AA~~
25 ~~and the report were verified pursuant to subsection (c)(3).~~

26 (e) Any person performing an Tier II-AA, pursuant to subsection (a), shall consider all
27 relevant information made available on the Department's website and any additional
28 information or technical assistance the Department may provide regarding alternatives
29 assessments. These efforts shall be briefly summarized in the AA Report.

30 ~~(f)(1) The requirements of subsection (a) pertaining to submission of an AA Work Plan~~
31 ~~and performance of a Tier II-AA do not apply if a Chemical Removal Intent Notification and/or~~
32 ~~Chemical Removal Confirmation Notification, or a Product Removal Intent Notification and/or~~
33 ~~Product Removal Confirmation Notification, is submitted to the Department for the product~~
34 ~~prior to the due date for submitting the AA Work Plan. If only a Chemical Removal Intent~~
35 ~~Notification or Product Removal Intent Notification is submitted to the Department by that date,~~
36 ~~one of the following shall be submitted to the Department by the date specified below:~~

37 ~~(A) A Chemical Removal Confirmation Notification shall be submitted no later than~~
38 ~~ninety (90) days after the date the Chemical Removal Intent Notification or Product Removal~~
39 ~~Intent Notification was submitted;~~

40 ~~(B) A Product Removal Confirmation Notification shall be submitted no later than ninety~~
41 ~~(90) days after the date the Chemical Removal Intent Notification or Product Removal Intent~~
42 ~~Notification was submitted; or~~

1 ~~(C) — An AA Work Plan shall be submitted by the due date for the AA Work Plan or no~~
2 ~~later than ninety (90) days after the date the Chemical Removal Intent Notification or Product~~
3 ~~Removal Intent Notification was submitted, whichever is later.~~

4 ~~(2)(A) If an AA Work Plan has been submitted to the Department, the requirements of~~
5 ~~subsection (a) pertaining to performance of a Tier II AA and submission of an AA Report do~~
6 ~~not apply if a Chemical Removal Intent Notification and/or Chemical Removal Confirmation~~
7 ~~Notification, or a Product Removal Intent Notification and/or Product Removal Confirmation~~
8 ~~Notification, is submitted to the Department for the product prior to the due date for submitting~~
9 ~~the AA Report. If only a Chemical Removal Intent Notification or Product Removal Intent~~
10 ~~Notification is submitted to the Department by that date, one of the following shall be submitted~~
11 ~~to the Department by the date specified below:~~

12 ~~1. — A Chemical Removal Confirmation Notification shall be submitted no later than~~
13 ~~ninety (90) days after the date the Chemical Removal Intent Notification or Product Removal~~
14 ~~Intent Notification was submitted;~~

15 ~~2. — A Product Removal Confirmation Notification shall be submitted no later than ninety~~
16 ~~(90) days after the date the Chemical Removal Intent Notification or Product Removal Intent~~
17 ~~Notification was submitted; or~~

18 ~~3. — An AA Report shall be submitted by the due date for the AA Report or no later than~~
19 ~~ninety (90) days after the date the Chemical Removal Intent Notification or Product Removal~~
20 ~~Intent Notification was submitted, whichever is later.~~

21 ~~(B) — A Chemical Removal Confirmation Notification submitted pursuant to subparagraph~~
22 ~~(A) shall be accompanied by all of the following additional information:~~

23 ~~1. — The intended uses, and targeted customer base(s), for the product;~~

24 ~~2. — Information explaining the rationale for and the factors considered in the decision to~~
25 ~~remove the Chemicals under Consideration and/or Priority Chemicals from the product, without~~
26 ~~adding any other chemicals to the product; and~~

27 ~~3. — Identification, and a qualitative or quantitative description, of any reduction(s) to~~
28 ~~adverse public health or environmental impacts achieved by removing the Chemicals under~~
29 ~~Consideration and/or Priority Chemicals from the product.~~

30 ~~(f)(g) Notwithstanding any other provision of this chapter, failure of the Department to~~
31 ~~make a completeness determination within sixty (60) days from receipt of the applicable~~
32 ~~document, or failure of the Director to respond to a request for further review under section~~
33 ~~69307.2 within sixty (60) days, shall not cause an AA Work Plan or AA Report to be deemed~~
34 ~~complete.~~

35
36 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
37 Sections 25252 and 25253, Health and Safety Code.

38
39 **§ 69305.3. De Minimis Exemption.**

40 ~~(a) — A responsible entity shall be exempt from the requirements of this article pertaining~~
41 ~~to Tier II AAs, if the manufacturer of the responsible entity's product requests, and the~~
42 ~~Department grants, a de minimis exemption. The de minimis exemption request must be~~

- 1 ~~submitted to the Department no later than sixty (60) days after the product has been listed as a~~
2 ~~Priority Product, and must include all of the following:~~
- 3 ~~(1) — Manufacturer name and contact information;~~
 - 4 ~~(2) — The name of and contact information for any responsible entity for the product, to the~~
5 ~~extent known to the manufacturer;~~
 - 6 ~~(3) — Information identifying and describing the product, including the brand name(s)~~
7 ~~under which the product is placed into the stream of commerce in California, and information~~
8 ~~specifically identifying the component, if applicable;~~
 - 9 ~~(4) — The source and purpose of the Priority Chemical in the product;~~
 - 10 ~~(5) — Information concerning any attempts taken by the manufacturer to eliminate or~~
11 ~~reduce the amount of the Priority Chemical in the product;~~
 - 12 ~~(6) — The maximum concentration at which the Priority Chemical is present in the product,~~
13 ~~and a listing and description of all data and other information used by the manufacturer to~~
14 ~~determine and substantiate this concentration; and~~
 - 15 ~~(7) — A list of all federal and California State regulatory thresholds, intended to protect~~
16 ~~public health or the environment, which are applicable to the chemical or the chemical/product~~
17 ~~combination.~~
- 18 ~~(b) — Subsection (a) does not apply if the Department has determined, pursuant to section~~
19 ~~69303.2(d), that a de minimis exemption may not be considered for the product.~~
- 20 ~~(c)(1) Within sixty (60) days of receiving a de minimis exemption request, the Department~~
21 ~~shall issue a notice to the manufacturer that:~~
- 22 ~~(A) — Grants the de minimis exemption request,~~
 - 23 ~~(B) — Denies the de minimis exemption request, or~~
 - 24 ~~(C) — Requests additional information, including, but limited to, information concerning:~~
 - 25 ~~1. — The source(s) of the Priority Chemical in the product; and~~
 - 26 ~~2. — Laboratory analytical testing protocols and results used to determine and~~
27 ~~substantiate the concentration of the Priority Chemical in the product, including quality control~~
28 ~~and quality assurance protocols and data and information concerning the testing laboratory.~~
 - 29 ~~(2) — The manufacturer shall provide any additional information requested by the~~
30 ~~Department within thirty (30) days of receiving the request, unless prior to the due date for~~
31 ~~submission the manufacturer requests and the Department grants a one-time extension not to~~
32 ~~exceed an additional thirty (30) days. If a request for additional information is not completely~~
33 ~~and timely fulfilled, the de minimis exemption request shall be denied. Within sixty (60) days of~~
34 ~~receiving the requested additional information, the Department shall issue a notice to the~~
35 ~~manufacturer either granting or denying the de minimis exemption request.~~
 - 36 ~~(3) — A notice granting or denying a de minimis exemption request shall include the basis~~
37 ~~for the Department's decision.~~
 - 38 ~~(4) — A copy of any notice sent to the manufacturer shall also be sent to any responsible~~
39 ~~entity for the affected product, known to the Department.~~
 - 40 ~~(d) — A decision by the Department to grant or deny a de minimis exemption request shall~~
41 ~~be governed by the following:~~

1 ~~(1) — A de minimis exemption request shall be denied if the manufacturer fails to~~
2 ~~demonstrate to the Department's satisfaction that the concentration of the Priority Chemical in~~
3 ~~the product does not exceed the applicable de minimis threshold.~~

4 ~~(2)(A) Except as provided in subparagraph (B), a de minimis exemption request shall be~~
5 ~~denied if the Department has reliable information that shows the Priority Chemical to be~~
6 ~~harmful or potentially harmful in concentrations below the de minimis level.~~

7 ~~(B) — When the Department has reliable information showing the Priority Chemical to be~~
8 ~~harmful or potentially harmful in concentrations below the de minimis level, the Department~~
9 ~~may, at its discretion, grant a modified de minimis exemption if both of the following apply:~~

10 ~~1. — Reliable information identifies a specific lower de minimis threshold for the Priority~~
11 ~~Chemical that is based on a scientific evaluation of adverse public health and environmental~~
12 ~~impacts; and~~

13 ~~2. — The information provided by the manufacturer demonstrates to the satisfaction of the~~
14 ~~Department that the concentration of the Priority Chemical in the product does not exceed the~~
15 ~~de minimis threshold identified pursuant to subparagraph (B)1.~~

16 ~~(3) — The Department may also deny a de minimis exemption request, if the Department~~
17 ~~determines that the Priority Chemical is found at or below the de minimis level in numerous~~
18 ~~products that are commonly used on a frequent basis, and reliable information shows these~~
19 ~~aggregate exposures to the Priority Chemical to be harmful or potentially harmful even when~~
20 ~~individual product concentrations of the Priority Chemical are below the de minimis level.~~

21 ~~(e) — A de minimis exemption granted pursuant to this section shall be rescinded if the~~
22 ~~Department determines that the data or other information that the Department relied upon in~~
23 ~~granting the exemption was not, or is no longer, valid. If the Department rescinds an~~
24 ~~exemption, the Department shall notify the manufacturer and any responsible entity for the~~
25 ~~affected product, known to the Department.~~

26 ~~(f) — All notices issued under this section granting, denying or rescinding a de minimis~~
27 ~~exemption shall include a statement of basis for the Department's decision.~~

28 ~~(g) — If the Department denies or rescinds a de minimis exemption provided pursuant to~~
29 ~~this section, an AA Work Plan shall be submitted for the affected product within one hundred~~
30 ~~and eighty (180) days after the Department posts the notice of denial or rescission on its~~
31 ~~website.~~

32
33 ~~NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:~~
34 ~~Sections 25252 and 25253, Health and Safety Code.~~

35
36 **§ 69305.24. Tier II Alternatives Assessment Work Plan.**

37 ~~(a) The AA Work Plan submitted to the Department pursuant to section 69305.12(a)(2)~~
38 ~~shall be adequate to ensure that the Tier II-AA and the AA Report will provide sufficient detail~~
39 ~~to support the selection of an alternative, or a decision to retain the existing Priority Product or~~
40 ~~component(s) in lieu of an alternative, and selection of appropriate regulatory response(s), if~~
41 ~~any, upon completion of the Tier II-AA. In addition, the AA Work Plan shall include sufficient~~
42 ~~information for the Department to determine compliance with this chapter and section 25253(a)~~

1 of the Health and Safety Code, and to assess the appropriateness of the submission date for
2 the AA Report proposed pursuant to paragraph (6). The AA Work Plan shall include all of the
3 following information:

4 (1) Preparer and Manufacturer Information.

5 (A) The Name, of and contact information for, the person submitting the AA Work
6 Plan;

7 (B) If applicable, the name of, and contact information for, all persons on whose behalf
8 the AA Work Plan is being submitted, and their relationship to the person identified in
9 subparagraph (A);

10 (C) The name of, and contact information for, the person identified on the product label
11 as the manufacturer, and the person, if any, identified on the label as the distributor; and

12 (D) The name of, and contact information for, the manufacturer of the product.

13 ~~(C) The names of the parties that will be involved in funding, directing, overseeing,~~
14 ~~preparing or reviewing the Tier II AA, and~~

15 ~~(D) Any organizations and individuals that it is anticipated will provide expert guidance or~~
16 ~~review for the Tier II AA, including the name of, and qualifications and accreditation information~~
17 ~~for, the person(s) in charge under whose direction the AA Work Plan was prepared and the~~
18 ~~Tier II AA will be conducted.~~

19 (2) Product Information. Information identifying and describing the Priority Product
20 and/or, if applicable, component(s) that is/are the subject of the AA Work Plan, including all of
21 the following:

22 (A) The brand name(s) under which the product is placed into the stream of commerce
23 in California;

24 (B) If applicable, the component(s) that will be the focus of the Tier II-AA. The Tier II-AA
25 shall~~must~~, at a minimum, focus on the component(s) specified in section 69303.2(a)(2)(B) for
26 the product in the Priority Products List, but may be expanded to include additional
27 components or the entire product; and

28 (C) Identification of the Priority Chemical(s) of Concern that are the basis for the product
29 being listed as a Priority Product, and any other Priority Chemical(s) that are, or reasonably
30 should be, known to be in the Priority Product.

31 ~~(3) Supply Chain Information. All of the following information applicable to the product~~
32 ~~that is, or should reasonably be, known to the preparer of the AA Work Plan:~~

33 ~~(A) The name of, and contact information for, the person identified on the product label~~
34 ~~as the manufacturer, and the person, if any, identified as the distributor;~~

35 ~~(B) The name of, and contact information for, the producer of the product;~~

36 ~~(C) The name of, and contact information for, all responsible entities for the product; and~~

37 ~~(D) The name of, and contact information for, any other person in the supply chain for~~
38 ~~the product.~~

39 ~~(3)(4) AA Goal and Scope of Alternatives. The AA Work Plan shall identify the goal of the~~
40 ~~Tier II-AA and specify which one or more of the following summarize the types of alternatives it~~
41 ~~is anticipated will be assessed during the Tier II-AA;~~

1 (A) ~~Substitution of a different chemical for the Priority Chemical in the Priority Product or~~
2 ~~component;~~

3 (B) ~~Redesign of the product or component and/or manufacturing process to reduce the~~
4 ~~concentration of the Priority Chemical in the Priority Product or component;~~

5 (C) ~~Redesign of the product or component and/or manufacturing process, using different~~
6 ~~materials (e.g., plastic, glass, ceramic, or stainless steel) to reduce the potential for the public~~
7 ~~or the environment to be exposed to the Priority Chemical in the Priority Product or~~
8 ~~component;~~

9 (D) ~~Other AA approach that is proposed to meet the intent and objectives of this article~~
10 ~~and Health and Safety Code section 25253(a).~~

11 ~~(4)(5) Scope of Life Cycle Segments.~~

12 (A) ~~The AA Work Plan shall identify which life cycle segments it is anticipated will be~~
13 ~~evaluated and compared for the product and all alternatives, which may include:~~

- 14 1. ~~Raw materials mining,~~
- 15 2. ~~Intermediary material processes,~~
- 16 3. ~~Manufacturing and packaging,~~
- 17 4. ~~Distribution, transportation and marketing,~~
- 18 5. ~~Use,~~
- 19 6. ~~Product end-of-life, and~~
- 20 7. ~~Reuse and recycling.~~

21 (B) ~~If it anticipated that not all life cycle segments will be evaluated and compared for~~
22 ~~the product and all alternatives, the AA Work Plan shall explain the rationale for the omissions,~~
23 ~~including an explanation of why an evaluation of the omitted life cycle segments is not~~
24 ~~necessary to comply with the requirements of Health and Safety Code section 25253(a).~~

25 ~~(5)(6) Approach and Methodology. The AA Work Plan shall identify and describe any~~
26 ~~assessment tools, models, or software that is anticipated will be used to conduct the Tier II AA.~~
27 ~~The AA Work Plan shall also identify and briefly describe the approach and methodology that~~
28 ~~is anticipated to be used for each of the following major Tier II-AA tasks, including, but not~~
29 ~~limited to:~~

30 (A) ~~Identifying alternatives to be evaluated,~~

31 (B) ~~Determining which of the Chemical Hazard Assessment, Exposure Potential~~
32 ~~Assessment, and Multimedia Life Cycle Evaluation factors listed in section 69305.35 are~~
33 ~~pertinent to, and are anticipated to be used to evaluate and compare, the product Priority~~
34 ~~Product or component(s) and the alternatives,~~

35 (C) ~~Gathering and analyzing data and other information,~~

36 (D) ~~Using the data and information to evaluate and compare the product Priority Product~~
37 ~~or component(s) and all alternatives being considered,~~

38 (E) ~~Making the decision to select an alternative or retain the Priority Product or~~
39 ~~component(s), and~~

40 (F) ~~Preparing the AA Report.~~

41 ~~(6)(7) Schedule and Deliverables. The AA Work Plan shall include a proposed schedule~~
42 ~~for completion of each major Tier II-AA task identified in the AA Work Plan. The schedule shall~~

1 ~~specify proposed dates for submitting information relating to any interim milestones, and the~~
2 ~~proposed completion submission dates for the Tier II-A and Tier II-B AA Reports pursuant to~~
3 ~~section 69305.46. If the Priority Product list identifies more than one component that must be~~
4 ~~included in the AA, separate submission dates may be proposed for each component.~~

5 (b)(1) Within sixty (60) days of receiving an AA Work Plan, the Department shall review the
6 AA Work Plan for completeness and compliance with the requirements of this section, and
7 issue a notice of its findings with either a: notice of deficiency or a notice of completeness.

8 (A) ~~Notice of deficiency, or~~

9 (B) ~~Notice of completeness.~~

10 (2) The Department shall specify in the notice of deficiency the areas of deficiency and
11 a date, not to exceed sixty (60) days from the date of the notice of deficiency, for submitting
12 the necessary information to complete the AA Work Plan. The person who submitted the
13 original AA Work Plan shall submit a revised AA Work Plan within the time specified and
14 address the areas of deficiency.

15 (3) Within sixty (60) days of receipt of the requested additional information, the
16 Department shall issue either a notice of completeness or a notice disapproving the AA Work
17 Plan. If the AA Work Plan is disapproved, the Department shall explain the basis for the
18 disapproval in the notice. A disapproved AA Work Plan shall be considered non-compliant
19 with the requirements of 69305.12(a)(2).

20 (4)~~(A)~~ If the AA Work Plan is determined to be complete, the Department shall specify in
21 the notice of completeness the dates for submitting the Tier II-A and Tier II-B AA Reports. In
22 assigning these due dates, the Department shall consider the following factors:

23 1. ~~The complexity of the planned Tier II AA, including, but not limited to, and the scope~~
24 ~~of alternatives to be considered; and~~

25 2. ~~The existence of any applicable AAs available in the public domain and posted on~~
26 ~~the Department's website that identify one or more safer functionally equivalent and~~
27 ~~technologically and economically feasible alternative(s).~~

28 (B) ~~Except as provided in section 69305.2(b), the Tier II-A and Tier II-B AA Reports shall~~
29 ~~be submitted by the due dates specified in subparagraph (A) simultaneously to the Department~~
30 ~~and the verifying lead assessor, if verification is required pursuant to section 69305.2(c)(3).~~

31 (5) All notices issued by the Department pursuant to this subsection shall be issued to
32 the person who submitted the AA Work Plan, and a copy of the notice shall be sent by the
33 Department to all persons identified in the AA Work Plan pursuant to subsections (a)(1)(B) and
34 (D)(a)(3).

35 (c) If there is a significant change to the information contained in an approved AA Work
36 Plan, a notification shall be provided to the Department by the person who submitted the AA
37 Work Plan, or by the person on whose behalf the AA Work Plan was submitted, that identifies
38 the change(s) and briefly explains the rationale for the change(s).

39
40 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
41 Sections 25252 and 25253, Health and Safety Code.

1 **§ 69305.35. Tier II-AA Evaluation and Comparison Process and Factors.**

2 (a)(1) Each Tier II-AA, required pursuant to section 69305.12(a), shall include ~~both~~ all of
3 the following:

4 (A) A Chemical Hazard Assessment ~~and,~~

5 ~~(B) Except as provided otherwise in paragraph (2)(B), an Exposure Potential~~
6 ~~Assessment, which together shall be referred to as a Tier II-A AA, and~~

7 ~~(C)(B) A Multimedia Life Cycle Evaluation, which shall be referred to as a Tier II-B AA.~~

8 (D) Product Function and Performance Analysis, and

9 (E) Economic Impact Analysis.

10 (2)(A) A Chemical Hazard Assessment shall be performed to evaluate and compare the
11 chemicals contained in the Priority Product or component(s) and all alternatives ~~initially~~
12 identified for consideration.

13 ~~(B) Following completion of a Chemical Hazard Assessment evaluation and comparison,~~
14 ~~a~~An Exposure Potential Assessment shall be performed to evaluate and compare the potential
15 for exposures to the chemicals contained in the Priority Product or component(s) and any
16 alternative being considered that contains a chemical that exhibits one or more hazard traits.
17 An Exposure Potential Assessment is not required if none of the ~~alternatives~~ being considered
18 contain a chemical that exhibits a hazard trait.

19 ~~1. Identification of hazard traits shall be based on the criteria developed by the~~
20 ~~Department or OEHHA for determining when a chemical exhibits a hazard trait, to the extent~~
21 ~~such criteria are made available by the Department or OEHHA.~~

22 ~~2. If relevant criteria have not yet been provided by the Department or OEHHA, reliable~~
23 ~~information shall be used to determine if the chemical exhibits a hazard trait.~~

24 (C) Concurrent with, or following completion of, the Chemical Hazard Assessment and
25 Exposure Potential Assessment, if applicable, a Multimedia Life Cycle Evaluation shall be
26 performed to evaluate and compare the multimedia impacts of the Priority Product or
27 component(s) and all alternatives being considered during each life cycle segment identified
28 for consideration.

29 ~~(D)(C)~~ The results of the Chemical Hazard Assessment or, if applicable, the Exposure
30 Potential Assessment, or both, may be used to screen out alternatives to be considered in
31 before proceeding with the Multimedia Life Cycle Evaluation. Likewise, the results of the
32 Chemical Hazard Assessment, Exposure Potential Assessment, and/or Multimedia Life Cycle
33 Evaluation may be used to screen out alternatives to be considered in the Product Function
34 and Performance Analysis and the Economic Impact Analysis. ~~At a minimum, an alternative~~
35 ~~shall be eliminated from further consideration if the person conducting the Tier II AA~~
36 ~~determines that both of the following apply:~~

37 ~~1. Based on the Chemical Hazard Assessment, potential exposures to the chemical in~~
38 ~~the alternative would pose a greater threat of harm to public health or the environment than is~~
39 ~~posed by the Priority Chemical in the Priority Product, and~~

40 ~~2. Based on the Exposure Potential Assessment, if one is performed, there is the same~~
41 ~~or greater potential for the public or the environment to be exposed to the chemical in the~~

1 ~~alternative, as compared to the potential to be exposed to the Priority Chemical in the Priority~~
2 ~~Product, during the product's useful life or end-of-life disposal or management.~~

3 (3) The Priority Product, or component(s), and all alternatives being considered shall be
4 evaluated and compared for the same set of life cycle segments, ~~identified pursuant to section~~
5 ~~69305.4(a)(5).~~ The same methodologies, and a consistent set of factors, shall be used to
6 evaluate and compare the Priority Product, or component(s), and all alternatives being
7 considered. In identifying the list of factors ~~that will to~~ be used for this evaluation and
8 comparison, ~~the person performing the Tier II AA shall review the list of factors specified in~~
9 ~~subsections (b) through (f)(e) shall be reviewed~~ to determine which factors are pertinent to,
10 and will be used for, the evaluation and comparison. Consideration may also be given to any
11 applicable safeguards provided by other federal or California State regulatory programs.

12 (b) Chemical Hazard Assessment. ~~The minimum set of following factors that shall be~~
13 ~~reviewed to determine if they are pertinent for inclusion in the Chemical Hazard Assessment~~
14 ~~evaluation and comparison of the chemicals contained in the Priority Product or component(s)~~
15 ~~and all alternatives being considered include all of the following:~~

16 (1) Physical chemical hazards, Chemical Information. ~~Chemical and physical properties~~
17 ~~to be considered, to the extent pertinent, for the Priority Chemical contained in the Priority~~
18 ~~Product or component, and for any chemical that is being considered as an alternative to the~~
19 ~~Priority Chemical, include, but are not limited to, those properties listed in section 69302.3(a).~~

20 (2) Adverse Ppublic Hhealth Iimpacts. ~~Evaluation and comparison of public health~~
21 ~~impacts must include, to the extent pertinent, consideration of impacts that may result from~~
22 ~~single, intermittent or frequent use of, or exposure to, the product, considering opportunities for~~
23 ~~dermal, oral and inhalation exposures during product use or other stages in the life cycle of the~~
24 ~~product. Factors to be considered, to the extent pertinent, include, but are not limited to, those~~
25 ~~factors listed in section 69302.3(b).~~

26 (3) Adverse Eecological Iimpacts. ~~Factors to be considered, to the extent pertinent,~~
27 ~~include, but are not limited to, those factors listed in section 69302.3(c).~~

28 (4) Chemical Traits Related to Environmental Impacts. ~~Chemical traits to be~~
29 ~~considered, to the extent pertinent, include, but are not limited to, those traits listed in section~~
30 ~~69302.3(d)(1).~~ Adverse air quality impacts.

31 (5) Adverse water quality impacts, and

32 (6) Adverse soil quality impacts.

33 (c) Exposure Potential Assessment. ~~The minimum set of following factors that shall be~~
34 ~~reviewed to determine if they are pertinent for inclusion in the Exposure Potential Assessment~~
35 ~~evaluation and comparison of the potential for exposures to the chemicals contained in the~~
36 ~~Priority Product or component(s) and all alternatives that are being still under consideration~~
37 ~~following completion of the Chemical Hazard Assessment include all of the following:~~

38 (1) Exposure Limitations. ~~Factors to be considered, to the extent pertinent, in~~
39 ~~evaluating the potential for the public or the environment to be exposed to the chemical that is~~
40 ~~contained in the product during the product's useful life and end-of-life disposal or~~
41 ~~management include, but are not limited to, those factors listed in section 69303.3(b).~~

42 (2) Chemical Qquantity Iinformation.

- 1 (A) Quantities of the ~~Priority Chemical of Concern~~ or alternative chemical(s) necessary
2 to manufacture the Priority Product or component(s), or alternative, ~~and~~
- 3 (B) ~~Concentration of the Priority Chemical in the Priority Product or component and the~~
4 ~~corresponding concentration of any chemical substitution being considered,~~
- 5 (C) ~~Volume and/or mass of the Priority Chemical in the Priority Product or component~~
6 ~~and the corresponding volume and/or mass of any potential chemical substitution,~~
- 7 (B)(D) ~~Extrapolation of the data identified in subparagraphs (A) through (C) to e~~Estimated
8 ~~the volume and/or mass of the Priority Chemical of Concern or substitute chemical that is or~~
9 ~~would be placed into the stream of commerce in California as a result of the product or~~
10 ~~component(s) or potential alternatives,~~ and
- 11 (E) ~~Dispersive volume information, as it relates to the volume and/or of the chemical~~
12 ~~made available in commerce in California, which may include, but is not limited to:~~
- 13 1. ~~Projected annual sales,~~
- 14 2. ~~Annual regional distribution volumes, and~~
- 15 3. ~~Marketing and customer targeted volumes.~~
- 16 (2) Exposure limitation factors listed in section 69303.3(a)(1)(B).
- 17 (3) ~~Consumer U~~ses. ~~Factors to be considered, to the extent pertinent, in evaluating~~
18 ~~the types and extent of consumer uses that could result in public exposure to the chemical that~~
19 ~~is contained in the product, and could result in adverse public health impacts include, but are~~
20 ~~not limited to those factors listed in section 69303.3(a)(1)(C)(e).~~
- 21 (4) ~~Environmental R~~leases. ~~Factors to be considered, to the extent pertinent, in~~
22 ~~evaluating product uses or management or disposal practices that could lead to releases to the~~
23 ~~environment of the chemical that is contained in the product, and result in adverse~~
24 ~~environmental impacts, include, but are not limited to, those factors listed in section~~
25 ~~69303.3(a)(1)(D)(d).~~
- 26 (d) Multimedia Life Cycle Evaluation. ~~The minimum set of following factors that shall be~~
27 ~~reviewed to determine if they are pertinent for inclusion in the Multimedia Life Cycle Evaluation~~
28 ~~and comparison of the multimedia impacts of the Priority Product or component(s) and all~~
29 ~~alternatives that are being still under consideration following completion of the Chemical~~
30 ~~Hazard Assessment and, if applicable, the Exposure Potential Assessment, include all of the~~
31 ~~following:~~
- 32 (1) Product Function and Performance.
- 33 (A) ~~Function and performance factors attributed to the Priority Chemical in the Priority~~
34 ~~Product or component, and any essential function and performance attributes that must be met~~
35 ~~by any potential alternatives,~~
- 36 (B) ~~Useful life, expressed in single use or number of applications, days, months or~~
37 ~~years, of the Priority Product or component, and that of the potential alternatives,~~
- 38 (C) ~~Functional equivalency of each alternative relative to the Priority Product or~~
39 ~~component, and~~
- 40 (D) ~~Technological and economic feasibility of each alternative.~~
- 41 (2) Materials and Resource Consumption Impacts.
- 42 (A) ~~Water consumption and conservation,~~

- 1 ~~(B) — Production, in-use, and transportation energy inputs,~~
2 ~~(C) — Energy consumption and efficiency, and~~
3 ~~(D) — Reusability and recyclability.~~
4 ~~(3) — Environmental Impacts.~~
5 ~~(2)(A) Adverse Air quality impacts.—This includes, to the extent pertinent, adverse~~
6 ~~impacts associated with air emissions, including the air contaminants listed in section~~
7 ~~69302.3(d)(2).~~
8 ~~(3)(B) Adverse Wwater quality impacts.—This includes, to the extent pertinent, adverse~~
9 ~~impacts associated with degradation of beneficial uses of waters and any of the factors listed~~
10 ~~in section 69302.3(d)(3).~~
11 ~~(4)(C) Adverse Ssoil quality impacts, and.—This includes, to the extent pertinent, adverse~~
12 ~~impacts associated with any of the factors listed in section 69302.3(d)(4).~~
13 ~~(5)(D) Waste and end-of-life impacts.—This includes adverse impacts associated with the~~
14 ~~amount of waste and byproducts generated, and any special handling required for the waste~~
15 ~~and byproducts, during the life cycle of the Priority Product or component and each alternative~~
16 ~~being considered. This also includes an assessment of disposal, treatment or use of waste~~
17 ~~and byproducts, including solid waste, wastewater and storm water discharge streams.~~
18 ~~(E) — Other factors that relate to adverse impacts on the environment, including, but not~~
19 ~~limited to, the release of heat, odor or radiation.~~
20 (e) Product Function and Performance Analysis. The following factors shall be
21 reviewed to determine if they are pertinent for inclusion in the Product Function and
22 Performance Analysis of the Priority Product or component(s) and all alternatives that are still
23 under consideration following completion of the Chemical Hazard Assessment, Exposure
24 Potential Assessment, and Multimedia Life Cycle Evaluation.
25 (1) Function and performance factors attributed to the Chemical of Concern in the
26 Priority Product or component(s), and any essential function and performance attributes that
27 must be met by any potential alternatives,
28 (2) Useful life, expressed in single use or number of applications, days, months or
29 years, of the Priority Product or component(s), and that of the potential alternatives,
30 (3) Functional equivalency of each alternative relative to the Priority Product or
31 component(s), and
32 (4) Technological and economic feasibility of each alternative.
33 ~~(f)(4) Economic Impacts Analysis. The economic impacts specified in section~~
34 ~~69301.1(a)(30) shall be reviewed to determine if they are pertinent for inclusion in the~~
35 ~~Economic Impact Analysis of the Priority Product or component(s) and all alternatives that are~~
36 ~~still under consideration following completion of the Chemical Hazard Assessment, Exposure~~
37 ~~Potential Assessment, and Multimedia Life Cycle Evaluation.~~~~This includes any expected~~
38 ~~increase or decrease in jobs or businesses, costs of doing business, and the costs of goods to~~
39 ~~consumers. Evaluation and comparison of economic impacts shall take into account both~~
40 ~~internalized and externalized costs during the life cycle of the Priority Product or component~~
41 ~~and all alternatives being considered, and shall include an evaluation of the range of projected~~
42 ~~costs. Evaluation and comparison of externalized costs shall include costs to government~~

1 agencies, the public, businesses, and consumers. ~~Economic impacts include all of the~~
2 following:

3 (A) ~~Capital investment,~~

4 (B) ~~Cost for resources,~~

5 (C) ~~Energy costs,~~

6 (D) ~~Non-compliance liability,~~

7 (E) ~~Operations and maintenance costs,~~

8 (F) ~~Waste disposal and treatment costs, and~~

9 (G) ~~Other relevant financial investments or liabilities not listed above.~~

10 (e) ~~The requirement to evaluate the Priority Product, or component, and all alternatives~~
11 ~~for the factors listed in subsections (d)(1) through (d)(3) may be fulfilled by completing an ISO~~
12 ~~14040, or equivalent, life cycle assessment.~~

13
14 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
15 Sections 25252 and 25253, Health and Safety Code.

16
17 **§ 69305.46. Tier II Alternatives Assessment Reports.**

18 (a) ~~The Tier II-A and Tier II-B AAs shall be completed and the AA Report for each~~
19 ~~submitted to the Department by the dates specified pursuant to in section 69305.24(b)(4),~~
20 ~~unless an extension has been requested and approved pursuant to section 69305.12(b). The~~
21 ~~AA Report shall include all of the following:~~

22 (b) ~~The Tier II-A and Tier II-B AA Reports shall each include all of the following:~~

23 (a) ~~(1) Preparer Information.~~

24 (1) ~~(A) The name of, and contact information for, the person submitting the AA Report;~~

25 (2) ~~(B) If applicable, the name of, and contact information for, all persons on whose behalf~~
26 ~~the AA Report is being submitted, and their relationship to the person identified in~~
27 ~~subparagraph (A); and~~

28 (3) ~~(C) The names of the parties that were involved in funding, directing, overseeing,~~
29 ~~preparing or reviewing the Tier II-AA. Any, and any organizations and individuals that provided~~
30 ~~expert guidance or review for the Tier II-AA, including the name of, and qualifications and~~
31 ~~accreditation information for, the person(s) in charge under whose direction the Tier II-AA was~~
32 ~~conducted and the AA Report was prepared.~~

33 (2) ~~Acronyms. An acronym list for the AA Report shall be included to clarify the~~
34 ~~meanings of abbreviated words.~~

35 (b) ~~(3) Manufacturer-Supply Chain Information.~~

36 (1) ~~The Name, contact information, and physical headquarters location of the~~
37 ~~manufacturer(s) shall be provided. If the AA Report is prepared on behalf of a consortium of~~
38 ~~manufacturers or other persons in the product's supply chain, a list of the participants shall be~~
39 ~~provided along with their corresponding contact information;:-~~

40 (2) ~~The name of, and contact information for, the person identified on the product label~~
41 ~~as the manufacturer, and the person, if any, identified as the distributor, if different from~~
42 ~~paragraph (1);~~

1 (3) The name of, and contact information, for all persons in California, other than the
2 final purchaser or lessee, to whom the manufacturer directly sold the product within the prior
3 twelve (12) months; and

4 (4) Identification and location of the manufacturer's retail sales outlets where the
5 manufacturer sold, supplied or offered for sale the product in California, if applicable.

6 (c)(4) Facility Description and Location. A description and location of the facility(ies) where
7 the Priority Product or component(s) is produced shall be included. This description shall also
8 indicate the proximity to raw or recycled materials that directly or indirectly influences the type
9 and amount of ~~Priority Chemical~~ of Concern contained in the Priority Product or component(s).

10 (d)(5) Product Information. The following information identifying and describing the
11 Priority Product or component(s) that is the subject of the AA Report, including all of the
12 following shall be included:

13 (1)(A) The brand name(s) under which the product is placed into the stream of commerce
14 in California;

15 (2)(B) If applicable, the component(s) that is the focus of the Tier II-AA. The Tier II-AA
16 ~~shall~~must, at a minimum, focus on the component(s) specified in section 69303.2(a)(2)(B)~~for~~
17 ~~the product in the Priority Products List~~, but may be expanded to include additional
18 components or the entire product; and

19 (3)(C) Identification of the ~~Priority Chemical(s)~~ of Concern contained in the product or
20 component(s), whichever is applicable, that are the basis for the product being listed as a
21 Priority Product, and any other Chemical(s) of Concern(s) that are, or reasonably should be,
22 known to be in the Priority Product or component(s), whichever is applicable.

23 (6) ~~Supply Chain Information. All of the following information applicable to the product~~
24 ~~that is, or should reasonably be, known to the preparer of the AA Report:~~

25 (A) ~~The name of, and contact information for, the person identified on the product label~~
26 ~~as the manufacturer, and the person, if any, identified as the distributor;~~

27 (B) ~~The name of, and contact information for, the producer of the product;~~

28 (C) ~~The name of, and contact information for, all responsible entities for the product; and~~

29 (D) ~~The name of, and contact information for, any other person in the supply chain for~~
30 ~~the product.~~

31 (e)(7) Supporting Information. All reference materials, studies, data and other information
32 used as supporting information in performance of the Tier II-AA and preparation of the AA
33 Report shall be cited in the AA Report and made available to the Department, upon request.
34 The AA Report shall include a brief summary of the information reviewed and considered
35 pursuant to section 69305.12(e).

36 (8) ~~Executive Summary. The AA Report shall include an executive summary meeting~~
37 ~~the requirements of section 69305.9.~~

38 (9) ~~Verification Information. If an AA verification statement is required pursuant to~~
39 ~~section 69305.2(c)(3), the AA Report shall include all of the following information:~~

40 (A) ~~Identification and qualification information for the verifying lead assessor and the~~
41 ~~qualified third party assessment entity that employs the verifying lead assessor,~~

1 (B) ~~— A copy of the contractual agreement between the preparer of the AA Report and the~~
2 ~~verifying lead assessor, and~~

3 (C) ~~— The date by which the lead assessor's AA verification statement will be provided to~~
4 ~~the Department, which shall be not later than ninety (90) days after submittal of the Tier II-A or~~
5 ~~Tier II-B AA Report, whichever is applicable.~~

6
7 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
8 Section 25253, Health and Safety Code.

9
10 **~~§ 69305.7. Tier II-A Alternatives Assessment Reports.~~**

11 ~~In addition to the information specified in subsection (b) of section 69305.6, the Tier II-A AA~~
12 ~~Report shall also include all of the following information:~~

13 ~~(f)(a) AA Goal and Scope of Alternatives. The AA Report shall identify the goal of the Tier~~
14 ~~II-AA, identify and briefly describe the alternatives chosen to be evaluated and compared in the~~
15 ~~Tier II-A AA, and explain the rationale for selecting these alternatives. If the scope of~~
16 ~~alternatives types considered differs from the anticipated scope identified in the AA Work Plan,~~
17 ~~the AA Report shall note and explain the reason(s) for the change.~~

18 ~~(g)(b) Scope of Life Cycle Segments. The AA Report shall identify which life cycle~~
19 ~~segments were chosen for evaluation and comparison in Tier II-A AA for of the product or~~
20 ~~component(s) and all alternatives. If not all life cycle segments listed in section 69305.4(a)(5)~~
21 ~~69301.1(a)(48) were have been or will be evaluated and compared, the AA Report shall~~
22 ~~explain the rationale for the omissions, including an explanation of why an evaluation of the~~
23 ~~omitted life cycle segments is not necessary to comply with the requirements of Health and~~
24 ~~Safety Code section 25253(a). If the scope of life cycle segments considered differs from the~~
25 ~~scope identified in the AA Work Plan, the AA Report shall also note and explain the reason(s)~~
26 ~~for any changes.~~

27 ~~(h)(e) Approach and Methodology for the Chemical Hazard Assessment and Exposure~~
28 ~~Potential Assessment (Tier II-A AA). The AA Report shall identify and describe the~~
29 ~~assessment tools, models, or software used to conduct the Chemical Hazard Assessment and,~~
30 ~~if applicable, the Exposure Potential Assessment AA, and discuss any limitations of these~~
31 ~~tools, models and software. The AA Report shall also identify any published methodologies or~~
32 ~~guidelines used, and any deviations taken from the published methodologies or guidelines.~~
33 ~~The AA Report shall also identify, and briefly describe the approach and methodology used for~~
34 ~~each of the major Tier II-A AA tasks, including as applicable, but not limited to, the tasks listed~~
35 ~~in section 69305.4(a)(6)69305.2(a)(5).~~

36 ~~(i)(d) Chemical Hazard Assessment and Comparison of Alternatives. The AA Report shall~~
37 ~~include all of the following information for the Chemical Hazard Assessment, Exposure~~
38 ~~Potential Assessment, Multimedia Life Cycle Evaluation, Product Function and Performance~~
39 ~~Analysis, and Economic Impact Analysis:~~

40 (1) Identification of the factors listed in section 69305.35(b), (c), (d), (e) or (f), as
41 applicable, that were used to evaluate and compare the Priority Product, or component(s), and

1 all alternatives considered, and the rationale for the selection of the evaluation and comparison
2 factors.

3 (2) A comparative matrix, or other format, that provides the reviewer with an easily
4 understood visual comparison, organized in conformance with section 69305.35(b) through (f),
5 that presents both of the following:

6 (A) The data collected for each factor evaluated and compared in the Chemical Hazard
7 Assessment, and

8 (B) The comparative results of evaluating the data presented pursuant to subparagraph
9 (A).

10 (3) Data relied on for any determination that one or more alternatives being considered
11 do not exhibit a hazard trait. This information is not required for any alternative that ~~will be~~ was
12 evaluated using an Exposure Potential Assessment.

13 (4) A discussion, if applicable, of how safeguards provided by other federal and
14 California State regulatory programs were considered in the AA, including identification of
15 those programs and safeguards considered.

16 (e) ~~Exposure Potential Assessment. If an Exposure Potential Assessment is required~~
17 ~~pursuant to section 69305.5(a)(2)(B), the AA Report shall include both of the following~~
18 ~~information for the Exposure Potential Assessment:~~

19 (1) ~~Identification of the factors listed in section 69305.5(c) that were used to evaluate~~
20 ~~and compare the Priority Product, or component, and all alternatives considered, and the~~
21 ~~rationale for the selection of the evaluation and comparison factors.~~

22 (2) ~~A comparative matrix, or other format, that provides the reviewer with an easily~~
23 ~~understood visual comparison, organized in conformance with section 69305.5(c), that~~
24 ~~presents both of the following:~~

25 (A) ~~The data collected for each factor evaluated and compared in the Exposure~~
26 ~~Potential Assessment, and~~

27 (B) ~~The comparative results of evaluating the data presented pursuant to subparagraph~~
28 ~~(A).~~

29 (f) ~~Adjustments to the Tier II-B AA Work Plan. The AA Report shall include all of the~~
30 ~~following information that is applicable:~~

31 (1) ~~Any adjustments to the scope of alternatives that will be evaluated and compared in~~
32 ~~the Multimedia Life Cycle Evaluation (Tier II-B AA), based on the results of the Chemical~~
33 ~~Hazard Assessment and, if applicable, the Exposure Potential Assessment, and the rationale~~
34 ~~for any adjustments.~~

35 (2) ~~Any adjustments to the scope of the life cycle segments that will be considered in~~
36 ~~the Multimedia Life Cycle Evaluation, based on the results of the Chemical Hazard~~
37 ~~Assessment and, if applicable, the Exposure Potential Assessment, and the rationale for any~~
38 ~~adjustments.~~

39 (3) ~~Any other changes to the AA Work Plan for the Multimedia Life Cycle Evaluation,~~
40 ~~and the rationale for any changes.~~

41

1 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
2 Sections 25252 and 25253, Health and Safety Code.

3
4 **§ 69305.8. — Tier II-B Alternatives Assessment Reports.**

5 In addition to the information specified in subsection (b) of section 69305.6, the Tier II-B AA
6 Report shall also include all of the following information:

7 (a) — Identification and explanation for any changes made to the information submitted in
8 the Tier II-A AA Report.

9 (b) — AA Goal and Scope of Alternatives. The AA Report shall identify the goal of the Tier
10 II AA, identify and briefly describe the alternatives chosen to be evaluated and compared in the
11 Tier II AA-B, and explain the rationale for selecting these alternatives. If the scope of
12 alternative types considered differs from the anticipated scope identified in the AA Work Plan,
13 or if different alternatives were considered in the Tier II-B AA than were considered in the Tier
14 II-A AA, the AA Report shall note and explain the reason for any changes.

15 (c) — Scope of Life Cycle Segments. The AA Report shall identify which life cycle
16 segments were chosen for evaluation and comparison in the Tier II-B AA for the product and
17 all alternatives. If not all life cycle segments listed in section 69305.4(a)(5) were evaluated and
18 compared, the AA Report shall explain the rationale for the omissions, including an explanation
19 of why an evaluation of the omitted life cycle segments is not necessary to comply with the
20 requirements of Health and Safety Code section 25253(a). If the scope of life cycle segments
21 considered differs from the anticipated scope identified in the AA Work Plan, or differs from the
22 life cycle segments considered in the Tier II-A AA, the AA Report shall note and explain the
23 reason for any changes.

24 (d) — Approach and Methodology for the Multimedia Life Cycle Evaluation (Tier II-B AA).
25 The AA Report shall identify and describe the assessment tools, models, or software used to
26 conduct the Multimedia Life Cycle Assessment, and discuss any limitations of these tools,
27 models and software. The AA Report shall also identify any published methodologies or
28 guidelines used, and any deviations taken from the published methodologies or guidelines.
29 The AA Report shall also identify, and briefly describe the approach and methodology used for
30 each major Tier II-B AA task, including as applicable, but not limited to, the tasks listed in
31 section 69305.4(a)(6).

32 (e) — Multimedia Life Cycle Evaluation. The AA Report shall include both of the following
33 information for the Multimedia Life Cycle Evaluation:

34 (1) — Identification of the factors listed in section 69305.5(d) that were used to evaluate
35 and compare the Priority Product, or component, and all alternatives considered, and the
36 rationale for the selection of those factors.

37 (2) — A comparative matrix, or other format, that provides the reviewer with an easily
38 understood visual comparison, organized in conformance with section 69305.5(d), that
39 presents both of the following:

40 (A) — The data collected for each factor evaluated and compared in the Multimedia Life
41 Cycle Evaluation, and

1 ~~(B) The comparative results of evaluating the data presented pursuant to subparagraph~~
2 ~~(A).~~

3 ~~(j)(f)~~ Selected Alternative. The AA Report shall identify and describe the alternative, if
4 any, selected, and the rationale for the selection decision. This shall include an assessment
5 that evaluates and compares the selected alternative against the Priority Product or
6 component(s) and a detailed list and explanation of the reasons for the selection decision, or,
7 alternatively, for the decision not to select and implement an alternative to the Priority Product
8 or component(s), whichever is applicable. The AA Report shall also include all of the following:

9 (1) The information specified in ~~subparagraphs (3)(C) and (4)(D)~~ of section
10 69305.3(e)5(d)(1) for the selected alternative. If no alternative is selected, this information
11 shall be provided for each alternative considered in the Tier II-B AA.

12 (2) A demonstration that the production, use and disposal of the selected alternative, in
13 conjunction with any regulatory response(s) proposed pursuant to subsection ~~(l)(h)~~, will have
14 no greater significant adverse impacts on public health or the environment than the impacts
15 associated with the Priority Product. For purposes of this paragraph, "environment", as it
16 pertains to California's environment, ~~shall mean~~ "environment" as defined in section 21060.5
17 of the Public Resources Code.

18 (3) A list of all chemical ingredients contained in the selected alternative that differ from
19 the chemical ingredients in the Priority Product or that are present in the selected alternative at
20 a higher concentration than in the Priority Product, and both of the following for those
21 chemicals: and

22 (A) All available and applicable chemical identification and description information; and

23 (B) Hazard trait information for any of those chemicals for which hazard trait
24 information has not already been provided to the Department pursuant to this chapter.

25 ~~(k)(g)~~ Implementation Plan. A detailed plan, including key milestones and dates, for
26 implementing the selected alternative, if applicable, shall be presented in the AA Report. The
27 implementation plan shall include any steps necessary to ensure compliance with applicable
28 federal, state or local laws.

29 ~~(l)(h)~~ Proposed Regulatory Responses. Identification of any regulatory response(s), that
30 the person submitting the AA Report wishes to propose, that would best limit the exposure to,
31 or reduce the level of adverse public health and environmental impacts hazards posed by, any
32 Priority Chemical of Concern that will be contained in the selected alternative or that is
33 contained in the Priority Product or component(s), if the decision resulting from the Tier II-AA is
34 to retain the Priority Product or component(s).

35 (m) If applicable, a third-party AA verification statement prepared pursuant to section
36 69305.1(c).

37
38 NOTE: Authority cited: Sections ~~25253 and 58012~~, Health and Safety Code. Reference:
39 Sections ~~25252 and 25253~~, Health and Safety Code.

40
41 **~~§ 69305.9. Tier II AA Report Executive Summary Required Contents.~~**

1 ~~(n)(1)(a) Each Tier II-A and Tier II-B~~ The AA Report shall be accompanied by an executive
2 summary. The executive summary shall be sufficient to convey to the public a general
3 understanding of the scope, goals and results of the ~~Tier II-A AA or Tier II-B AA, whichever is~~
4 ~~applicable~~, and allow a technically qualified person to make an independent assessment of the
5 findings presented in the AA Report.

6 ~~(2)(A)(b)~~ The executive summary shall be organized in conformance with the organization
7 of the AA Report and shall include, for each section of the AA Report, a reiteration or detailed
8 summary of the information presented in the AA Report, but the preparer shall not include in
9 the executive summary any information claimed as confidential pursuant to article 940.

10 ~~(B)(c)~~ If the Department subsequently rejects a claim of confidentiality, the preparer shall,
11 at the Department's request, submit a revised executive summary within thirty (30) days of the
12 request to add any information for which a confidentiality claim is rejected and which the
13 Department determines, and specifies in its request, shall ~~must~~ be included in the executive
14 summary.

15
16 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
17 Sections 25252, 25253 and 25257, Health and Safety Code.

18
19 **§ 69305.510. Department Review and Determination for Tier II-AA Reports.**

20 (a) Within sixty (60) days of receiving an ~~Tier II-A or Tier II-B AA Report and, if~~
21 ~~applicable, the AA verification statement for the AA Report~~, the Department shall review the
22 AA Report for completeness and compliance with the requirements of Health and Safety Code
23 section 25253(a) and this article, and shall notify the person submitting the AA Report of the
24 Department's finding with either a: notice of deficiency or a notice of completeness.

25 (1) ~~Notice of completeness, or~~

26 (2) ~~Notice of deficiency.~~

27 (b) The Department shall specify in any notice of deficiency the areas of deficiency and
28 the due date for submitting the necessary information to complete the AA Report, which shall
29 be no later than ninety (90) days after the notice of deficiency is issued.

30 (1) The revised AA Report shall be submitted within the time specified and shall address
31 all areas of deficiency. If requested, the Department may, at its discretion, approve a one-time
32 extension not to exceed sixty (60) days for submission of the revised AA Report to correct the
33 deficiencies.

34 (2) Within sixty (60) days of receipt of the requested additional information, the
35 Department shall notify the submitter of the information if the information submitted
36 brings renders the AA Report into compliance with the requirements of Health and Safety
37 Code section 25253(a) and this article, and issue either ~~approve or disapprove the AA Report~~
38 ~~for implementation~~ a notice of completeness or a notice of deficiency.

39 (3) If the Department again disapproves the AA Report, the Department ~~shall issue a~~
40 the second notice of deficiency ~~and shall grant no more than thirty (30) days for resubmission~~
41 of the requested information.

1 (4) If the submitter of the AA Report fails to adequately and timely respond to two (2)
2 notices of deficiency, the product shall be placed on the Failure to Comply List pursuant to
3 section 69301.3(d)4(f).

4 (c) If the AA Report is determined to be complete, the Department shall notify the
5 person who submitted the AA Report of its determination. A copy of the notice shall be sent to
6 ~~the manufacturer and all responsible entities known to the Department~~ all persons identified in
7 the AA Report pursuant to section 69305.4(a)(1), (a)(2), (b)(1), (b)(2) and (b)(3).

8 (1) In the completeness determination notice, or a subsequent notice sent to the
9 manufacturer and all responsible entities known to the Department, the Department shall
10 provide notice of the Department's proposed determination whether one or more of the
11 regulatory responses specified in sections ~~69306.3(e), 69306.4(b), 69306.5~~ or 69306.6 is
12 required.

13 (2) If a regulatory response is required under section 69306.6, the Department shall
14 specify the proposed due date for implementation of the regulatory response.

15 (3) In assigning a deadline for completing a regulatory response required by the
16 Department under section 69306.6, the Department shall consider the complexity of
17 implementing the regulatory response.

18
19 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
20 Section 25253, Health and Safety Code.

21 22 **Article 6. Regulatory Responses**

23 24 **§ 69306. Applicability.**

25 (a) ~~Except as provided otherwise in subsection (b), the~~ The requirements of this article
26 shall apply to any alternative selected pursuant to section 69305.4(j)8(h) that is placed into the
27 stream of commerce in California. These requirements shall also apply, as applicable, to the
28 Priority Product or component if an alternative is not selected, or if the Priority Product or
29 component will remain in commerce pending development and distribution of the selected
30 alternative.

31 (b) ~~(1) The requirements of this article do not apply to a product if the manufacturer submits~~
32 ~~to the Department, prior to the due date for implementing any regulatory response that would~~
33 ~~otherwise apply to the product, a Chemical Removal Confirmation Notification or a Product~~
34 ~~Removal Confirmation Notification.~~

35 (2) ~~A Chemical Removal Confirmation Notification submitted pursuant to paragraph (1)~~
36 ~~shall be accompanied by all of the following additional information:~~

37 (A) ~~The intended uses, and targeted customer base(s), for the product;~~

38 (B) ~~Information explaining the rationale for and the factors considered in the decision to~~
39 ~~remove the Chemicals under Consideration and/or Priority Chemicals from the product, without~~
40 ~~adding any other chemicals to the product; and~~

1 ~~(C) Identification, and a qualitative or quantitative description, of any reduction(s) to~~
2 ~~adverse public health or environmental impacts achieved by removing the Chemicals under~~
3 ~~Consideration and/or Priority Chemicals from the product.~~

4
5 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
6 Section 25253, Health and Safety Code.

7
8 **§ 69306.1. AA Report Supplemental Information Requirements.**

9 The Department may at any time request any information supplementary to the AA Report
10 that the Department determines is necessary to determine and ensure implementation of one
11 or more regulatory responses imposed pursuant to this article. This information shall be
12 provided, within the time period specified by the Department, by the person who is the
13 responsible entity for the Priority Product or component that is the subject of the AA Report.

14
15 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
16 Section 25253, Health and Safety Code.

17
18 **§ 69306.2. No Regulatory Response Required.**

19 No regulatory response shall ~~will~~ be required for a selected alternative, if all of the following
20 are demonstrated to the satisfaction of the Department in the AA Report:

21 (a) The selected alternative does not contain a Priority Chemical of Concern in a
22 concentration exceeding the de minimis level ~~specified in section 69301.2(a)(24) or specified~~
23 ~~by the Department pursuant to section 69303.2(d), whichever is applicable. For a product or~~
24 ~~component that the Department has determined the de minimis exemption does not apply, it~~
25 ~~must be in the AA Report that the selected alternative does not contain a Priority Chemical at~~
26 ~~or above detectable levels. If the selected alternative contains multiple Chemicals of Concern,~~
27 ~~the total concentration of all Chemicals of Concern exhibiting the same hazard trait shall not~~
28 ~~exceed the de minimis level.~~

29 (b) The selected alternative does not present a significant threat to public health or the
30 environment.

31 (c) The Priority Product, which was the subject of the ~~Tier II-AA~~, will be completely
32 removed from commerce in California, and an inventory recall in California will be completed,
33 within three (3) years after the date the Department issues a notice of completeness for the
34 Tier II-B-AA Report is submitted to the Department.

35
36 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
37 Section 25253, Health and Safety Code.

38
39 **§ 69306.3. Product Information for Consumers.**

40 (a) For a selected alternative that contains a Priority Chemical(s) of Concern in
41 exceedance of the level specified in section 69306.2(a) at a level that exceeds the de minimis
42 level specified in section 69301.2(a)(24) or specified by the Department pursuant to section

1 ~~69303.2(d), whichever is applicable~~, or for a Priority Product or component for which an
2 alternative is not selected, the responsible entity shall ensure that all of the following
3 information is made available to the consumer:

4 (1) Manufacturer's name;

5 (2) Brand name and description of the product;

6 (3) A list of the ~~Priority-Chemicals~~ of Concern contained in the product;

7 (4) Identification of any end-of-life management program for this product~~Identification of~~
8 ~~any sensitive subpopulations that should avoid contact with or other exposure to the product;~~

9 (5) Any safe handling procedures needed to protect public health or the environment
10 during the useful life of the product and proper end-of-life disposal or management; and

11 (6) The manufacturer's website address where the consumer can obtain additional
12 information about the product, the public health and environmental threats posed by the
13 product, and proper end-of-life disposal or management of the product.

14 (b) The requirements of subsection (a) may be met by including an information sheet in
15 the product packaging, printing the required information on the product packaging, printing the
16 information in a prominent place in the product manual if a hard copy manual is packaged with
17 the product, or posting the information in a prominent place at the point of product display sale
18 for products that are not packaged. In all cases, the information shall be easily seen, legible,
19 and understandable to the consumer.

20 (c)~~(1)~~ In addition to the requirements of subsections (a) and (b), unless precluded by the
21 type or size of the product, a product subject to the requirements of subsection (a) shall be
22 permanently marked or labeled ~~with all of the following information~~, in a manner that is easily
23 seen, legible, and understandable to the consumer; with as much of the information specified
24 in subsection (a) as the size of the product permits.

25 (A) ~~The manufacturer's name;~~

26 (B) ~~Brand name of the product;~~

27 (C) ~~A statement that the product contains a Priority Chemical;~~

28 (D) ~~Any safe handling procedures needed to protect public health or the environment~~
29 ~~during the useful life of the product and proper end-of-life disposal or management;~~

30 (E) ~~Identification of any end-of-life take back program for this product; and~~

31 (F) ~~The manufacturer's website address where the consumer can obtain additional~~
32 ~~information about the product, the public health and environmental threats posed by the~~
33 ~~product, and proper end-of-life disposal or management of the product.~~

34 (2) ~~If the size of the product precludes marking or labeling the product with all of the~~
35 ~~information listed in paragraph (1), the product shall be marked or labeled with as much of this~~
36 ~~information as the size of the product permits.~~

37 (d) A responsible entity that has a product or component subject to the requirements of
38 subsections (a) through (c), shall ensure that these requirements are fully implemented for that
39 product or component no later than twelve (12) months after the Department issues a notice of
40 completeness for the Tier II-B-AA Report for the product or component is submitted to the
41 Department.

1 ~~(e)(1) Except as provided in section 69306.2, the requirements specified in subsections (a)~~
2 ~~through (c) shall also apply to a selected alternative for which the Department makes one or~~
3 ~~more of the following determinations, and notifies the responsible entity and the manufacturer~~
4 ~~of that determination pursuant to section 69306.8:~~

5 ~~(A) The information will promote significantly safer use, and the public health and~~
6 ~~environmental threats posed by use of the product can be significantly mitigated by providing~~
7 ~~information to the consumer; or~~

8 ~~(B) Product stewardship is necessary to mitigate adverse end-of-life impacts; or~~

9 ~~(C) End-of-life reclamation of the product is necessary to conserve resources and~~
10 ~~mitigate long term environmental damage as a result of ongoing virgin material extraction.~~

11 ~~(2) A responsible entity for a product or component subject to the requirements of this~~
12 ~~subsection shall ensure these requirements are fully implemented for that product or~~
13 ~~component no later than twelve (12) months after being notified by the Department pursuant to~~
14 ~~section 69306.8(b) of its determination that the responsible entity's product or component is~~
15 ~~subject to the requirements of this subsection.~~

16
17 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
18 Section 25253, Health and Safety Code.

20 **§ 69306.4. End-of-Life Management Requirements.**

21 (a) Except as provided in section 69306.2, a responsible entity ~~for~~ of a selected
22 alternative, or a Priority Product ~~or component~~ for which the an alternative is not selected,
23 which is sold or otherwise made available to consumers as a finished product and is required
24 to be managed as a hazardous waste at the end of its useful life, shall ensure that both of the
25 following requirements are met:

26 (1) Consumer product information, as required by section 69306.3, ~~shall~~ must be
27 provided for the product or component. Additionally, the product information ~~shall~~ must state
28 that the product or component must be disposed of or otherwise managed as a hazardous
29 waste at the end of its useful life.

30 (2) No later than two (2) years after the Department issues a notice of completeness for
31 the Tier II-B-AA Report for the product or component is submitted to the Department, an end-
32 of-life management program for the product or component shall be funded, established and
33 maintained. The program shall comply with all of the following requirements:

34 (A) A comprehensive product stewardship plan shall be developed and maintained, and
35 shall include all of the following:

36 1. A list of, and contact information for, participating manufacturers and, if applicable,
37 other participating persons responsible entities;

38 2. The scope of products to be covered by the plan, ~~which shall include:~~

39 a. ~~Brand name and description of the selected alternative, or Priority Product or~~
40 ~~component, which is being managed under the product stewardship plan,~~

- 1 ~~b. Identification of similar existing products on the market, marketed under other brand~~
2 ~~names that may be inadvertently recovered by implementation of the product stewardship~~
3 ~~plan;~~
- 4 ~~c. Identification of legacy products, including brand names if available, that are no~~
5 ~~longer actively marketed at the time the product stewardship plan is implemented, and~~
- 6 ~~d. Identification of the product stewardship plan's fair share of orphan products, and~~
7 ~~their brand names if available, whose manufacturer is non-existent at the end of the product's~~
8 ~~useful life.~~
- 9 3. The roles and responsibilities for manufacturers, retailers, consumers and
10 government throughout the life cycle of the product.
- 11 ~~a. The manufacturer or responsible entity shall finance their stewardship programs as a~~
12 ~~general cost of doing business, through cost internalization or by recovering costs through~~
13 ~~arrangements with their distributors and retailers.~~
- 14 ~~b. The manufacturer or responsible entity shall identify any third-party product~~
15 ~~stewardship organization collecting and administering a fee to fund the stewardship program.~~
- 16 4. Identification and description of collection systems that will be used. information,
17 which shall include:
- 18 a. Existing infrastructure, both regionally and statewide,
19 b. Needed infrastructure, not currently in place, both regionally and statewide, and
20 c. Minimum collection services required.
- 21 5. End-of-life management information, including what steps will be taken to ensure
22 environmentally-sound management that complies with all applicable federal and California
23 State and local laws, and addresses any adverse multimedia impacts.;
- 24 6. Anticipated resources needs and a description of the financing mechanism to
25 implement and sustain the plan, including identification of any third-party product stewardship
26 organization collecting and administering a fee to fund the stewardship program. The
27 responsible entity of the product shall provide a financial guarantee mechanism for a
28 sustainable end-of-life management program for the product. Multiple responsible entities may
29 form a third-party product stewardship organization, funded by participating manufacturers and
30 responsible entities, to provide local services to collect, recycle, or otherwise appropriately
31 manage the designated products.;
- 32 7. Program performance measures for:
- 33 a. Increasing the capture rate of the products covered at the end-of-life, and
34 b. Increasing recyclability.;
- 35 ~~c. Increasing product longevity for consumer use, and~~
36 ~~d. Decreasing use and volume of packaging;~~
- 37 8. Public education, outreach and communications plans;
- 38 9. Public and stakeholder consultation activities during in-preparation, and periodic
39 review and updating, of the plan; and
- 40 10. Reporting and evaluation procedures.
- 41 ~~(B) The product stewardship program shall include development and maintenance of a~~
42 ~~public education program geared towards the market for the product.~~

1 ~~(B)(C)~~ The product stewardship program and plan for collecting and, if applicable, recycling
2 the product shall be developed in consultation with California retailers and potential collection
3 sites. The collection program shall include one or both of the following:

- 4 1. ~~Collection mechanisms, including, but not limited to, placement of collection bins at~~
5 ~~collection centers in visible and accessible locations for consumers, and~~
- 6 2. Compensation to retailers and other persons who agree to administer or participate
7 in the collection program.

8 ~~(D) The manufacturer or responsible entity of the product shall provide a financial~~
9 ~~guarantee mechanism for a sustainable end-of-life management program for the product.~~
10 ~~Multiple manufacturers and/or responsible entities may form a third-party product stewardship~~
11 ~~organization, funded by participating manufacturers and responsible entities, to provide local~~
12 ~~services to collect, recycle, or otherwise appropriately manage the designated products.~~

13 ~~(C)(E)~~ The responsible entity for a product subject to the requirements of this section shall,
14 every two (2) years from the date the end-of-life management program is required to be
15 implemented, ensure that a report is provided to the Department which shall include both of
16 the following:

- 17 1. The amount of products placed into the stream of commerce in California over the
18 previous two 2-year period, by total tonnage, and
- 19 2. The amount of products recovered for recycling over the same two-year period, by
20 total tonnage.

21 ~~(b)(1) Except as provided in section 69306.2, the requirements specified in subsection (a)~~
22 ~~shall also apply to a selected alternative product or component that contains a Priority~~
23 ~~Chemical of Concern, or for a Priority Product for which an alternative is not selected, if the~~
24 ~~Department determines, and notifies the responsible entity and the manufacturer of the~~
25 ~~determination pursuant to section 69306.8, that one or more of the following applies:~~

26 ~~(A) There is significant potential for improper end-of-life handling or disposal practices~~
27 ~~that pose significant adverse public health or environmental impacts,~~

28 ~~(B) End-of-life reclamation of the product is needed to conserve resources and mitigate~~
29 ~~long term environmental damage as a result of continual virgin material extraction, or~~

30 ~~(C) There would be significant waste management costs for local governments,~~
31 ~~ratepayers or taxpayers in the absence of a product stewardship program.~~

32 ~~(2) An end-of-life management program shall be funded, established and maintained for~~
33 ~~a product or component no later than two (2) years after the Department issues a notification~~
34 ~~pursuant to section 69306.8(b) of its determination that the product or component is subject to~~
35 ~~the requirements of this subsection.~~

36 ~~(b)(e)~~ Upon request, the product stewardship plan required under this section shall be
37 submitted for review by the Department to ensure compliance with the requirements of this
38 section.

39 ~~(c)(d)~~ A copy of the product stewardship plan required under this section shall be posted
40 on the websites of the responsible entity and the manufacturer. A link to these postings shall
41 be provided to the Department for posting on the Department's website.

1 ~~(d)(e)~~ A responsible entity ~~person~~-subject to the requirements of this section may request
2 the Department's approval to substitute an alternative end-of-life management program that
3 achieves to the maximum extent feasible the same results as the program required by this
4 section.

5 ~~(e)(f)~~ A responsible entity ~~person~~-subject to the requirements of this section may request
6 an exemption by demonstrating to the Department's satisfaction in the AA Report that an end-
7 of-life management program cannot feasibly be implemented for the product that is subject to
8 the requirements of this section.

9
10 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
11 Section 25253, Health and Safety Code.

12 13 **§ 69306.5. Product Sales Prohibition.**

14 (a) Except as provided in section 69306.2 and subsection (c), the requirements of
15 subsection (b) shall apply to a selected alternative that contains a Priority-Chemical of
16 Concern, or a Priority Product or component for which ~~the~~-an alternative is not selected, if the
17 Department determines, and notifies the responsible entity ~~and the manufacturer~~ pursuant to
18 section 69306.8, that a safer alternative exists that does not contain a Priority-Chemical of
19 Concern and is both functionally equivalent and technologically and economically feasible.

20 (b) Effective one (1) year after the Department issues a notification pursuant to
21 subsection (a), the product or component that is the subject of the notification shall cease to be
22 placed into the stream of commerce in California, and the responsible entity ~~or the~~
23 ~~manufacturer~~ shall ensure that an inventory recall program for the product or component is
24 implemented and completed within three (3) ~~two (2)~~-years after the notification is issued by the
25 Department.

26 (c) A product or component that is the subject of a notification issued by the Department
27 pursuant to subsection (a) shall not be subject to the requirements of subsection (b) if both of
28 the following requirements are met:

29 (1) Within sixty (60) days after the notification is issued by the Department, the
30 responsible entity ~~or the manufacturer~~ notifies the Department of its intent to submit a revised
31 AA Report that selects an alternative that does not contain a Priority-Chemical of Concern, and

32 (2) Within one (1) year after the notification is issued by the Department, the
33 Department receives an AA Report that selects an alternative that does not contain a Priority
34 Chemical of Concern and that fully meets the requirements of sections 69305.46 through
35 69305.8.

36 (d)(1) A request may be submitted to the Department for a one-time extension of the due
37 date for submitting the revised AA Report pursuant to subsection (c)(2). The extension
38 request shall be received by the Department no later than sixty (60) days before the due date
39 for the revised AA Report, and shall include all of the following:

40 (A) The Name of₁ and contact information for₁ the person filing the extension request,

41 (B) The name of₁ and contact information for₁ the person(s) on whose behalf the revised
42 AA Report will be submitted,

1 (C) If different from (A) and (B), the name of, and contact information for, the
2 manufacturer of the product,

3 (D) The amount of time requested, not to exceed ninety (90) days,

4 (E) The reason the extension is needed, and

5 (F) A copy of the notice issued by the Department pursuant to subsection (a), and a
6 copy of the notice of intent submitted to the Department pursuant to subsection (c)(1).

7 (2) Within thirty (30) days of receipt of the extension request, the Department shall
8 approve or deny the extension request, and notify the person submitting the extension request
9 of its decision. The one-time extension for the revised AA Report shall not exceed ninety (90)
10 days.

11 (3) If an extension is approved by the Department, one of the following requirements
12 shall be met by the due date specified by the Department in the extension approval:

13 (A) A revised AA Report meeting the requirements of subsection (c)(2) shall be
14 submitted to the Department, or

15 (B) The requirements of subsection (b) shall be fully implemented.

16
17 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
18 Section 25253, Health and Safety Code.

19
20 **§ 69306.6. Other Regulatory Responses.**

21 (a) In addition to the regulatory responses specified in sections 69306.1 and 69306.3
22 through 69306.5, and except as provided in section 69306.2, the Department may impose any
23 of the following regulatory responses that the Department determines are necessary to limit
24 exposure to, and reduce the level of adverse public health or environmental impacts ~~hazards~~
25 posed by, a selected alternative, or a Priority Product or component for which an alternative is
26 not selected:

27 (1) The Department may apply any of the regulatory responses described in sections
28 69306.3 through 69306.5 to scenarios other than those already identified in sections 69306.3
29 through 69306.5;

30 (2) The Department may apply any of the following regulatory responses to any
31 scenario, including those scenarios listed in sections 69306.3 through 69306.5:

32 (A) Requiring engineered safety measures to control access to or limit exposure to the
33 ~~Priority-Chemical~~ of Concern in the product;

34 (B) Placing restrictions on the use of the ~~Priority-Chemical~~ of Concern that is contained
35 in the product;

36 (C) Requiring the responsible entity ~~or manufacturer~~ to initiate a ~~green chemistry~~
37 research and development project or fund a ~~green chemistry~~ challenge grant that is pertinent
38 to the Priority Product or component and that uses ~~using~~ green chemistry principles, if the AA
39 Report for the product or component did not identify any alternatives; and

40 (D) Requiring a new Tier II-AA to be performed, and an AA Report to be submitted to the
41 Department in a time period specified by the Department, which shall be no less than three (3)

1 years after the date the prior ~~Tier II-B~~ AA Report for the product or component was submitted
2 to the Department, if either of the following applies:

3 1. The prior AA Report did not identify or did not select an alternative product or
4 component, or

5 2. The Department becomes aware of a safer alternative that is both functionally
6 equivalent and technologically and economically feasible; ~~and~~

7 ~~(E) Any other regulatory response that the Department determines is necessary to limit~~
8 ~~exposure to or otherwise reduce the level of public health or environmental hazards posed by~~
9 ~~the product.~~

10 (b) In accordance with the process specified in section 69306.8, the Department shall
11 notify affected ~~manufacturers and~~ responsible entities, known to the Department, of regulatory
12 response determinations made pursuant to this section, along with the implementation due
13 date for the regulatory response and the rationale for the regulatory response determination.

14 (c) The Department shall ~~will~~ periodically re-evaluate each regulatory response imposed
15 under this section to determine if any changes are needed based on any significant changes in
16 science, technology or other relevant information or facts that have occurred since the
17 regulatory response was selected.

18
19 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
20 Section 25253, Health and Safety Code.

21
22 **§ 69306.7. Exemption from Regulatory Response Requirements.**

23 (a) A selected alternative, or a Priority Product or component for which an alternative is
24 not selected, shall be exempt from the requirements of this article, if the responsible entity ~~or~~
25 ~~the manufacturer~~ requests, and the Department grants, an exemption. The exemption request
26 shall ~~must~~ be submitted to the Department no later than whichever of the following dates is
27 applicable:

28 (1) Sixty (60) days after the responsible entity is notified by the Department that a
29 selected alternative, or a Priority Product or component, is subject to a regulatory response
30 pursuant to section 69306.6 or a determination under section ~~69306.3(e), 69306.4(b) or~~
31 69306.5, or

32 (2) Sixty (60) days after the Department issues a notice of completeness for an ~~Tier II-B~~
33 ~~AA Report is submitted to the Department for a product or component subject to subsections~~
34 ~~(a) through (c) of section 69306.3 or section 69306.4(a).~~

35 (b) An exemption request submitted pursuant to subsection (a) shall ~~must~~ include all of
36 the following:

37 (1) The ~~A~~ name of, and contact information for, the person filing the exemption request,

38 (2) The name of, and contact information for, the person(s) on whose behalf the
39 exemption request is being submitted,

40 (3) If different from paragraphs (1) and (2), the name of, and contact information for, the
41 manufacturer of the product,

1 (4) The name of, and contact information for, any other responsible entity for the
2 product, to the extent known to the person submitting the exemption request,

3 (5) Information identifying and describing the product, including the brand name(s)
4 under which the product is placed into the stream of commerce in California, and information
5 specifically identifying the component, if applicable, and

6 (6) Clear and convincing evidence that demonstrates to the Department's satisfaction
7 that either or both of the following apply:

8 (A) The required regulatory response would conflict with a requirement of another
9 California or federal regulatory program or an international trade agreement ratified by the
10 United States Senate, in such a way that the responsible entity ~~or manufacturer~~ cannot
11 reasonably be expected to comply with both requirements.

12 (B) The required regulatory response substantially duplicates a requirement of another
13 California or federal regulatory program or an international trade agreement ratified by the
14 United States Senate.

15 (c) Within sixty (60) days of receiving an exemption request, the Department shall issue
16 a notice to the person who submitted the request granting or denying the exemption request.
17 A notice granting or denying an exemption request shall include the basis for the Department's
18 decision. A copy of the notice shall also be sent to ~~the product manufacturer and any~~
19 responsible entity, known to the Department.

20 (d) An exemption request submitted pursuant to subsection (a) shall be denied if the
21 request fails to demonstrate to the satisfaction of the Department that one or both of the
22 criteria specified in subsection (a)(6) apply to the product or component.

23 (e) If the exemption request or the Department's granting of the exemption is based
24 solely on the criteria specified in subsection (a)(6)(A), the Department may, at its discretion,
25 require implementation of a modified regulatory response that resolves the conflict that is the
26 basis for the exemption.

27 (f) An exemption granted pursuant to this section shall be rescinded if the Department
28 determines that the facts and/or assumptions that the Department relied upon in granting the
29 exemption were not, or are no longer, valid. If the Department rescinds an exemption, the
30 Department shall notify the person who submitted the exemption request and, ~~if different, the~~
31 ~~manufacturer and any responsible entity for the affected product,~~ known to the Department.

32 (g) All notices issued under this section granting, denying or rescinding an exemption
33 shall include a statement of basis for the Department's decision.

34
35 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
36 Sections 25253 and 25257.1, Health and Safety Code.

37
38 **§ 69306.8. Regulatory Response Determination Process.**

39 (a) Prior to issuing a final regulatory response determination notice pursuant to sections
40 ~~69306.3(e), 69306.4(b), 69306.5(a) or 69306.6(b),~~ the Department shall notify the
41 ~~manufacturer and~~ all responsible entities known to the Department of the proposed regulatory
42 response(s) pursuant to paragraphs (1) through (3) of section 69305.540(c), and make the

- 1 proposed regulatory response determination notice available on its website, for public review
2 and comment. The Department shall hold one or more public workshops to provide an
3 opportunity for the public to comment orally on the proposed regulatory response
4 determination notice. The Department shall publish in the CRNR, send to persons on any
5 listserv(s) that the Department establishes related to this chapter, and post on its website a
6 notice regarding the availability of the proposed regulatory response determination notice.
7 This notice shall include:
- 8 (1) The time period during which the public may submit written comments,
 - 9 (2) The method(s) for submitting comments to the Department on the proposed
10 regulatory response determination notice, and
 - 11 (3) The date, time and location of the public ~~Notification of any workshop(s), if the~~
12 ~~Department determines one or more workshops are necessary.~~
- 13 (b) After review and consideration of public comments on the proposed regulatory
14 response determination notice, the Department shall finalize and send to ~~the product~~
15 ~~manufacturer and any~~ responsible entities known to the Department the final regulatory
16 response determination notice. The Department may, at its discretion, respond to some or all
17 public comments received.
- 18 (c) All proposed and final regulatory response determination notices shall include all of
19 the following:
- 20 (1) A description of the required regulatory response,
 - 21 (2) The Department's determination(s) that is the basis for the required regulatory
22 response,
 - 23 (3) Subject to article 940, the rationale, data and data sources, supporting the
24 Department's determination(s), and an analysis of potential multimedia life cycle impacts, if
25 any, associated with the required regulatory response, and
 - 26 (4) The implementation due date for any regulatory response imposed pursuant to
27 section 69306.6.

28

29 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
30 Sections 25253 and 25257, Health and Safety Code.

31

32 **§ 69306.9. Regulatory Response Report and Notifications.**

- 33 (a) A responsible entity of a product or component subject to a regulatory response
34 pursuant to this article, except for the regulatory responses specified in subparagraphs (C) and
35 (D) of section 69306.6(a)(2), shall ensure that a notice is sent to retailers who sell the product
36 or component in California, informing the retailers of the applicability of the regulatory response
37 to the product or component. The notice shall be sent to the retailers, and a copy sent to the
38 Department, no later than whichever of the following dates is applicable:
- 39 (1) Thirty (30) days after receiving a final regulatory response determination notice,
40 pursuant to section 69306.8(b), for a product or component subject to section ~~69306.3(e),~~
41 ~~69306.4(b),~~ 69306.5(a) or 69306.6(b), or

1 (2) Thirty (30) days after the Department issues a notice of completeness for an Tier II-
2 B-AA Report is submitted to the Department for a product or component subject to subsections
3 (a) through (c) of section 69306.3 or section 69306.4(a).

4 (b) The notice required pursuant to subdivision (a) shall include all of the following:

5 (1) The manufacturer's name and contact information,

6 (2) The responsible entity's name and contact information, if different than the
7 manufacturer,

8 (3) ~~The names of, and contact information for, any other persons in the supply chain for~~
9 ~~the product known to the responsible entity,~~

10 (4) Information identifying and describing the original Priority Product or component, and
11 the selected alternative, including the brand name(s) under which the product or component is
12 placed into the stream of commerce in California, and the name(s) of any persons identified as
13 the manufacturer and/or distributor on the product label,

14 (4)(5) A description of the required regulatory response and the due date for implementing
15 the regulatory response.

16 (c) The responsible entity ~~or the manufacturer~~ shall notify the Department upon
17 completing implementation of the required regulatory response(s) and, if applicable, upon
18 completing development and introduction into the California market of the selected alternative.
19 The notification shall include information describing how the regulatory response(s) was
20 implemented. If requested by the Department, the responsible entity ~~or the manufacturer~~ shall
21 provide periodic implementation status reports regarding the selected regulatory response(s).
22 The information provided to the Department pursuant to this subsection shall also be posted
23 on the websites of the ~~manufacturer and~~ responsible entity.

24 (d)(1) The Department shall prepare and post on its website, and update at least quarterly,
25 a Regulatory Response Report that identifies the regulatory response or responses for each
26 selected alternative for a Priority Product. The Regulatory Response Report shall contain all of
27 the following information, subject to article 940:

28 (A) The manufacturer's name and contact information,

29 (B) The names of, and contact information for, any other responsible entities ~~persons in~~
30 ~~the supply chain for the product~~ known to the Department,

31 (C) Information identifying and describing the original Priority Product or component, and
32 the selected alternative, including the brand name(s) under which the product or component is
33 placed into the stream of commerce in California,

34 (D) The due date and actual date for completing development and introduction into the
35 California market of the selected alternative, if any,

36 (E) The regulatory response(s), if any,

37 (F) The applicable section in this article specifying the regulatory responses, ~~and, in the~~
38 ~~case of regulatory responses imposed based on a determination pursuant to section~~
39 ~~69306.3(e), 69306.4(b), 69306.5(a) or 69306.6(a), the rationale for the Department's~~
40 ~~determination,~~

41 (G) The implementation due date, and the actual implementation date, for the regulatory
42 response, and

- 1 (H) Any other information provided to the Department pursuant to subsection (b).
2 (2) The Department shall also include in the Regulatory Response Report the
3 information specified in subparagraphs (A) through (D) of paragraph (1) for each exemption
4 granted by the Department pursuant to section 69306.7.
5

6 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
7 Sections 25253 and 25257, Health and Safety Code.
8

9 **Article 7. Dispute Resolution Processes**

10

11 **§ 69307. Dispute Resolution.**

12 (a) This article applies to any responsible entity or manufacturer that wishes to dispute a
13 decision made ~~an action taken~~ by the Department pursuant to this chapter that applies to the
14 responsible entity or manufacturer or the responsible entity's or manufacturer's chemical or
15 product.

16 (b) ~~The Department and responsible entities and manufacturers shall use their best~~
17 ~~efforts to resolve all disputes informally.~~ The procedures set out in this article are the required
18 administrative procedures for resolving disputes arising under this chapter. If the responsible
19 entity or manufacturer fails to follow the procedures contained in this article for disputes
20 subject to this article, it shall have waived its right to further contest the disputed issue
21 administratively.

22 (c) Any requirement imposed by the Department pursuant to this chapter on a responsible
23 entity or manufacturer, and any posting on the Failure to Comply list pursuant to section
24 69301.3(d)4(f) concerning that requirement, shall be stayed during the pendency of a dispute
25 or petition for review filed, pursuant to this article, concerning that requirement.
26

27 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
28 Reference: Sections 25252, 25253, and 25257.1, Health and Safety Code.
29

30 **§ 69307.1. Informal Dispute Resolution Procedures.**

31 (a) For any dispute arising from a decision made by the Department pursuant to the
32 provisions of this chapter, other than sections ~~69306.3(e), 69306.4(b),~~ 69306.5, 69306.6, and
33 69306.7, the responsible entity or manufacturer may, within fifteen (15) days following the
34 notice or website posting of the Department's decision, request that the Department informally
35 resolve the dispute. The Department shall provide the responsible entity or manufacturer with
36 an opportunity to resolve the dispute informally within thirty (30) days of receiving the request
37 for dispute resolution. If a request for informal dispute resolution is not received within the
38 specified time limit, the Department's decision is final and shall not be subject to additional
39 dispute resolution.

40 (b) If the responsible entity or manufacturer disagrees with the Department's decision
41 following completion of the informal dispute resolution process pursuant to subsection (a), the

1 responsible entity or manufacturer may appeal to the Department's Director as specified in
2 section 69307.2.

3
4 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
5 Reference: Sections 25252, 25253, and 25257.1, Health and Safety Code.

6
7 **§ 69307.2. Request for Further Review by the Director.**

8 (a) A responsible entity or manufacturer wishing to seek review of the Department's
9 decision following completion of the informal dispute resolution process, pursuant to section
10 69307.1, shall submit information stating the basis for seeking further review and the reasons
11 why the decision does not comport with the requirements of this chapter, or is otherwise
12 unreasonable. The responsible entity or manufacturer shall also provide:

- 13 (1) The original statement of dispute;
14 (2) Supporting documents; and
15 (3) Copies of any responses prepared by the Department's employees involved with the
16 dispute.

17 (b) The request for further review shall be made to the Director of the Department within
18 thirty (30) days after completion of the informal dispute resolution process under section
19 69307.1.

20 (c) The Director or the Director's designee shall issue a decision granting or denying the
21 relief sought in whole or in part within sixty (60) days after receipt of the request under this
22 section. If the relief sought is denied, the decision shall specify the date by which the
23 responsible entity or manufacturer shall comply with the requirements of this chapter that were
24 the subject of the dispute. A decision issued pursuant to this subsection is the Department's
25 final decision and is not subject to additional administrative dispute resolution.

26
27 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
28 Reference: Sections 25252, 25253, and 25257.1, Health and Safety Code.

29
30 **§ 69307.3. Formal Petition for Review Procedures.**

31 For all disputes arising under sections ~~69306.3(e), 69306.4(b),~~ 69306.5, 69306.6, or
32 69306.7, the procedures specified in sections 69307.4 through 69307.7 shall apply in lieu of
33 the procedures set forth in sections 69307.1 and 60307.2.

34
35 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
36 Sections 25253 and 25257.1, Health and Safety Code.

37
38 **§ 69307.4. Time Lines for Petitions for Review.**

39 Within thirty (30) days of a responsible entity or manufacturer receiving a determination
40 from the Department that section ~~69306.3(e), 69306.4(b),~~ 69306.5, 69306.6, or 69306.7
41 applies to one or more of its products or selected alternative, the responsible entity or
42 manufacturer may submit a petition for review to the Department to review such determination.

1 If a petition of review is not filed within this time period, the Department's determination is final
2 and shall not be subject to additional administrative dispute resolution.

3
4 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
5 Sections 25253 and 25257.1, Health and Safety Code.

6
7 **§ 69307.5. Contents of Petition for Review.**

8 A petition for review filed pursuant to section 69307.4 shall include a statement of the
9 reasons supporting that review, and as applicable, a showing that the determination is based
10 on:

11 (a) Facts, assumptions, or other information or approaches or conclusion of law that is
12 clearly erroneous, or

13 (b) An exercise of discretion or an important policy consideration which the Department
14 should, in its discretion, review.

15
16 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
17 Sections 25253 and 25257.1, Health and Safety Code.

18
19 **§ 69307.6. Department Review of Petitions.**

20 (a) Within sixty (60) days following the filing of the petition for review pursuant to section
21 69307.4, the Department shall issue an order either granting or denying the petition for review.

22 (b) An order granting review shall specify a schedule for briefing of the issues by the
23 responsible entity or manufacturer and the Department.

24 (c) An order denying review shall constitute the Department's final decision and shall
25 not be subject to additional administrative dispute resolution. The decision shall be effective
26 on the date of the order. The order denying review shall specify the date by which the
27 responsible entity or manufacturer shall comply with the requirements of this chapter that were
28 the subject of the petition for review.

29 (d) Following consideration of the information provided during the briefing period, the
30 Department shall issue an order specifying its decision on the merits of the petition. This order
31 shall be issued within one hundred and eighty (180) days from the date the Department issues
32 the order granting the petition for review.

33 (1) If the final order upholds the Department's decision ~~action~~ under this chapter the
34 order shall be the Department's final decision and shall not be subject to additional
35 administrative dispute resolution. An order upholding the Department's original decision ~~action~~
36 shall specify the date by which the responsible entity or manufacturer shall comply with the
37 applicable requirements of this chapter.

38 (2) If the final order grants the relief sought by the responsible entity, in whole or in part,
39 the order shall remand the decision ~~action~~ that is the subject of the petition for review back to
40 the responsible program for re-evaluation and shall specify the date by which the re-evaluation
41 shall must be completed, which shall be no more than ninety (90) days from the date of the
42 order. The order may also provide guidance or criteria for the re-evaluation.

1 ~~(e) — A final decision on the petition for review is a prerequisite to seeking judicial review~~
2 ~~of the Department's decision.~~

3
4 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
5 Sections 25253 and 25257.1, Health and Safety Code.

6
7 **§ 69307.7. Procedures for Department Review of Petitions.**

8 (a) In addition to the procedures specified in section 69307.6, in reviewing a petition for
9 review filed pursuant to section 69307.4, the Department shall also comply with this section.

10 (b) No Departmental staff that participated in the ~~action or~~ decision that is the subject of
11 the petition for review filed under section 69307.4 may participate in decision-making or review
12 of decisions made under section 69307.6.

13 (c) No Departmental staff participating in decision-making or review of decisions made
14 under section 69307.6 may have communications about the petition for review with any
15 Department staff that participated in the ~~action or~~ decision that is the subject of the petition for
16 review filed under section 69307.4, unless the Department staff simultaneously communicates
17 with the responsible entity or manufacturer or its representative regarding the issues under
18 discussion with Department staff.

19
20 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
21 Sections 25253 and 25257.1, Health and Safety Code.

22
23 **~~Article 8. — Accreditation and Qualification Requirements for Performance of~~**
24 **~~Alternatives Assessments~~**

25
26 **~~§ 69308. Requirements for Qualified Third-Party Assessment Entities.~~**

27 ~~(a) — An entity wishing to be designated as a qualified third-party assessment entity, shall~~
28 ~~submit an application to the Department that includes all of the following:~~

29 ~~(1) — The applicant's name and contact information.~~

30 ~~(2) — Identification of the combined qualifications of the individuals, including lead~~
31 ~~assessors meeting the requirements of section 69308.3, available within, or to, the entity for~~
32 ~~performing or verifying Tier II AAs, including education and experience, and areas of subject~~
33 ~~matter competency and expertise.~~

34 ~~(3) — Documentation of the AA elements, inputs, assumptions, methodologies and~~
35 ~~approaches employed by the entity.~~

36 ~~(4) — Demonstration of all of the following:~~

37 ~~(A) — Independence and lack of affiliation with any responsible entity, manufacturer,~~
38 ~~consortium of manufacturers, or trade association;~~

39 ~~(B) — No economic interest in any entity that produces, sells or distributes any Chemical of~~
40 ~~Concern or product containing a Chemical of Concern;~~

41 ~~(C) — Compliance with the standards of ISO 14040, or equivalent, as certified to in writing~~
42 ~~by an unaffiliated competent third-party;~~

1 (D) — ~~Compliance with, and maintenance by regular external audits, ISO/IEC Guide 65~~
2 ~~accreditation; and~~

3 (E) — ~~Record keeping and document retention and retrieval practices and capabilities~~
4 ~~sufficient to facilitate audits by the Department pursuant to article 9 of this chapter.~~

5 (b) — ~~The Department shall review the application submitted pursuant to subsection (a)~~
6 ~~and, based on this review, approve or deny the request for designation as a qualified third-~~
7 ~~party assessment entity, within sixty (60) days of receiving the information. The Department~~
8 ~~shall notify the entity submitting the request of its determination. A notice of denial shall state~~
9 ~~the grounds for denial and, if applicable, specify the conditions the applicant must fulfill in to~~
10 ~~order to be designated, or re-designated, as a qualified third-party assessment entity.~~

11 (c) — ~~If any of the information submitted pursuant to subsection (a) of this section~~
12 ~~changes, the entity shall provide updated information to the Department within thirty (30) days~~
13 ~~of the change.~~

14 (d) — ~~A designation as a qualified third-party assessment entity shall expire after a period~~
15 ~~of five (5) years, except that it may be renewed upon application by the entity, pursuant to~~
16 ~~subsection (a) not later than ninety (90) days before expiration of the existing designation.~~

17 (e) — ~~If an entity is found to be negligently or willfully in violation of this chapter, the entity~~
18 ~~shall lose its designation as a qualified third-party assessment entity for a period of at least ten~~
19 ~~(10) years. After this period the entity may reapply to be designated as a qualified third-party~~
20 ~~assessment entity.~~

21
22 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
23 Section 25253, Health and Safety Code.

24
25 **§ 69308.1. Requirements for Qualified In-House Assessment Entities.**

26 (a) — ~~A manufacturer, consortium of manufacturers, trade association or public-private~~
27 ~~partnership wishing to be designated as a qualified in-house assessment entity, shall submit~~
28 ~~an application to the Department that includes all of the following:~~

29 (1) — ~~The applicant's name and contact information.~~

30 (2) — ~~The names of and contact information for all members of the applicant's~~
31 ~~organization, if the applicant is a consortium, trade association or similar organization.~~

32 (3) — ~~Identification of the combined qualifications of the individuals, including lead~~
33 ~~assessors meeting the requirements of section 69308.3, available within, or to, the entity for~~
34 ~~performing Tier II AAs, including education and experience, and areas of subject matter~~
35 ~~competency and expertise.~~

36 (4) — ~~Documentation of the AA elements, inputs, assumptions, methodologies and~~
37 ~~approaches employed by the entity.~~

38 (5) — ~~Demonstration of both of the following:~~

39 (A) — ~~Compliance with the standards of ISO 14040, or equivalent, as certified to in writing~~
40 ~~by an unaffiliated competent third-party;~~

41 (B) — ~~Compliance with, and maintenance by regular external audits, ISO/IEC Guide 65~~
42 ~~accreditation; and~~

1 (C) — Record keeping and document retention and retrieval practices and capabilities
2 sufficient to facilitate audits by the Department pursuant to article 9 of this chapter.

3 (b) — The Department shall review the information submitted pursuant to subsection (a) of
4 this section, and, based on this review, approve or deny the request for designation as a
5 qualified in-house assessment entity, within sixty (60) days of receiving the information. The
6 Department shall notify the entity submitting the request of its determination. A notice of denial
7 shall state the grounds for denial and, if applicable, specify the conditions the applicant must
8 fulfill in to order to be designated, or re-designated, as a qualified in-house assessment entity.

9 (c) — If any of the information submitted pursuant to subsection (a) of this section
10 changes, the entity shall provide updated information to the Department within thirty (30) days
11 of the change.

12 (d) — A designation as a qualified in-house assessment entity shall expire after a period of
13 five (5) years, except that it may be renewed upon application by the entity, pursuant to
14 subsection (a) not later than ninety (90) days before expiration of the existing designation.

15 (e) — If an entity is found to be negligently or willfully in violation of this chapter, the entity
16 shall lose its designation as a qualified in-house assessment entity for a period of at least ten
17 (10) years. After this period the entity may reapply to be designated as a qualified in-house
18 assessment entity. During this period of disqualification, any Tier II AAs, including AA Work
19 Plan and AA Report preparation, that the entity is required to perform must be performed by an
20 entity that is unaffiliated with the responsible entity or manufacturer or any consortium, trade
21 association or other partnership of which the responsible entity or manufacturer is a member.

22 (f) — As used in this section, the term “manufacturer” includes “manufacturers” as defined
23 in section 69301.2(a)(47), and other entities that perform AAs on behalf of manufacturers with
24 which the entity is affiliated, including, but not limited to, manufacturer consortiums, trade
25 associations, and manufacturer parent corporations and subsidiaries.

26
27 NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:
28 Section 25253, Health and Safety Code.

29
30 **§ 69308.2. — Requirements for Designated Accrediting Bodies.**

31 (a) — Any person wishing to be designated, or to renew designation, by the Department as
32 an accrediting body to accredit lead assessors, who meet the requirements of section 69308.3,
33 shall submit an application to the Department that includes all of the following:

34 (1) — The applicant's name and contact information;

35 (2) — The applicant's institutional history;

36 (3) — The products type(s) and/or industry sector(s) for which the applicant is proposing to
37 accredit lead assessors;

38 (4) — A description of the accrediting body's lead assessor accreditation program that
39 meets all of the requirements of subsection (c);

40 (5) — The accrediting body's training curriculum, meeting the requirements of subsection
41 (c)(3), for initial accreditation applicants, including for each course the course title, content
42 description, hours, and exam plan;

- 1 (6) — ~~The accrediting body's continuing education curriculum, if any, for re-accreditation~~
2 ~~applicants, including for each course the course title, content description, hours, and exam~~
3 ~~plan;~~
- 4 (7) — ~~Demonstrated qualifications and areas of expertise of those individuals responsible~~
5 ~~for developing the accrediting body's training curriculum, as evidenced by education and~~
6 ~~experience, professional licenses, registrations, or other relevant credentials;~~
- 7 (8) — ~~A copy of the accrediting body's lead assessor application form, meeting the~~
8 ~~requirements of subsection (c)(1);~~
- 9 (9) — ~~A copy of the accrediting body's lead assessor accreditation certification form,~~
10 ~~meeting the requirements of subsection (c)(4);~~
- 11 (10) — ~~Information demonstrating all of the following:~~
- 12 (A) — ~~Ability to teach, and history of teaching, the principles and practices of Chemical~~
13 ~~Hazard Assessment, Exposure Potential Assessment, and Multimedia Life Cycle Evaluation,~~
- 14 (B) — ~~Ability to teach, and history of teaching, the application of life cycle thinking as it~~
15 ~~applies to products, and~~
- 16 (C) — ~~Ability to teach, and history of teaching, the appropriate use of life cycle assessment~~
17 ~~tools and methodologies as they apply to products;~~
- 18 (11) — ~~Disclosure of apparent or existing conflicts of interest; and~~
- 19 (12) — ~~A certification statement as required by section 69301.5(b).~~
- 20 (b) — ~~Within sixty (60) days after receiving an application for designation, or renewal of~~
21 ~~designation, as an accrediting body, the Department shall notify the applicant of its decision to~~
22 ~~approve or deny the application for designation. A notice of denial shall state the grounds for~~
23 ~~denial and, if applicable, specify the conditions the applicant must fulfill in to order to be~~
24 ~~designated, or re-designated, as an accrediting body.~~
- 25 (c) — ~~Each lead assessor accreditation program must include, at a minimum, all of the~~
26 ~~following elements:~~
- 27 (1) — ~~Written application and admission procedures for both initial accreditation and~~
28 ~~biennial renewal of accreditation. These procedures must include a requirement for the~~
29 ~~applicant, for initial or renewed accreditation, to submit to the accrediting body an application~~
30 ~~that, at a minimum, includes all of the following:~~
- 31 (A) — ~~The applicant's name and contact information,~~
- 32 (B) — ~~The products type(s) and/or industry sector(s) for which the applicant is applying for~~
33 ~~accreditation as a lead assessor;~~
- 34 (C) — ~~The applicant's educational experience, which must meet the requirements of~~
35 ~~section 69308.3(a)(1) and must be substantiated by submittal of transcripts or other equivalent~~
36 ~~records,~~
- 37 (D) — ~~The applicant's employment and other experience history, which must meet the~~
38 ~~requirements of section 69308.3(a)(2) and for which references must be provided,~~
- 39 (E) — ~~Any professional licenses, registrations or other relevant credentials that the~~
40 ~~applicant possesses,~~
- 41 (F) — ~~Documentation of completion of continuing education required pursuant to section~~
42 ~~69308.3(a)(5), if the application is for accreditation renewal, and~~

1 (G) — ~~A signed and dated certification statement: “I certify under penalty of perjury that the~~
2 ~~information I have entered on this application is true and complete to the best of my~~
3 ~~knowledge. I further understand that any false, incomplete, or incorrect statements may result~~
4 ~~in my disqualification as a lead assessor. I authorize the employers and educational~~
5 ~~institutions identified on this application to release any information they may have concerning~~
6 ~~my employment or education to the accrediting body with which this application is filed and to~~
7 ~~the State of California.”~~

8 (2) — ~~Written procedures for verifying an applicant’s qualifying education and experience,~~
9 ~~including verification of fulfillment of continuing education requirements.~~

10 (3) — ~~An initial accreditation training program that is pertinent to the product type(s) and/or~~
11 ~~industry sector(s) for which lead assessor accreditation will be offered by the accrediting body,~~
12 ~~and that includes, at a minimum, all of the following:~~

13 (A) — ~~The requirements of this chapter, with an emphasis on the requirements of articles~~
14 ~~5, 6 and 10,~~

15 (B) — ~~Training and case studies on principles and practices of Chemical Hazard~~
16 ~~Assessment, Exposure Potential Assessment, and Multimedia Life Cycle Evaluation, using life~~
17 ~~cycle thinking and life cycle assessment tools,~~

18 (C) — ~~Training and case studies on identification of alternatives for consideration in a Tier~~
19 ~~II AA,~~

20 (D) — ~~Training and case studies on identification of the life cycle segments for chemicals~~
21 ~~and products, and~~

22 (E) — ~~Training needed for the attainment of expertise in specific fields necessary to the~~
23 ~~performance of Tier II AAs.~~

24 (4) — ~~Issuance of a written certificate for initial accreditation and re-accreditation that is~~
25 ~~entitled “Certification of Accreditation as a Lead Assessor” and includes, at a minimum, all of~~
26 ~~the following:~~

27 (A) — ~~Lead assessor’s name,~~

28 (B) — ~~The product type(s) and/or industry sector(s) for which the lead assessor is~~
29 ~~accredited,~~

30 (C) — ~~Date of issuance and date of expiration of the certification,~~

31 (D) — ~~Name and contact information for the accrediting body issuing the certification,~~

32 (E) — ~~An indication as to whether the certification is for initial accreditation or a renewal of~~
33 ~~accreditation,~~

34 (F) — ~~A statement that the lead assessor meets the requirements of section 69308.3(a),~~
35 ~~and~~

36 (G) — ~~The signature of the owner or an officer of the accrediting body issuing the~~
37 ~~certification.~~

38 (5) — ~~Criteria and procedures for denying an application for initial or renewed~~
39 ~~accreditation. Denial decisions must be provided to the applicant in writing and must state the~~
40 ~~grounds for denial and, if applicable, specify the conditions the applicant must fulfill in to order~~
41 ~~to be accredited or re-accredited as a lead assessor.~~

1 ~~(6) — A program to audit completed work by lead assessors accredited by the accrediting~~
2 ~~body to ensure the quality of work and proper application of tools by the lead assessor.~~

3 ~~(7) — Written procedures for records retention, including, but not limited to, applications,~~
4 ~~verification information, certifications, training records, and audit records. All records shall be~~
5 ~~maintained for a minimum of three (3) years.~~

6 ~~(d) — Each accrediting body shall provide to the Department the name and contact~~
7 ~~information for each lead assessor accredited by the accrediting body, along with the product~~
8 ~~type(s) and/or industry sector(s) for which each lead assessor is accredited. Updated~~
9 ~~information shall be provided to the Department on at least a quarterly basis.~~

10 ~~(e) — The duration of the designation of an accrediting body shall not exceed 5 years,~~
11 ~~except that it may be renewed upon application by the accrediting body not later than ninety~~
12 ~~(90) days before expiration of the designation. Applications for renewal of designation shall~~
13 ~~extend the expiring designation until the Department makes a determination on the renewal~~
14 ~~application.~~

15 ~~(f) — An accrediting body shall not claim trade secret or proprietary restrictions on their~~
16 ~~admission process, general curriculum and educational approach. An accrediting body~~
17 ~~applicant may request the Department to treat specific course information or life cycle~~
18 ~~assessment tools as licensed or proprietary and not available for free distribution in the public~~
19 ~~domain, or as a trade secret or confidential pursuant to article 10.~~

20 ~~(g) — The Department shall rescind its designation of an accrediting body if any of the~~
21 ~~following occurs:~~

22 ~~(1) — The designation period has lapsed,~~

23 ~~(2) — A substantial number of individuals accredited by the accrediting body as lead~~
24 ~~assessors are found to be in violation of this chapter,~~

25 ~~(3) — The Department finds that the accrediting body has significantly deviated from the~~
26 ~~documentation submitted to the Department pursuant to subsection (a), or is out of compliance~~
27 ~~with the requirements of this section, or~~

28 ~~(4) — The Department finds the accrediting body to be negligent, fraudulent,~~
29 ~~misrepresentative, or unethical in connection with their accreditation of lead assessors.~~

30
31 ~~NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference:~~
32 ~~Section 25253 and 25257, Health and Safety Code.~~

33
34 **~~§ 69308.3. — Lead Assessor Accreditation.~~**

35 ~~(a) — A responsible person in charge of performing or verifying a Tier II AA, or preparing~~
36 ~~an AA Work Plan or AA Report, must be accredited by a designated accrediting body for a~~
37 ~~product type and/or industry sector appropriate for the Tier II AA being performed or verified,~~
38 ~~and must meet all of the following requirements:~~

39 ~~(1) — Possess a Bachelor's degree with a major in a scientific or engineering field from an~~
40 ~~accredited college or university.~~

41 ~~(2)(A) Have the equivalent of three (3) years of professional experience performing AAs~~
42 ~~and/or working in a scientific or engineering field.~~

~~(B) — Post-graduate work in the performance of AAs and/or in a scientific or engineering field, while attending an accredited college or university, may be substituted on a year-for-year basis for the experience required pursuant to paragraph (A).~~

~~(3) — For initial accreditation, successfully complete a lead assessor accreditation training program and exam that meets the requirements of section 69308.2(c)(3) and that is developed and delivered by a designated accrediting body.~~

~~(4) — Receive an initial “Certification of Accreditation as a Lead Assessor” meeting the requirements of section 69308.2(c)(4) and issued by the accrediting body whose accreditation training program the lead assessor successfully completed pursuant to paragraph (3).~~

~~(5) — Maintain lead assessor accreditation status by doing all of the following:~~

~~(A) — Completing continuing education during each two-year accreditation period, as required and provided, or verified, by the designated accrediting body from which the lead assessor will seek re-accreditation upon expiration of their current accreditation. Continuing education may be education and/or training focused on one or more aspects of alternatives assessment relevant to the performance of Tier II AAs or closely related topics.~~

~~(B) — Submitting an application for re-accreditation to a designated accrediting body at least thirty (30) days prior to the expiration of the lead assessor’s current accreditation. If the lead assessor complies with the requirements of this subparagraph and subparagraph (A), their accreditation will remain in effect unless and until the accrediting body denies their application for re-accreditation.~~

~~(C) — Receiving a renewed “Certification of Accreditation as a Lead Assessor” meeting the requirements of section 69308.2(c)(4) and issued by the accrediting body who provided or verified the lead assessor’s continuing education pursuant to subparagraph (A).~~

~~(6) — Possess, and produce when requested, a current “Certification of Accreditation as a Lead Assessor” meeting the requirements of section 69308.2(c)(4).~~

~~(b) — If the Department rescinds, pursuant to subsection (g)(2), (g)(3) or (g)(4) of section 69308.2, the designation of the accrediting body from which the lead assessor obtained accreditation, the lead assessor shall apply for reaccreditation from another accrediting body, designated pursuant to section 69308.2, no later than sixty (60) days after information concerning the rescission is posted on the Department’s website.~~

~~(c) — A lead assessor’s accreditation shall be subject to rescission by the accrediting body or the Department for failure to comply with the applicable requirements of this chapter, or if the Department or the accrediting body finds the lead assessor to be negligent, fraudulent, misrepresentative, or unethical in connection with their duties and responsibilities as a lead assessor. The accrediting body shall provide to the Department the name and contact information for any lead assessor whose accreditation is rescinded by the accrediting body, and an explanation of the reasons for the rescission.~~

~~NOTE: Authority cited: Sections 25253 and 58012, Health and Safety Code. Reference: Section 25253, Health and Safety Code.~~

Article 89. Audits

1
2 **§ 693089. Audit of Alternatives Assessments and Regulatory Responses.**

3 (a) The Department ~~may~~shall randomly audit Tier I and Tier II AAs as resources permit.

4 (b) The scope of the audit shall include, ~~but not be limited to,~~ an examination of:

5 (1) Compliance with article 5 requirements;

6 (2) Compliance with the scope and objective of the AA Work Plan during the conduct of
7 the Tier II AA;

8 (3) Data quality and adequacy of analysis;

9 (4) Implementation of the selected alternative, if applicable; and

10 (5) Compliance with the applicable regulatory response(s) imposed pursuant to article 6;

11 (c) Upon completion of an audit, the Department shall:

12 (1) Notify the ~~manufacturer and/or~~ responsible entity(~~ies~~) of the audit findings, and

13 (2) Inform the ~~manufacturer and/or~~ responsible entity(~~ies~~) of the process to dispute audit
14 findings.

15
16 NOTE: Authority cited: Sections 25253, and 58012, Health and Safety Code. Reference:
17 Article 8 of Division 4.5 of Chapter 20 and Section 25253, Health and Safety Code.

18
19 **Article 940. Confidentiality of Information**

20
21 ~~§ 69310. Confidentiality of Information.~~

22 (a) ~~Notwithstanding any other provision of this chapter, any information provided to the~~
23 ~~Department pursuant to article 14 of chapter 6.5 of division 20 of the Health and Safety Code~~
24 ~~or this chapter will be made available to the public only to the extent and in the manner~~
25 ~~authorized by Health and Safety Code section 25257, any other applicable California statute,~~
26 ~~this chapter, and the California Public Records Act (Government Code section 6250, et seq.)~~
27 ~~as applicable.~~

28 (b) ~~For purposes of this article, the term “confidential information” shall mean all~~
29 ~~information for which trade secret protection, confidentiality, privilege or other form of~~
30 ~~exemption from public disclosure is provided under Health and Safety Code section 25257,~~
31 ~~any other applicable California statute, this chapter or the California Public Records Act.~~

32
33 NOTE: Authority cited: Sections ~~25252, 25253,~~ and 58012, Health and Safety Code.
34 Reference: Sections ~~25252, 25253,~~ and 25257, Health and Safety Code, Section 1060,
35 Evidence Code, and Sections 6250 through 6270, inclusive, Government Code.

36
37 **§ 6930910.1. Assertion of a Claim of Confidential Information.**

38 (a) Any person who wishes to claim information as confidential information shall, at the
39 time of submission, do one of the following:

40 (1) Assert a claim that certain information is a trade secret by identifying the portion of
41 the information subject to the trade secret claim, and making specific reference in separate
42 correspondence to Health and Safety Code section 25257 and any other relevant code

1 ~~section(s) relied upon in both the appropriate claims index entry required by section 69310.2(b)~~
2 ~~and any supporting information required by section 69310.4;~~

3 (2) Assert a claim that certain information, while not a trade secret is otherwise
4 confidential and exempt from disclosure under the California Public Records Act by identifying
5 the portion of the information subject to the claim, and making specific reference in separate
6 correspondence ~~both the appropriate index entry required by section 69310.2(b) and any~~
7 ~~supporting information required by section 69310.4 to the factual or legal authority, privilege, or~~
8 California Public Records Act provision relied upon.

9 (b) Any person who asserts a claim of confidential information shall also, at the time of
10 submission, provide the Department with both of the following:

11 (1) A complete copy of the documentation being submitted, which shall include the
12 claimed confidential information, and

13 (2) A redacted copy of the documentation being submitted, which shall exclude the
14 claimed confidential information, and which the Department may make available ~~in full~~ to the
15 public at its discretion.

16 (c) Any person who asserts a claim of confidential information shall make such
17 assertion at the time of submission by marking the words "Trade Secret" and/or "Confidential",
18 as appropriate, conspicuously on each page containing the information claimed to be
19 confidential. If no claim of confidential information is made at the time of submission, the
20 Department may make the submitted information available in full to the public without further
21 notice.

22 (d) For purposes of this article, the term "confidential information" shall mean all
23 information for which trade secret protection, confidentiality, privilege, or other form of
24 exemption from public disclosure is provided under Health and Safety Code section 25257,
25 any other applicable California statute, this chapter or the California Public Records Act.

26
27 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

28 Reference: Sections 25252, 25253, and 25257, Health and Safety Code, and Sections 6250
29 through 6270, inclusive, Government Code.

30
31 **§ 69310.2. Marking and Indexing of Documents.**

32 (a) ~~Any person who asserts a claim of confidential information shall make such~~
33 ~~assertion at the time of submission by marking the words "Trade Secret" and/or "Confidential",~~
34 ~~as appropriate, conspicuously on each page containing the information claimed to be~~
35 ~~confidential. If no claim of confidential information is made at the time of submission, the~~
36 ~~Department may make the submitted information available in full to the public without further~~
37 ~~notice.~~

38 (b) ~~Any person who asserts a claim of confidential information shall provide to the~~
39 ~~Department, at the time of submission, a separate claims index summarizing the kind of~~
40 ~~confidential information for which confidentiality is claimed, the factual or legal basis authority,~~
41 ~~privilege, or California Public Records Act provision relied upon, and the place in the submitted~~

1 document where the confidential information was originally located. Such claims index shall
2 not contain confidential information, and may be made available in full to the public.

3
4 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
5 Reference: Sections 25252, 25253, and 25257, Health and Safety Code.

6
7 **§ 69310.3. — Safeguarding of Confidential Information.**

8 (a) — No employee of the Department shall disclose, or use for his or her private gain or
9 advantage, any confidential information which came into his or her possession, or to which he
10 or she gained access by virtue of his or her official position or employment, except as
11 authorized by Government Code section 6254.5 and this chapter.

12 (b) — Each employee of the Department who has custody, access, or possession of
13 confidential information shall take appropriate measures to properly safeguard such
14 information and protect it against improper disclosure.

15
16 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
17 Reference: Sections 25252, 25253, and 25257, Health and Safety Code.

18
19 **§ 69309.140.4. Support of a Claim of Trade Secret Protection.**

20 (a) Any person who wishes to assert a claim of trade secret protection and receives a
21 request from the Department to support a trade secret claims shall, at the time of submission,
22 or within ten (10) days of receipt of a request for support or within a longer period negotiated
23 with the Department, whichever is later, provide the Department with all of the following
24 substantiating information:

25 (1) The identity of the person making the claim;

26 (2) — A brief description of the information for which trade secret protection is being
27 claimed;

28 (3) — The period of time for which trade secret protection is claimed and a justification for
29 the period selected;

30 (2)(4) The extent to which the information is known by employees or others involved with
31 the facility or the person's business, and whether or not those individuals with knowledge are
32 bound by non-disclosure agreements;

33 (3)(5) The extent to which the information is known outside of the facility or the person's
34 business of the person, and whether or not individuals with such knowledge are bound by non-
35 disclosure agreements;

36 (4)(6) The extent of measures taken by the person to guard the secrecy of the
37 information restrict access to and safeguard the information, and whether or not the person
38 plans to continue utilizing such measures;

39 (5)(7) The estimated value of the information to the person you and to the person's your
40 competitors;

41 (6)(8) The estimated amount of effort or money expended by the person you in developing
42 the information; and

1 ~~(7)(9)~~ The ~~estimated~~ ease or difficulty with which the information could be properly
2 acquired or duplicated by others;

3 ~~(10)~~ Copies of, or references to, any pertinent confidentiality determinations previously
4 made by the Department or other public agencies;

5 ~~(11)~~ A description of the nature and extent of harm that would be caused if the
6 information were made public, including an explanation of the causal relationship between
7 disclosure and the harmful effects claimed;

8 ~~(12)~~ The signature of the person's general counsel or other executive with knowledge of
9 the preparation of the substantiating information certifying under penalty of perjury and subject
10 to the provisions of section 69301.4(g), and also based upon the knowledge and belief of the
11 signatory, that:

12 ~~(A)~~ The substantiating information is true, accurate, and complete,

13 ~~(B)~~ The information for which trade secret protection is claimed is not otherwise publicly
14 available, and

15 ~~(C)~~ There is a reasonable basis to assert trade secret protection for the information so
16 claimed; and

17 ~~(13)~~ Contact information for the individual to be contacted if any part of the claimed
18 information is requested to be disclosed under the California Public Records Act.

19 (b) The substantiating information required in subsections (a)(1) through (a)(~~14~~) shall
20 be provided for each individual trade secret claim, although such information may be
21 incorporated by reference to apply to multiple claims, as appropriate. ~~The requirements~~
22 ~~contained in subsections (a)(12) and (a)(13) may be supplied once for all claims submitted at~~
23 ~~one time.~~

24 (c) If the substantiating information contains information that is itself subject to a claim
25 of trade secret protection, such substantiating information shall also be separately supplied in
26 both complete and redacted form as required by section ~~69310.1~~69309(b), and marked as
27 required by section ~~69310.2(a)~~69309(c), but shall not itself require indexing under section
28 ~~69310.2(b)~~ or further support under section 69310.4 in order to comply with this section. Such
29 substantiating information shall be separate from any documentation used to comply with the
30 other provisions of this chapter.

31
32 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.

33 Reference: Sections 25252, 25253, and 25257, Health and Safety Code.

34
35 **~~§ 69310.5. Departmental Review of Trade Secret Claims.~~**

36 ~~(a)~~ Upon receipt of a document submitted pursuant to this chapter that contains
37 information labeled or otherwise claimed to be subject to trade secret protection, or at any time
38 thereafter, the Department may, at its discretion, review the trade secret claim and
39 substantiating information for proper justification and compliance with the requirements of this
40 article.

41 ~~(1)~~ If the Department determines that the substantiating information is incomplete or
42 insufficiently responsive, the Department shall notify the submitter of the information of the

1 Department's deficiency finding, the specific area(s) of deficiency, and the date by which the
2 submitter must provide the necessary information to correct the deficiency. If the submitter fails
3 to provide the necessary information within the time frame set forth by the Department, the
4 Department shall notify the submitter by certified mail that the claim remains procedurally
5 deficient and out of compliance, and that the information claimed to be a trade secret will be
6 considered a public record subject to disclosure by the Department within thirty (30) days after
7 such notice is mailed. During the 30-day period, the submitter may elect to correct the
8 deficiency, or seek appropriate judicial relief by filing a legal action for a writ of mandate,
9 injunction, protective order, or other appropriate relief. During this 30-day period, and for any
10 extended period ordered by a court of law, the Department shall not publicly release the
11 claimed trade secret information or otherwise disclose such information publicly.

12 (2) At any time, the Department may also undertake a substantive review of a claim to
13 determine if protection from public disclosure as a trade secret is justified. In the event the
14 Department's review determines that there is insufficient justification for trade secret
15 protection, it shall notify the submitter by certified mail of its determination. The notice shall
16 also provide the submitter with thirty (30) days to seek appropriate judicial relief in response to
17 the Department's determination. During this 30-day period, and for any extended period
18 ordered by a court of law, the Department shall not publicly release the claimed trade secret
19 information or otherwise disclose such information publicly.

20 (3) After the above procedural requirements have been satisfied, including any judicial
21 review of the Department's determination, any information found to be procedurally deficient or
22 that lacks sufficient justification for trade secret protection shall be treated as if no claim was
23 made and shall be made available to the public by the Department.

24 (b) In the event the Department receives a request under the California Public Records
25 Act (California Government Code section 6250 *et seq.*) for disclosure of information
26 designated as a trade secret, the following procedure shall apply:

27 (1) The Department shall immediately notify the submitter of the information that a
28 Public Records Act request has been made. The Department shall then determine whether or
29 not trade secret protection for the information is justified, unless the Department has already
30 considered the justification for trade secret protection after having completed the review and
31 determination in subdivision (a) or subdivision (b) of this section, and also concluded that there
32 is no reasonable justification to conduct a further review.

33 (2) The Department shall make the determination specified in paragraph 1 no later than
34 sixty (60) days after the date the Department receives the request for disclosure, but not
35 before thirty (30) days following the notification to the submitter.

36 (3) If the Department decides that the information submitted pursuant to this chapter
37 lacks sufficient justification for trade secret protection, the Department shall provide the
38 submitter 30 days' written notice prior to public disclosure of the information. The Department
39 may publicly release the information after the expiration of the 30-day period, unless, prior
40 thereto, the submitter files a legal action seeking a declaratory judgment, injunction, or other
41 appropriate relief to protect the information from public disclosure, and the submitter promptly
42 notifies the Department that such an action has been filed.

1 ~~(4) — If the Department decides that the claim for trade secret protection for information~~
2 ~~submitted pursuant to this chapter is justified and such information is not disclosed to the~~
3 ~~public, it shall so inform the person requesting the information in writing within ten (10) days~~
4 ~~after such decision. If the person requesting such information files a petition for writ of~~
5 ~~mandate seeking disclosure of such information, the Department shall immediately notify the~~
6 ~~submitter that such an action has been filed. In response to the petition, the Department shall~~
7 ~~indicate that the information is not being publicly disclosed because it has been designated by~~
8 ~~the submitter to be a trade secret. It shall be the sole responsibility of the submitter to defend~~
9 ~~the claim of trade secret before the court. The Department shall not disclose the information to~~
10 ~~the public during the time the court is deciding the matter.~~

11
12 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
13 Reference: Sections 25252, 25253, and 25257, Health and Safety Code, and Sections 6250
14 through 6270, inclusive, Government Code.

15
16 **§ 69309.210-6. Hazard Trait Submissions.**

17 (a) In accordance with Health and Safety Code section 25257(f), no hazard trait
18 submissions, which term is synonymous with “hazardous trait submissions” as used in that
19 section, made pursuant to article 14 of chapter 6.5 of division 20 of the Health and Safety
20 Code and/or this chapter may be claimed as a trade secret.

21 (b) For purposes of this section, a “hazard trait submission” means information
22 submitted to the Department pertaining to a hazard trait of any chemical or chemical
23 ingredient. ~~The term “hazard trait submission” includes hazard trait information identifying the~~
24 ~~manufacturer of a product containing a Chemical of Concern or a chosen alternative. The term~~
25 ~~also includes hazard trait information indicating that a particular Chemical of Concern or a~~
26 ~~chosen alternative is present in a product, however broadly or narrowly the product is~~
27 ~~described. The term does not include hazard trait information uniquely identifying a chosen~~
28 ~~alternative, if such identifying information is claimed as a trade secret and non-confidential~~
29 ~~identifying information sufficient to permit a consumer to identify the particular alternative is~~
30 ~~provided in its place. The term also does not include hazard trait information that discloses~~
31 ~~processes used in the manufacturing or processing of a chemical substance or chemical~~
32 ~~mixture.~~

33
34 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
35 Reference: Sections 25252, 25253, and 25257, Health and Safety Code.

36
37 **Article 11. Small Businesses**

38
39 **§ 69311. Applicability.**

40 (a) — For purposes of this article, “small business” means an independently owned and
41 operated business which, together with affiliates, has twenty-five (25) or fewer employees, and
42 average annual gross receipts of one million dollars (\$1,000,000) or less over the life of the
43 business or the previous three (3) years, whichever is shorter.

1 ~~(b) — The provisions of this article apply only to a manufacturer or a responsible entity that~~
2 ~~has demonstrated to the satisfaction of the Department that it meets the definition of a “small~~
3 ~~business”, specified in subsection (a). A manufacturer or responsible entity seeking to qualify~~
4 ~~as a small business shall submit all of the following to the Department no more than sixty (60)~~
5 ~~days after the manufacturer’s or responsible entity’s product is listed as a Priority Product:~~

6 ~~(1) — Copies of official government records that verify that the manufacturer or responsible~~
7 ~~entity employs twenty five (25) or fewer people, or a declaration or affidavit, signed by the~~
8 ~~manufacturer or responsible entity under penalty of perjury, that the manufacturer or~~
9 ~~responsible entity employs twenty five (25) or fewer people; and~~

10 ~~(2) — Tax returns that document that the average annual gross receipts of the~~
11 ~~manufacturer or responsible entity did not exceed one million dollars (\$1,000,000) over the life~~
12 ~~of the business or the prior three (3) years, whichever is shorter.~~

13
14 ~~NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.~~

15 ~~Reference: Sections 25252 and 25253, Health and Safety Code.~~

16
17 ~~**§ 69311.1. Timelines.**~~

18 ~~For any of the time requirements specified in this chapter, or for those time requirements~~
19 ~~specified by the Department pursuant to this chapter, the Department may, at its discretion,~~
20 ~~grant a business that qualifies as a small business, pursuant to section 69311, a longer period~~
21 ~~of time to comply.~~

22
23 ~~NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.~~

24 ~~Reference: Sections 25252 and 25253, Health and Safety Code.~~

25
26 ~~**§ 69311.2. Consultation Services for Small Businesses.**~~

27 ~~A manufacturer or responsible entity subject to the requirements of article 5 that qualifies~~
28 ~~as a small business, pursuant to section 69311, may request, and the Department shall~~
29 ~~provide, consultative services to assist the manufacturer or responsible entity in complying with~~
30 ~~article 5 requirements. The manufacturer or responsible entity shall reimburse the Department~~
31 ~~for any associated costs pursuant to Health and Safety Code section 25201.9.~~

32
33 ~~NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.~~

34 ~~Reference: Sections 25252 and 25253, Health and Safety Code.~~

35
36 ~~**Article 102. Severability**~~

37
38 ~~**§ 693102. Severability.**~~

39 ~~If any provision(s) of this chapter, or the application thereof to any person or circumstances,~~
40 ~~is held invalid, such invalidity shall not affect other provisions or applications of this chapter~~
41 ~~that can be given effect without the invalid provision or application, and to that end the~~
42 ~~provisions of this chapter are severable.~~

1
2 NOTE: Authority cited: Sections 25252, 25253, and 58012, Health and Safety Code.
3 Reference: Sections 25252 and 25253, Health and Safety Code.

4

5 **Article 11. [Reserved]**

6

7 **§§ 69311 -- 69399. [Reserved]**